

APPENDIX 1

PROCUREMENT PLAN

Basic Data

Project Name: Second Urban Environmental Management in the Tonle Sap Basin	
Project Number: 50102	Approval Number:
Country: Cambodia	Executing Agency: MPWT
Project Procurement Classification: N/A	Implementing Agencies: Department of Public Works and Transport, Battambang provincial government Department of Public Works and Transport, Banteay Meanchey provincial government Department of Public Works and Transport, Kampong Thom provincial government
Procurement Risk: High	
Project Financing Amount: \$97.70 million	Project Closing Date: December 2023
ADB Financing: \$89.00 million Government: \$ 8.70 million	
Date of First Procurement Plan: 14 April 2018	Date of this Procurement Plan: 31 May 2018

a. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding (ICB) for Works	Above \$3,000,000	Prior. All bid documents, evaluations and contracts.
International Competitive Bidding for Goods	Above \$1,000,000	Prior. All bid documents, evaluations and contracts.
National Competitive Bidding (NCB) for Works	Beneath that stated for ICB, Works	Prior/post. Prior review for first set of bid documents for EA and post review for succeeding packages
National Competitive Bidding for Goods	Beneath that stated for ICB, Goods	Prior/post. Prior review for first set of bid documents for EA and post review for succeeding packages
Shopping for Works	Below \$100,000	3 quotations required
Shopping for Goods	Below \$100,000	3 quotations required
Works Contracts for Community-driven Environmental Improvements	Below \$120,000 NCB/Shopping	NCB / Shopping

Consulting Services	
Method	Comments
Quality and Cost Based Selection (QCBS)	Prior. Request for proposal, evaluations and contracts.
Quality Based Selection (QBS)	Prior. Request for proposal, evaluations and contracts.
Consultants' Qualifications Selection (CQS)	Prior. Expression of interest, evaluations and contracts.
Individual Consultants	Prior. Expression of interest, evaluations and contracts.

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

Package Number	General Description	Estimated Value (\$ million)	Method	Review (Prior / Post)	Bidding Procedure	Advertise. Date (quarter/year)	Comments
CW01	Wastewater/Drainage in Stueng Saen and Battambang	37.61	ICB	Prior	1S1E	Q3/2018	Large works
CW02	Wastewater and Drainage in Serei Saophoan	25.66	ICB	Prior	1S1E	Q3/2019	Large works
CW03	Solid waste in Stueng Saen, Serei Saophoan and Battambang (3 lots)	11.24	ICB	Prior	1S1E	Q1/2020	Bid as 3 lots, subject to timing of land acquisition
G01	Landfill equipment	2.37 ²⁸	ICB	Prior	1S1E	Q1/2020	

3. Consulting Services Contracts Estimated to Cost More Than \$100,000

The following table lists all consulting services contracts above \$100,000.

Package Number	General Description	Estimated Value (\$ million)	Method	Review (Prior / Post)	Advertise. Date (quarter/year)	Type of Proposal	Comments
CS01	Project Management Consultants	5.65 ²⁹	QCBS (80:20)	Prior	Q2/2018	Full	Single contract for this package and for the Fourth GMS Corridor Towns Development Project ¹

1. This consulting services contract will be combined with the consulting services for the Fourth GMS Corridor Towns Development Project. The consulting services for both projects will be awarded as a single package.

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table groups smaller-value goods, works and consulting services contracts.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior / Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
G01	Vehicles	\$160,000	1	NCB	Prior	-	Q4/2018	Govt SOP dated May 2012
G02	Office Equipment	\$50,000	1	Shopping	Prior	-	Q4/2018	Govt SOP dated May 2012

¹ Total amount is above the \$3,000,000 for works, but no individual contract to be more than \$120,000.

² Usually NCB, but if a contract is less than USD 100,000, then shopping for works can be used.

Consulting Services							
Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior / Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments

²⁸ Cost estimate is exclusive of taxes and duties.

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CS02	Social development and gender consultant	0.09	ICS	Prior	Q1/2019	NA	
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b. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

1. Awarded and On-going Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/ year)	Date of ADB Approval of Contract Award	Comments

2. Completed Contracts

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter / year)	Date of ADB Approval of Contract Award	Comments

c. National Competitive Bidding

1. Regulation and Reference Documents

1. The procedures to be followed for national competitive bidding shall be those set forth for the "National Competitive Bidding" method in the Government's Procurement Manual issued under Sub-decree No. 74 ANK BK dated 22 May 2012 with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the Procurement Guidelines.

2. For the procurement of ADB financed contracts under National Competitive Bidding (NCB) procedures, the use of harmonized national bidding documents (NCB and National Shopping) developed in consultation with development partners including ADB, is mandatory except where the Government and ADB have agreed to amendments to any part of the documents. The Procurement Manual also advises users to check the ADB website from time to time for any update on ADB documents, which form the basis, among others, of the existing harmonized national bidding documents

d. Procurement Procedures

1. Application

3. Contract packages subject to National Competitive Bidding procedures will be those identified as such in the project Procurement Plan. Any changes to the mode of procurement

from those provided in the Procurement Plan shall be made through updating of the Procurement Plan, and only with prior approval of ADB.

2. Sanctioning

4. Bidders shall not be declared ineligible or prohibited from bidding on the basis of barring procedures or sanction lists, except individuals and firms sanctioned by ADB, without prior approval of ADB.

3. Rejection of all Bids and Rebidding

5. The Borrower shall not reject all bids and solicit new bids without ADB's prior concurrence. Even when only one or a few bids is/are submitted, the bidding process may still be considered valid if the bid was satisfactorily advertised and prices are reasonable in comparison to market values.

e. Bidding Documents

5. Use of Bidding Documents

6. The Standard National Competitive Bidding Documents provided with the Government's Procurement Manual shall be used to the extent possible both for the master bidding documents and the contract-specific bidding documents. The English language version of the procurement documents shall be submitted for ADB review and approval in accordance with agreed review procedures (post and prior review) as indicated in the Procurement Plan. The ADB-approved procurement documents will then be used as a model for all procurement financed by ADB for the project.

6. Bid Evaluation

Bidders shall not be eliminated from detailed evaluation on the basis of minor, non-substantial deviations.

7. A bidder shall not be required, as a condition for award of contract, to undertake obligations not specified in the bidding documents or otherwise to modify the bid as originally submitted.

7. Employer's Right to Accept or Reject Any or All Bids

8. The decision of the Employer to accept or reject any or all bids shall be made in a transparent manner and involve an obligation to inform of the grounds for the decision through the bid evaluation report.

8. ADB Policy Clauses

9. A provision shall be included in all NCB works and goods contracts financed by ADB requiring suppliers and contractors to permit ADB to inspect their accounts and records and other documents relating to the bid submission and the performance of the contract, and to have them audited by auditors appointed by ADB.

10. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the contract in question.

11. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.