



Ministry of Public Works and Transport
The Kingdom of Cambodia

**Fourth Greater Mekong Subregion
Corridor Towns Development Project**
ADB L3686/G0592/G0593 CAM



Quarterly Report
Quarter 3, 2020
July to September



Document Control Sheet

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Abbreviations

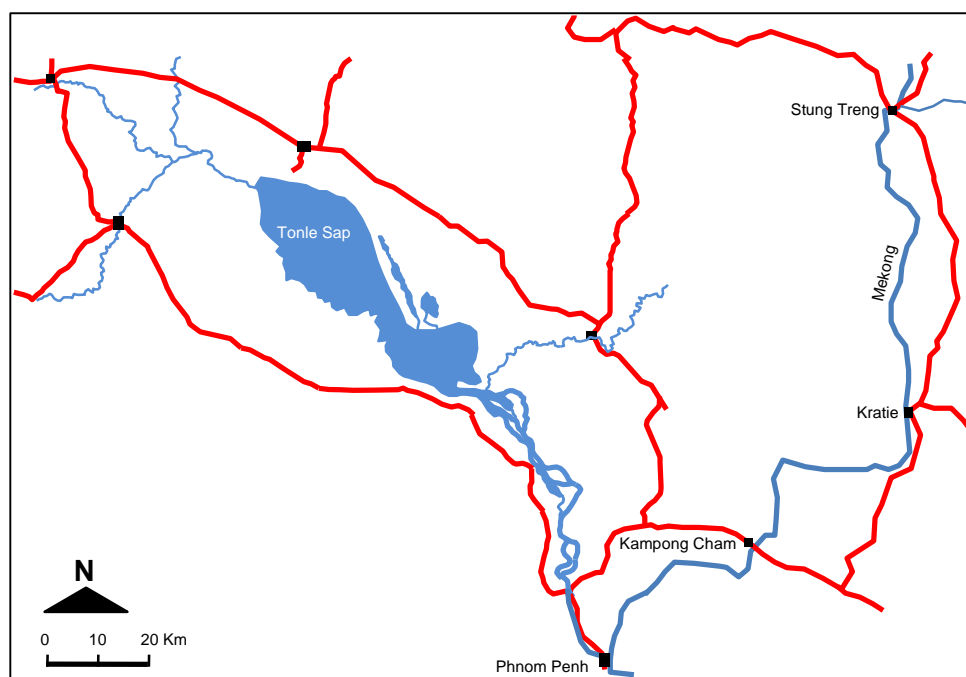
ADB	Asian Development Bank
CBO	Community-Based Organization
CPP	Community Procurement Procedures
CPS	Country Partnership Strategy
CSO	Civil Society Organizations
DC	Development Committee
DRM	Disaster Risk Management
EA	Executing Agency
EMP	Environmental Management Plan
FGD	Focus Group Discussion
GAP	Gender Action Plan
IA	Implementing Agency
IEE	Initial Environmental Examination
IP(s)	Indigenous People(S)
NCB	National Competitive Bidding
PIU	Project Implementation Unit
PMC	Project Management Consultant Team
PMU	Project Management Unit
ROW	Right of Way
SWM	Solid Waste Management
TA	Technical Assistance
TNA	Training Needs Assessment
TOR	Terms of Reference
USD	United States Dollar

1 Introduction and Basic Data

1.1 Introduction

- 1 The Government of The Royal Government of Cambodia has obtained a loan and grant from the Asian Development Bank (ADB) towards the cost of the Fourth Greater Mekong Subregion (GMS) Corridor Towns Development Project (hereinafter referred to as CTDP4). The location of the project towns is shown in Figure 1.1.
- 2 This is the Quarterly Report for Quarter 3, 2020 (July 1 to September 30).

Figure 1.1 Location of Project Towns



1.2 Basic Data

- 3 The key data for the project is shown in Table 1.1 below.

Table 1.1 Basic Data – CTDP4

ADB Project number:	Loan 3686, Grants G0592 & G0593
Project Title:	Fourth Greater Mekong Subregion Corridor Towns Development Project
Beneficiary:	Kingdom of Cambodia
Executing Agency:	Ministry of Public Works and Transport
Implementing Agency:	Provincial Governments of Kampong Cham, Kratie, Stung Treng
Date of Effectiveness:	14 December 2018
Closing Date:	30 June 2024
Date of Last ADB Mission:	6 November 2019

1.3 Cost Estimates and Financing Plan

1.3.1 CTDP4 Cost Estimates and Financing Plan

- 4 The total cost of the project is estimated at US\$88.5m including contingencies. The breakdown of cost estimates is given in Table 1.2.

Table 1.2 CTDP4 Summary Cost Estimates by Output (\$ million)

Item	Amount
A. Base Cost	
1. Urban environmental infrastructure improved	66.87
2. Institutional effectiveness improved, and policy and planning environment for regional economic connectivity enhanced	9.67
Sub-Total (A)	76.54
B. Contingencies	9.70
C. Financial Charges During Implementation	2.26
Total (A+B+C)	88.50

Source: Asian Development Bank estimates.

5 The summary of the financing plan for CTDP4 is shown in Table 1.3.

Table 1.3 CTDP4 Financing Plan

Source	Amount (\$ million)	Share of Total (%)
Asian Development Bank		
Ordinary capital resources (concessional loan)	77.00	87.0
Special Funds resources (ADF grants)*	3.00	3.4
Korea EAKPF	0.50	0.6
Government	8.00	9.0
Total	88.5	100.0

* Including \$1.5m from Disaster Risk Reduction Fund (DRRF)

Source: Asian Development Bank estimates.

2 Utilisation of Funds

2.1 Contract Awards and Disbursements

6 A summary of the total cumulative contract awards and disbursements is shown in Table 2.1. As of 30th September 2020, the contract awards amount to a total of **\$6,265,611**, and to date disbursements total **\$1,751,022**.

Table 2.1 Summary Contract Awards and Disbursement (US\$)

Fund	Allocation	Contracts Awarded	Uncontracted Loan/Grant Balance	Contracts Disbursed	Undisbursed Loan/Grant Balance	Undisbursed Contract Balance
	A	B	C = A-B	D	E = A-D	F = B-D
Loan 3686	78,500,000	5,735,611	72,764,389	1,335,152	77,164,848	4,400,458
Grant 0592	1,500,000	500,000	1,000,000	245,869	1,254,131	254,131
Grant 0593	500,000	-	500,000	100,000	400,000	(100,000)
Government	8,700,000	30,000	8,670,000	70,000	8,630,000	(40,000)
Total	89,200,000	6,265,611	82,934,389	1,751,022	87,448,978	4,514,589

Table 2.2 Consolidated Awards and Disbursements, Loan 3686 and Grants 0592 and 0593

Fund	Allocation	Contracts Awarded	Uncontracted Loan/Grant Balance	Contracts Disbursed	Undisbursed Loan/Grant Balance	Undisbursed Contract Balance	Contracts Awarded %	Contracts Disbursed %
	A	B	C = A-B	D	E = A-D	F = B-D	B/A	D/A
Civil works	59,115,000	-	59,115,000	-	59,115,000	-	0	0
Goods & equipment	1,350,000	16,000	1,234,000	-	1,350,000	116,000	9	0
Consulting services	7,097,000	6,119,611	977,389	1,020,971	6,076,029	5,098,640	86	14
Incre. Adm. Cost	981,000	-	981,000	-	981,000	-	0	0
Interest charge	2,256,000	-	2,256,000	10,051	2,245,949	(10,051)	0	0
Unallocated	9,701,000	-	9,701,000	-	9,701,000	-	0	0
Advance Account				650,000	(650,000)	(650,000)		
Total	80,500,000	6,235,611	74,264,389	1,681,022	78,818,978	4,554,589	8	2

Table 2.3 Awards and Disbursements Status, Loan 3686

Fund	Allocation	Contracts Awarded	Uncontracted Loan/Grant Balance	Contracts Disbursed	Undisbursed Loan/Grant Balance	Undisbursed Contract Balance
	A	B	C = A-B	D	E = A-D	F = B-D
Civil works	58,615,000	-	58,615,000	-	58,615,000	-
Goods & equipment	1,350,000	116,000	1,234,000	-	1,350,000	116,000
Consulting services	5,597,000	5,619,611	(22,611)	875,102	4,721,898	4,744,509
Incre. Adm. Cost	981,000	-	981,000	-	981,000	-
Interest charge	2,256,000	-	2,256,000	10,051	2,245,949	
Unallocated	9,701,000	-	9,701,000	-	9,701,000	-
Advance Account	-	-	-	450,000	(450,000)	
Total	78,500,000	5,735,611	72,764,389	1,335,152	77,164,848	4,860,509

Table 2.4 Awards and Disbursements Status, Grant 0592

Fund	Allocation	Contracts Awarded	Uncontracted Loan/Grant Balance	Contracts Disbursed	Undisbursed Loan/Grant Balance	Undisbursed Contract Balance
	A	B	C = A-B	D	E = A-D	F = B-D
Works	500,000	-	500,000	-	500,000	-
Cons Svcs Proj Mgt	500,000	500,000	-	145,869	354,131	354,131
Cons Svcs ITC	500,000	-	500,000	-	500,000	-
Advance Account	-	-	-	100,000	(100,000)	(100,000)
Total	1,500,000	500,000	1,000,000	245,869	1,254,131	254,131

Table 2.5 Awards and Disbursements Status, Grant 0593

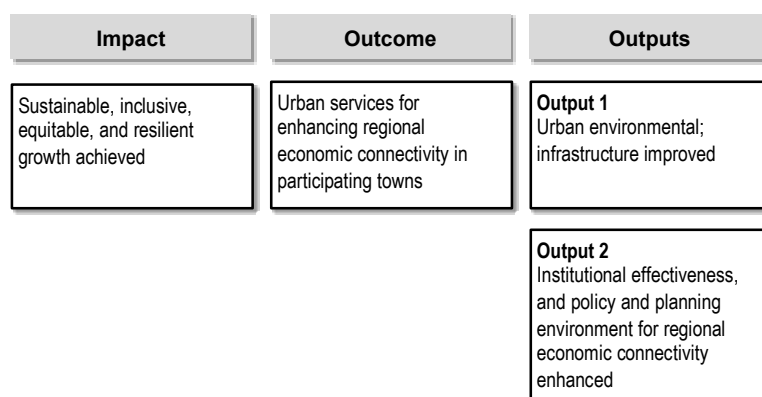
Fund	Allocation	Contracts Awarded	Uncontracted Loan/Grant Balance	Contracts Disbursed	Undisbursed Loan/Grant Balance	Undisbursed Contract Balance
	A	B	C = A-B	D	E = A-D	F = B-D
Cons Svcs ITC	500,000	-	500,000	-	500,000	-
Advance Account	-	-	-	100,000	(100,000)	(100,000)
Total	500,000	-	500,000	100,000	400,000	(100,000)

3 Project Scope and Purpose

3.1 Project Impact, Outcome and Outputs

- 7 The CTDP4 will improve urban services and enhance regional economic connectivity in provincial capital towns of Kampong Cham, Kratie, and Stung Treng along the Greater Mekong Subregion (GMS) Central Corridor in Cambodia. The project will finance key urban environmental infrastructure and enhance institutional effectiveness with a focus on private sector engagement and information and communication technology (ICT)-based public management systems, and the policy and planning environment for regional economic connectivity. The project will also improve institutional effectiveness to provide sustainable services and support an improved policy and planning environment for wastewater and solid waste management.
- 8 The Design and Monitoring Framework (DMF) is included in Annex A. The key elements of the DMF are summarised in Figure 3.1 below.
- 9 Currently no major changes to the Project scope or arrangements are envisaged and the Project should meet its original purpose.

Figure 3.1 CTDP4 Impact, Outcome and Outputs



3.2 Sub-Projects

- 10 The sub-projects as proposed in the RRP are summarised in Table 3.1. Review and verification of the scope of each of the sub-projects is ongoing at the time of this Quarterly Report.

Table 3.1 Proposed Civil Works Sub-Projects

Town	Sub-Projects	Scope of Sub-Projects
Kampong Cham	Wastewater	▪ 5,000 m ³ /day WWTP, 137km sewers, 4.3km drains
	Solid waste management	▪ 900,000m ³ landfill*
Kratie	Wastewater	▪ 4,900 m ³ /day WWTP*, 143km sewers, 12km drains
	Solid waste management	▪ 433,500m ³ landfill
	Town centre improvements	▪ Footways, streetlights etc. – scope to be determined
Stung Treng	Wastewater	▪ 3,650 m ³ /day WWTP*, 147km sewers, 12km drains
	Solid waste management	▪ 291,000m ³ landfill
	Town centre improvements	▪ Footways, streetlights etc. – scope to be determined

Source: PPTA reports

* Note: The required sizes of some landfills and WWTPs is under review by the PMC team

3.3 Safeguards

- 11 The overall status of social and environmental safeguards is summarised in Tables 3.3 and 3.4. The position regarding resettlement data/documentation and the next steps are shown in Table 3.2.
- 12 Initial Environmental Examinations (IEEs) were prepared for all the subprojects during the PPTA activities. PMC tasks regarding IEE and EMPs as per TOR are the following:

- Update/redraft IEEs based on the detailed engineering design and domestic IEIAs/EIAs
- Conduct additional analysis and data collection to ensure the final IEEs comply with ADB Safeguards Policy (SPS, 2009);
- Prepare contract specific EMPs and assist MPWT/PMU to agree with design-build contractors and/or attach to bidding documents or potential contractors

- 13 It has been unofficially agreed with the General Department of Resettlement (GDR) that PMC will support GDR in the preparation, processing and review of the resettlement documents, including definition of corridor of impact (COI) and its demarcation in the field, as well as support DMS data collection process in the field by joining the consultation meetings, data quality control and analysis.

Table 3.2 Resettlement Documentation and Next Steps

Town	Sub-Projects	Pending data / documentation	Next steps in following quarter
Kampong Cham	Wastewater	<ul style="list-style-type: none"> ▪ ROW for network ▪ Consultations and DMS/SES/RCS ▪ Consultation with lotus flower pickers 	<ul style="list-style-type: none"> ▪ Review final design and mitigate impact ▪ Start demarcation / DMS
	Solid waste management	<ul style="list-style-type: none"> ▪ None 	<ul style="list-style-type: none"> ▪ DDR completed, awaiting contract award
Kratie	Wastewater & Drainage	<ul style="list-style-type: none"> ▪ Outline design 	<ul style="list-style-type: none"> ▪ Prepare Screening report ▪ Review design and mitigate impact
	Solid waste management	<ul style="list-style-type: none"> ▪ SES with waste pickers 	<ul style="list-style-type: none"> ▪ Complete SES and consultations ▪ Prepare DDR
	Town centre improvements	<ul style="list-style-type: none"> ▪ Outline design from town planners 	<ul style="list-style-type: none"> ▪ Prepare Screening report ▪ Review design and mitigate impact
Stung Treng	Wastewater	<ul style="list-style-type: none"> ▪ Outline design 	<ul style="list-style-type: none"> ▪ Prepare Screening report ▪ Review design and mitigate impact
	Solid waste management	<ul style="list-style-type: none"> ▪ SES with waste pickers 	<ul style="list-style-type: none"> ▪ Complete SES and consultations ▪ Prepare DDR
	Town centre improvements	<ul style="list-style-type: none"> ▪ Outline design from town planners 	<ul style="list-style-type: none"> ▪ Prepare Screening report ▪ Review design and mitigate impact

3.4 Gender Action Plan

- 14 The CTDP4 Project is classified as Effective Gender Mainstreaming (EGM) and Gender Action Plan monitoring tables are shown in Annex E. Identified gender issues include insufficient solid waste collection services, limited sanitation and sewerage systems resulting in poor environmental sanitation, decrease in local business and income during flooding, limited participation in decision-making and representation in the water and sanitation sector of female staff; and limited opportunities to strengthen and expand job opportunities for women

Table 3.3 Status of IEIA Preparation

Documents	Submitted to MoE	Approved By MoE:	Status – if not approved	Comment
IESIA CW06 – Kampong Cham: Wastewater and drainage	n/a			Technical meeting with MoE on 15 th September 2020 and draft IESIA has been revised
IESIA CW07 – Kratie: Wastewater and drainage	n/a			The first draft of IESIA was submitted to MoE on 30 th September 2020
IESIA CW08 – Stung Treng: Wastewater and drainage	n/a			The first draft of IESIA was submitted to MoE on 29 th September 2020
IESIA CW03 – Kampong Cham: Solid waste management	n/a			TOR not approved by MoE
IESIA CW04 – Kratie: Solid waste management	n/a			Technical meeting with MoE on 21 st September 2020 and draft IESIA is being revised
IESIA CW05 – Steung Treng: Solid waste management	n/a			Technical meeting with MoE on 21 st September 2020 and draft IESIA is being revised

Table 3.4 Status of Resettlement Safeguards

CTDP4	Subproject / IR category	Site selection	Land ownership	MOE approval	Cadastre data	Demarcation	Consultations / PIB	Land screening report	Land acquired (ha)	DED submitted to GDR	Consultation	DMS/SES & RCS	DRP / DDR	Comments
Kampong Cham	Landfill / C	Yes	State	Yes	Yes		PIB submitted	Submitted	n/a	DED on-going	n/a	n/a	Screening submitted to ADB 5/20	Landfill site changed within State land for environmental reasons. Environment mitigation needed in site design. Land screening report approval date from ADB needed
	WWTP / B	Yes	State	Requested	ROW needed		PIB submitted	Submitted	n/a	DED being finalized	Aug-Sep.20	Aug-Sep.20	DRP Nov.20	IRP for lotus pickers started. ROW and cadastral data for site and network COI urgently needed!
Kratie	Landfill / C	Yes	State	Yes	Yes	Yes	Draft PIB	Submitted	n/a	DED on-going	May.20	n/a	DDR Nov.20	DED to take into account that site contaminated (waste dumping has started) and in middle of community forest. Consultation and IRP needed for waste pickers
	WWTP / B	Yes	Private				21.12.2017	Draft		Topo and geo-tech surveys on-going				WWTP site preliminary impact screening needed
	Town center	TBC	State						n/a					Urban planning needed
Stueng Treng	Landfill / C	Yes	State	Yes	Yes	Yes	Draft PIB	Submitted	n/a	DED on-going	May.20	n/a	DDR Nov.20	Consultation and IRP needed for waste pickers
	WWTP / B	Yes	Private				20.12.2017			Topo and geo-tech surveys on-going				WWTP site preliminary impact screening needed
	Town centre	TBC	State						n/a					Existing drainage and town improvement works started by Municipality. Urban planning needed

4 Implementation Progress

4.1 Project Organisation and Management

- 15 The contract for PMC (Contract No. PMU/MPWT/TS-2&CTP-4/CS01) was signed on 26 June 2019 between the Ministry of Public Works and Transport (MPWT) (The Client) and Ramboll Danmark A/S in Joint Venture with Oriental Consultant Global Co., Ltd. and SCE in association with Tancons (Cambodia) Co., Ltd (The Consultant).
- 16 The PMC was mobilized on 15 July 2019. The kick-off meeting took place at MPWT in Phnom Penh on 17th of July 2019 attended by key stakeholders which addressed the overall planning of the project describing the key issues including subproject land status, priorities, future plans and understanding risks in order to develop a clear strategy and work plan.
- 17 The Ministry of Public Works and Transport (MPWT) is the Project's executing agency (EA) and will manage the implementation of the Project's outputs through a Project Management Unit (PMU) based in MPWT's main office in Phnom Penh.
- 18 Project Implementation Units (PIUs) have been established in each of the Project towns. These are embedded within the provincial Departments of Public Works and Transport (DPWT) for the different provinces.
- 19 As the available office space available in MPWT is insufficient to accommodate the large team, the PMC have rented an office space on the corner of Street 51 and Street 228. This will be the Project Office for at least one year.

4.1.1 Contract Variations

- 20 No contract variation requests have yet been submitted. The history and status of contract variations will be presented using the format in Table 4.1 in future Quarterly Reports.

Table 4.1 Contract Variations, Current and Previous Quarters

Period	Variation No.	Key Dates:		Main Items in Variation
		Submitted	Approved by MPWT	
<i>Previous</i>	1	*	22 Oct 2019	Replacement International TL and National DTL
<i>Quarters</i>	2		Feb 2020	Change of scope – separate sewers, not combined and traditional procurement not D&B in CTDP4, removal of procurement tasks from PMC, change in scope of urban and provincial plan preparation
	3	29 June 2020	29 June 2020	Change in payment schedule for urban and provincial planning Agreement for PMU to pay sub-contractors for preparation of IEIAs
<i>This Quarter</i>	4	11 September 2020	11 September 2020	Replacement of National Deputy Team Leader 2

4.2 Progress Monitoring

- 21 A Project Performance Monitoring System (PPMS) is being used to record the progress of the project. Summaries of progress by sub-project are shown in Annex C.
- 22 The current status of all project activities is summarised in Table 4.2

Table 4.2 Status of Sub-projects and other Project Activities

CIVIL WORKS AND EQUIPMENT

Contract No.	Town	Site Approval	Topo Survey	Geo Survey	Safeguards	Status	Action Required	Action By
CTDP4								
CW06 Wastewater WWTP, sewers, drains	Kampong Cham	▪ MOE have to visit WWTP site and approve	▪ Yes	▪ Yes	▪ Screening report in draft	▪ DED ongoing ▪ Revised WWTP option presented to PMU and agreed ▪ Results of additional geotechnical boreholes in wetland being assessed	▪ Geotechnical survey for network	▪ PMC
CW07 Wastewater WWTP, sewers, drains	Kratie	▪ Site not finalised	▪ Yes	▪ Not yet	▪ Screening report in draft	▪ WWTP site identified ▪ Drainage to be reviewed ▪ Scoping report in preparation	▪ Scoping report	▪ PMC
CW08 Wastewater WWTP, sewers, drains	Stung Treng	▪ Site not yet finalised	▪ Yes	▪ Not yet	▪ Screening report in draft	▪ WWTP site identified - site is high so pumping will be required ▪ Scoping report in preparation	▪ Scoping report	▪
CW03 Landfill	Kampong Cham	▪ Conditional - MOE 27.8.19	▪ Yes	▪ Not yet	▪ Land screening submitted 4 th Feb 2020	▪ PPTA review and scoping report is being prepared ▪ Awaiting final MOE approval?	▪ Intl SWM expert to review	▪ PMC ▪ PMU
CW04 Landfill	Kratie	▪ MOE 2.5.19	▪ Yes	▪ Not yet	▪ Land screening submitted 6 Feb 2020	▪ Existing dumping at site	▪ Intl SWM expert to review	▪ PMC ▪ PMU
CW05 Landfill	Stung Treng	▪ MOE 2.5.19	▪ Yes	▪ Not yet	▪ Land screening submitted 6 Feb 2020	▪ Topo survey completed	▪ Intl SWM expert to review	▪ PIU
CW01 Town centre improvements	Kratie	▪ ?	▪ Within area surveyed already	▪ N/A	▪ No social or env impacts expected	▪ Revised proposals received from PIU	▪ To be reviewed and cost estimated	▪ PIU
CW02 Town centre improvements	Stung Treng	▪ ?	▪ Within area surveyed already	▪ N/A	▪ No social or env impacts expected	▪ Revised proposals received from PIU	▪ Reallocate funds?	▪ PMU
G02 SWM equipment	Kampong Cham, Kratie Stung Treng		▪	▪	▪	▪ No action to date	▪	▪ PMC

PROVINCIAL PLANS AND LAND USE MASTER PLANS

Output/Activity	Location/Coverage	Status	Action Required	Action By
CTDP4				
2.5	Provincial spatial plan	Kampong Cham	<ul style="list-style-type: none"> Initial site visits and meetings undertaken 	<ul style="list-style-type: none"> Data analysis and draft plan PMC
2.6	Provincial spatial plan	Kratie,	<ul style="list-style-type: none"> Initial site visits and meetings undertaken 	<ul style="list-style-type: none"> Data analysis and draft plan PMC
2.7	Provincial spatial plan	Stung Treng	<ul style="list-style-type: none"> Initial site visits and meetings undertaken 	<ul style="list-style-type: none"> Data analysis and draft plan PMC
2.8	LUMP Review	Kampong Cham	<ul style="list-style-type: none"> Draft LUMP received from MLMUPC Review ongoing 	<ul style="list-style-type: none">
2.9	LUMP Review	Kratie	<ul style="list-style-type: none"> Draft LUMP received from MLMUPC Initial review submitted to MLMUPC & presented on 3rd June 2020 	<ul style="list-style-type: none">

OTHER ACTIVITIES

Item	Status	Action Required	Action By
3.1	IEC	<ul style="list-style-type: none"> Draft TOR submitted to PMU 	<ul style="list-style-type: none"> Shortlist and recruit NGO PMU/PMC
3.2	Capacity Building	<ul style="list-style-type: none"> Training needs assessment done First training planned in August – but now delayed due to Covid-19 	<ul style="list-style-type: none"> PMU

4.3 Implementation Activities

4.3.1 Consultant Team

23 The PMC team members mobilised during the quarter are shown in Table 4.3.

Table 4.3 International and National Consultants Active During the Quarter

Position	Name
International	
Team Leader	Richard Mabbitt
Deputy Team Leader	Lars Orio
Wastewater / Civil Engineer 2	Michael Odgaard Nielsen
Social Safeguards and Resettlement Specialist	Teemu Jantunen
Urban Development Specialist	Howard Trett
Urban and Regional Planner	Hisako Kobayashi
Development Economist	Richard Corsel
Urban and Regional Economist	Manabu Fujikawa
Project Performance Monitoring Specialist	Nils Gardek
Solid Waste Expert	Steve Long
Social and Gender Expert	Alexander Timmermann
Senior Design Engineer	Dhanesh Prasad
National	
National Deputy Team Leader TS2	Ho Ratha
National Deputy Team Leader CTDP4	Ly Nara
Environmental Safeguard Specialist	Yim Chamnan
Sanitation Engineer	Chung Sarit
Social Safeguards & Resettlement Specialist	Mel Sophanna
SWM Specialist	Yin Somean
Urban Planner	Seng Touch

4.3.2 General Activities

24 Activities during the quarter have included review of the PPTA proposals, meetings with stakeholders, site visits to the towns, topographical and geotechnical surveys and initial design work.

4.3.3 Activities by Output

25 The key activities undertaken during this quarter are summarised in Table 4.4 below.

Table 4.4 Key Implementation Activities by Output During the Reporting Period

Outputs	Summary of Activities Undertaken
Output 1: Urban Environmental Infrastructure Improved	<i>Kampong Cham – Wastewater:</i>
	<ul style="list-style-type: none"> ▪ Service area population analysis ▪ Review of WWTP site and capacity ▪ DED ongoing
	<i>Kampong Cham – Solid Waste Management:</i>
	<ul style="list-style-type: none"> ▪ Service area population analysis ▪ Ongoing landfill site review
	<i>Kratie – Wastewater:</i>
	<ul style="list-style-type: none"> ▪ Service area population analysis ▪ WWTP site reviewed
	<i>Kratie – Solid Waste Management:</i>
	<ul style="list-style-type: none"> ▪ Service area population analysis

	<p><i>Kratie – Town Centre Improvements:</i></p> <ul style="list-style-type: none"> Initial list of sub-project components received from DPWT
	<p><i>Stung Treng – Wastewater:</i></p> <ul style="list-style-type: none"> Service area population analysis Location for WWTP being reviewed Site visit by TL and team
	<p><i>Stung Treng – Solid Waste Management:</i></p> <ul style="list-style-type: none"> Service area population analysis
	<p><i>Stung Treng – Town Centre Improvements:</i></p> <ul style="list-style-type: none"> Initial list of sub-project components received from DPWT
Output 2: Institutional effectiveness, and policy and planning environment Urban Environmental Infrastructure Improved	<p><i>Kampong Cham, Kratie, Stung Treng</i></p> <ul style="list-style-type: none"> Meetings with provincial and municipal authorities Data collection and reviews

4.3.4 Meetings

26 The key meetings held during this quarter are listed in Annex B.

4.4 Project Costs

27 Estimates of sub-project costs are shown in Table 4.5. These costs are based on the PPTA estimates and will be refined and adjusted following completion of detailed designs.

Table 4.5 Current Estimates of Sub-project Costs

Town	Sub-Project	Cost Estimate US\$m
Kampong Cham	WWTP and sewerage	17.26
	Landfill site	6.18*
Kratie	WWTP and sewerage	14.14
	Landfill site	5.57*
	Town centre improvements	0.75
Stung Treng	Wastewater	14.45
	Landfill site	5.92*
	Town centre improvements	0.75
Kampong Cham, Kratie, Stung Treng	SWM Equipment	1.35

*PPTA estimates. These are under review and will be assessed in forthcoming PPTA review and scoping report

4.5 Procurement

4.5.1 Procurement Plan

28 The Procurement Plan for CTDP4 is shown in Annex F.

4.5.2 Procurement of Civil Works and Equipment

29 There has been no procurement of civil works or equipment (other than for the implementation consultants) thus far. The status of procurement of civil works and equipment contracts is summarised in Table 4.6.

Table 4.6 Status of Civil Works and Equipment Contracts

Package No.	Package Name	Estimated Value (\$m)	Method of Procurement	Type of Contract	Review	Submission of Proposed Bidding Documents to ADB	ADB Approval of Bid Docs	Invitation for Bid/ RFP Issued	Pre-Bid Meeting	Bid Opening / Receipt of Proposals	BER to ADB	ADB Approval of BER/ Issuance of NOL	Contract Signing
CW03	Kampong Cham Solid Waste Management	6.18	ICB	Works	Prior								
CW04	Kratie Solid Waste Management	5.57	ICB	Works	Prior								
CW05	Stung Treng Solid Waste Management	5.92	ICB	Works	Prior								
CW06	Kampong Cham Wastewater and Drainage	17.26	ICB	Works	Prior								
CW07	Kratie Wastewater and Drainage	14.14	ICB	Works	Prior								
CW08	Stung Treng Wastewater and Drainage	14.45	ICB	Works	Prior								
G02	SW Collection Vehicles and Landfill Equipment	1.35	NCB	Equipment	Prior								
CW01	Town Center Environmental Enhancement for Kratie	0.75	NCB	Works	Prior								
CW02	Town Center Environmental Enhancement for Stung Treng	0.75	NCB	Works	Post								
CS02	ICT for Public Management	1.00	QCBS	Cons	Prior								

4.6 Provincial Planning and Municipality Planning

30 Following a number of discussions with MLMUPC and PMU, it was agreed to refocus the planning outputs of the project on assisting MLMUPC with some of their statutory plans. This change was approved in VO2.

31 The plans now being prepared are shown in Table 4.7 compared with the provisions of the TOR.

Table 4.7 Plans to be Prepared

TOR	Revised Scope
<ul style="list-style-type: none"> ▪ Formulation of Five-Year Provincial Development Strategies for: <ul style="list-style-type: none"> • Kampong Cham • Kratie • Stung Treng ▪ Formulation of Urban Development Master Plan for: <ul style="list-style-type: none"> • Kampong Cham, • Kratie, and • Stung Treng 	<ul style="list-style-type: none"> ▪ Formulation of Provincial Spatial Plans for: <ul style="list-style-type: none"> • Kampong Cham • Kratie • Stung Treng ▪ Review of Draft Land Use Master Plans for <ul style="list-style-type: none"> • Kampong Cham, and • Kratie ▪ Capacity building of MLMUPC, Provinces, and Municipalities

32 3 Activities during the quarter have included the meetings with MLMUPC, PDLMUPCs, and the provinces for data and information collection and analysis for the formulation of the draft Provincial Spatial Plans (PSPs) for Kampong Cham, Kratie, and Stung Treng and the review of the draft Land Use Master Plan (LUMP) for Kampong Cham.

33 The key planning activities undertaken during this quarter are summarised in Table 4.8 below.

Table 4.8 Key Planning Activities During the Reporting Period

Outputs	Summary of Activities Undertaken
Formulation of Provincial Spatial Plan	<p><i>Kampong Cham:</i></p> <ul style="list-style-type: none"> ▪ Meeting with the PDLMUPC and the Secretariat members for data collection. ▪ Data and information of the current situation, including population, economy, infrastructure, physical characteristics, protected areas, disaster prone areas, etc. have been collected and analysed. ▪ Relevant plans and policies have been collected and reviewed. ▪ Base maps and relevant maps are under preparation for analysis. ▪ The situation analysis report is in progress. <p><i>Kratie:</i></p> <ul style="list-style-type: none"> ▪ Meeting with the PDLMUPC and the Secretariat members for data collection. ▪ Data and information of the current situation, including population, economy, infrastructure, physical characteristics, protected areas, disaster prone areas, etc. have been collected and analyzed. ▪ Relevant plans and policies have been collected and reviewed. ▪ Base map and relevant maps are under preparation for analysis. ▪ The situation analysis report is in progress. <p><i>Stung Treng:</i></p> <ul style="list-style-type: none"> ▪ Meeting with the PDLMUPC and the Secretariat members for data collection. ▪ Data and information of the current situation, including population, economy, infrastructure, physical characteristics, protected areas, disaster prone areas, etc. have been collected and analyzed. ▪ Relevant plans and policies have been collected and reviewed.

	<ul style="list-style-type: none">▪ Base maps and relevant maps are under preparation for analysis.▪ The situation analysis report is in progress.
Review of Draft Land Use Plan	<p><i>Kampong Cham:</i></p> <ul style="list-style-type: none">▪ Meeting with the PDLMUPC and the Secretariat members for data collection.▪ Data and information of the current situation, including population, economy, infrastructure, physical characteristics, disaster prone areas, etc. have been collected and analyzed.▪ Relevant plans and policies have been collected and reviewed.▪ Draft LUMP received from MLMUPC on March 20, is under review.▪ The review report is in progress.

5 Compliance with Covenants

34 The status of covenant compliance is shown in the tables in Annex G.

6 Project Implementation Issues

35 The Covid-19 pandemic has had an impact on project operations. Some international staff, including the Team Leader returned to their home countries and continued to work from there. Thus far only the Team Leader and the International Resettlement Specialist have returned to Cambodia.

36 After a period of only video meetings, normal face-to-face meetings have resumed, except for MLMUPC.

7 Work Plan

37 The summary schedule is shown in Annex D.

Annex A: Design and Monitoring Framework

CTDP4 Design and Monitoring Framework

Impact the Project is aligned with sustainable, inclusive, equitable, and resilient growth achieved (National Strategic Development Plan, 2014–2018) ^a			
Results Chain	Performance Indicators with Targets and Baselines	Data Sources and Reporting	Risks
Outcome Urban services for enhancing regional economic connectivity in participating towns improved	By 2026 a. 31% of the town population in Kampong Cham, Kratie, and Stung Treng (41,400 residents) serviced by new wastewater collection and treatment facilities (2017 baseline: 0 resident) b. 90% of the town population in Kampong Cham, Kratie, and Stung Treng (120,400 residents) residents served by improved solid waste management (2017 baseline: 48,000 residents) c. Incidence of flood and/or waterlogging reduced by 80% in the project service area (2017 baseline: six flood events per year in Kampong Cham, Kratie, and Stung Treng)	a–c. Annual reports by DPWTs and MPWT; project completion report	Lack of financial sustainability caused by limited cost recovery and/or low willingness of households to connect to sewerage network or pay for services. Poor O&M of project infrastructure given limited local capacity.
Outputs 1. Urban environmental infrastructure improved	By 2024 1a. Three new lagoon-based wastewater treatment plants with 13,750 m ³ /day capacity constructed (2017 baseline: 0) 1b. At least 420 km combined trunk and wastewater collection pipeline constructed (2017 baseline: 0) 1c. At least 9,000 households and commercial buildings connected to the wastewater treatment system (including 1,500 households headed by women) (2017 baseline: 0) 1d. Three controlled landfills with capacity of 1,624,500 m ³ constructed and operational (2017 baseline: 0) 1e. At least 16,000 m ² of pedestrian walk rehabilitated (2017 baseline: not available) 1f. 30% of staff employed in O&M are women (baseline 2017: 0)	1a–1f. Project progress reports	Construction delays caused by late approval and implementation of land acquisition and/or resettlement.
2. Institutional effectiveness, and policy and planning environment for regional economic connectivity enhanced	2a. Two ICT-based public management systems for improved work productivity and transparency in three provincial governments deployed (2017 baseline: not available)	2a–2c. Project progress reports	

	<p>2b. Provincial five-year development plans with regional cooperation and development strategy approved in Kampong Cham, Kratie, and Stung Treng (2017 baseline: not available)</p> <p>2c. At least 120 government staff (at least 50% women) in the provincial governments increased ICT skills to operate the ICT-based public services (2017 baseline: 0)</p>		
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Key Activities with Milestones

1. Urban environmental infrastructure improved

- 1.1. Complete the bidding documents for solid waste management component by Q1 2019
- 1.2. Complete the bidding document for solid waste management goods component by Q1 2020
- 1.3. Complete the bidding documents for wastewater treatment component by Q3 2019
- 1.4. Complete the bidding document for the town center enhancement component by Q3 2019
- 1.5. Complete the engagement of solid waste management contractor(s) by Q4 2020
- 1.6. Complete the engagement of wastewater treatment contractor(s) by Q1 2020
- 1.7. Complete the engagement of the town center enhancement contractor(s) by Q1 2020
- 1.8. Complete the land acquisition and resettlement activities in Kratie by Q1 2020
- 1.9. Complete the land acquisition and resettlement activities in Stung Treng by Q1 2020
- 1.10. Complete construction by Q4 2023
- 2. Institutional effectiveness, and policy and planning environment for regional economic connectivity enhanced
 - 2.1. Complete procurement of the ICT consulting service by Q3 2019
 - 2.2. Ensure the completion of the ICT consulting service by Q4 2023

Project Management Activities

Complete the procurement of the project management consulting service by Q1 2019
 Ensure the completion of the project management consulting service by Q2 2024
 Complete environmental management plan key activities, resettlement plan key activities, gender action plan key activities, and communication strategy key activities by Q2 2024

Inputs

Asian Development Bank: \$78.5 million (concessional loan)
 Asian Development Fund Disaster Risk Reduction Fund: \$1.5 million (grant) Republic of Korea e-Asia and Knowledge Partnership Fund: \$0.5 million (grant) Government: \$8.0 million

Assumption for Partner Financing

Not applicable

DPWT = Department of Public Works and Transport, ICT = information and communication technology, km = kilometer, m² = square meter, m³ = cubic meter, m³/day = cubic meter per day, MPWT = Ministry of Public Works and Transport, O&M = operation and maintenance, Q = quarter.

^a Government of Cambodia, Ministry of Planning. 2014. National Strategic Development Plan, 2014–2018. Phnom Penh.

Source: Asian Development Bank

Annex B: List of Key Meetings Held During the Quarter

Date	
7-10 July 14-17 July 21-24 July	Sanitation Engineers to conduct Corridor of Impact (COI) for the Kampong Cham Wastewater and Drainage Sub-project
27-29 July	Urban Planning Team to have meeting with Department and the Secretariat of the Provincial Committee of Land Management and Urban Planning, Stung Treng (Draft Stung Treng Provincial Spatial Plan)
30-31 July	PMC Team to attend a presentation from DPWT of the history, design and operation of the WWTP Siem Reap
13 August	Urban Planning Team to have meeting with Department and the Secretariat of the Provincial Committee of Land Management and Urban Planning, Kratie (Draft Kratie Provincial Spatial Plan)
14 August	Urban Planning Team to have meeting with Department and the Secretariat of the Provincial Committee of Land Management and Urban Planning, Kampong Cham (Draft Kampong Cham Provincial Spatial Plan)
1-2 September	Environmental Safeguard Specialist to join with MoE reviewing Team and SAWAC conduct Landfill subproject site visits and meeting with villages, communes, town and concerning provincial departments for reviewing IESIA report for Landfill subproject in Kratie province
2 September	Wastewater / Civil Engineer 2 and Sanitation Engineer to have a site visit of Kampong Cham Wastewater Treatment plant
2-3 September	Environmental Safeguard Specialist to join with MoE Reviewing Team and SAWAC to conduct Landfill site visits and meeting with villages, communes, town, and provincial departments for reviewing of the IESIA report of Landfill subproject in Stung Treng.
28-29 September	National Deputy Team Leader CTDP4 to have a short introduction meeting with PIUs (DPWT, Municipality, Cadastral Office etc.), Site visits WWTP including access road and pumping main routing, possible pump stations locations, service area for Kratie
30 September	National Deputy Team Leader CTDP4 to have a meeting with PIUs (DPWT, Municipality) in Stung Treng to discuss the solid waste sub-projects (landfills), and visiting the proposed landfill site and existing dumpsite.

Annex C: Project Progress by Output

Activities	Weighting	Plan/Progress (%)	
Overall		Plan cumulative %	46.44%
		Progress to date	22.00%
Output 1: Urban environmental infrastructure improved	85%	Plan cumulative %	48.23%
		Progress to date	20.25%
Wastewater Treatment Plants, Sewerage and Drainage		Plan cumulative %	50.08%
		Progress to date	24.63%
i. Kampong Cham WWTP, Sewerage and Drainage		Plan cumulative %	66.50%
		Progress to date	47.40%
ii. Kratie WWTP, Sewerage and Drainage		Plan cumulative %	51.50%
		Progress to date	14.00%
iii. Stung Treng WWTP, Sewerage and Drainage		Plan cumulative %	32.25%
		Progress to date	12.50%
Wastewater Treatment Plants	20%	Plan cumulative %	50.08%
		Progress to date	27.80%
i. Kampong Cham WWTP	33%	Plan cumulative %	66.50%
		Progress to date	56.65%
Site Selection, Surveys and Approval	10%	Cumulative %	100.00%
Preparation of Resettlement Plan/Due Diligence Review	5%	Cumulative %	75.00%
Preparation and Approval of IEE & EMP	10%	Cumulative %	90.00%
Preparation and Approval of IEIA	10%	Cumulative %	86.00%
Implementation of Land Acquisition and Resettlement/Site Purchase	3%	Cumulative %	50.00%
Monitoring of Social and Environmental Safeguards	5%	Cumulative %	0.00%
Implementation of GAP and Communication Plan	5%	Cumulative %	0.00%
Preparation of Detailed Engineering Design	15%	Cumulative %	96.00%
Preparation of BOQ and specs	10%	Cumulative %	80.00%
Preparation of Bidding Documents	2%	Cumulative %	70.00%
Bidding process/Award of contract	5%	Cumulative %	0.00%
Construction and Installation	20%	Cumulative %	0.00%

ii. Kratie WWTP	33%	Plan cumulative % Progress to date	51.50% 14.00%
Site Selection, Surveys and Approval	10%	Cumulative %	95.00%
Preparation of Resettlement Plan/Due Diligence Review	5%	Cumulative %	0.00%
Preparation/update and Approval of IEE & EMP	10%	Cumulative %	0.00%
Preparation and Approval of IEIA	10%	Cumulative %	30.00%
Implementation of Land Acquisition and Resettlement/Site Purchase	3%	Cumulative %	0.00%
Monitoring of Social and Environmental Safeguards	5%	Cumulative %	0.00%
Implementation of GAP and Communication Plan	5%	Cumulative %	0.00%
Preparation of Detailed Engineering Design	15%	Cumulative %	10.00%
Preparation of BOQ and specs	10%	Cumulative %	0.00%
Preparation of Bidding Documents	2%	Cumulative %	0.00%
Bidding process/Award of contract	5%	Cumulative %	0.00%
Construction and Installation	20%	Cumulative %	0.00%
iii. Stung Treng WWTP	33%	Plan cumulative % Progress to date	31.75% 12.75%
Site Selection, Surveys and Approval	10%	Cumulative %	95.00%
Preparation of Resettlement Plan/Due Diligence Review	5%	Cumulative %	0.00%
Preparation/update and Approval of IEE & EMP	10%	Cumulative %	0.00%
Preparation and Approval of IEIA	10%	Cumulative %	25.00%
Implementation of Land Acquisition and Resettlement/Site Purchase	3%	Cumulative %	0.00%
Monitoring of Social and Environmental Safeguards	5%	Cumulative %	0.00%
Implementation of GAP and Communication Plan	5%	Cumulative %	0.00%
Preparation of Detailed Engineering Design	15%	Cumulative %	5.00%
Preparation of BOQ and specs	10%	Cumulative %	0.00%
Preparation of Bidding Documents	2%	Cumulative %	0.00%
Bidding process/Award of contract	5%	Cumulative %	0.00%
Construction and Installation	20%	Cumulative %	0.00%

Sewers, Drainage & Households and Commercial buildings Connections	30%	Plan cumulative % Progress to date	50.08% 21.47%
i. Kampong Cham Sewerage & Drainage	33%	Plan cumulative % Progress to date	66.50% 38.15%
Site Selection, Surveys and Approval	10%	Cumulative %	100.00%
Preparation of Resettlement Plan/Due Diligence Review	5%	Cumulative %	85.00%
Preparation and Approval of IEE & EMP	10%	Cumulative %	0.00%
Preparation and Approval of IEIA	10%	Cumulative %	85.00%
Implementation of Land Acquisition and Resettlement/Site Purchase	3%	Cumulative %	0.00%
Monitoring of Social and Environmental Safeguards	5%	Cumulative %	0.00%
Implementation of GAP and Communication Plan	5%	Cumulative %	0.00%
Preparation of Detailed Engineering Design	15%	Cumulative %	96.00%
Preparation of BOQ and specs	10%	Cumulative %	0.00%
Preparation of Bidding Documents	2%	Cumulative %	50.00%
Bidding process/Award of contract	5%	Cumulative %	0.00%
Construction and Installation	20%	Cumulative %	0.00%
ii. Kratie Sewerage & Drainage	33%	Plan cumulative % Progress to date	51.50% 14.00%
Site Selection, Surveys and Approval	10%	Cumulative %	95.00%
Preparation of Resettlement Plan/Due Diligence Review	5%	Cumulative %	0.00%
Preparation/update and Approval of IEE & EMP	10%	Cumulative %	0.00%
Preparation and Approval of IEIA	10%	Cumulative %	30.00%
Implementation of Land Acquisition and Resettlement/Site Purchase	3%	Cumulative %	0.00%
Monitoring of Social and Environmental Safeguards	5%	Cumulative %	0.00%
Implementation of GAP and Communication Plan	5%	Cumulative %	0.00%
Preparation of Detailed Engineering Design	15%	Cumulative %	10.00%
Preparation of BOQ and specs	10%	Cumulative %	0.00%
Preparation of Bidding Documents	2%	Cumulative %	0.00%
Bidding process/Award of contract	5%	Cumulative %	0.00%
Construction and Installation	20%	Cumulative %	0.00%

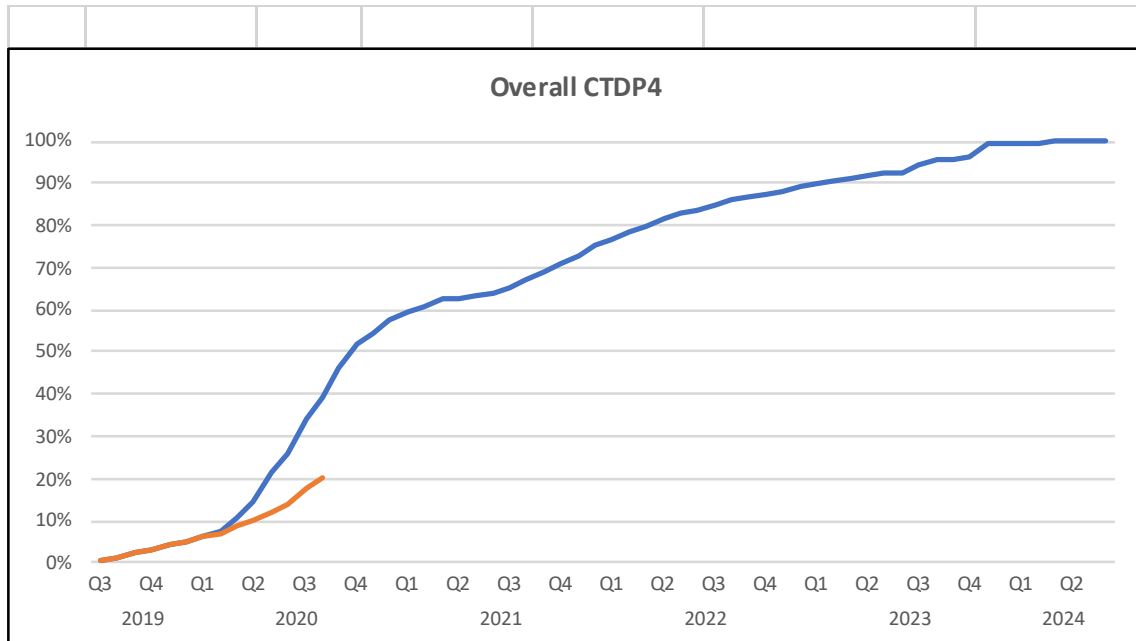
iii. Stung Treng Sewerage & Drainage	33%	Plan cumulative % Progress to date	31.75% 12.25%
Site Selection, Surveys and Approval	10%	Cumulative %	100.00%
Preparation of Resettlement Plan/Due Diligence Review	5%	Cumulative %	0.00%
Preparation/update and Approval of IEE & EMP	10%	Cumulative %	0.00%
Preparation and Approval of IEIA	10%	Cumulative %	15.00%
Implementation of Land Acquisition and Resettlement/Site Purchase	3%	Cumulative %	0.00%
Monitoring of Social and Environmental Safeguards	5%	Cumulative %	0.00%
Implementation of GAP and Communication Plan	5%	Cumulative %	0.00%
Preparation of Detailed Engineering Design	15%	Cumulative %	5.00%
Preparation of BOQ and specs	10%	Cumulative %	0.00%
Preparation of Bidding Documents	2%	Cumulative %	0.00%
Bidding process/Award of contract	5%	Cumulative %	0.00%
Construction and Installation	20%	Cumulative %	0.00%
Landfills	20%	Plan cumulative % Progress to date	59.77% 22.33%
i. Kampong Cham Landfill	33%	Plan cumulative % Progress to date	59.70% 23.00%
Site Selection, Surveys and Approval	10%	Cumulative %	100.00%
Preparation/update and Approval of IEE & EMP	10%	Cumulative %	10.00%
Preparation and Approval of IEIA	10%	Cumulative %	75.00%
Monitoring of Social and Environmental Safeguards	6%	Cumulative %	0.00%
Implementation of GAP and Communication Plan	6%	Cumulative %	0.00%
Preparation of Detailed Engineering Design	15%	Cumulative %	30.00%
Preparation of BOQ and specs	10%	Cumulative %	0.00%
Preparation of Bidding Documents	2%	Cumulative %	0.00%
Bidding process/Award of contract	6%	Cumulative %	0.00%
Construction and Installation	25%	Cumulative %	0.00%

ii. Kratie Landfill	33%	Plan cumulative % Progress to date	61.10% 23.00%
Site Selection, Surveys and Approval	10%	Cumulative %	100.00%
Preparation of Resettlement Plan/Due Diligence Review	5%	Cumulative %	110.00%
Preparation/update and Approval of IEE & EMP	10%	Cumulative %	0.00%
Preparation and Approval of IEIA	10%	Cumulative %	75.00%
Monitoring of Social and Environmental Safeguards	6%	Cumulative %	0.00%
Implementation of GAP and Communication Plan	6%	Cumulative %	0.00%
Preparation of Detailed Engineering Design	15%	Cumulative %	0.00%
Preparation of BOQ and specs	10%	Cumulative %	0.00%
Preparation of Bidding Documents	2%	Cumulative %	0.00%
Bidding process/Award of contract	6%	Cumulative %	0.00%
Construction and Installation	20%	Cumulative %	0.00%
iii. Stung Treng Landfill	33%	Plan cumulative % Progress to date	58.50% 21.00%
Site Selection, Surveys and Approval	10%	Cumulative %	100.00%
Preparation of Resettlement Plan/Due Diligence Review	5%	Cumulative %	100.00%
Preparation/update and Approval of IEE & EMP	10%	Cumulative %	0.00%
Preparation and Approval of IEIA	10%	Cumulative %	60.00%
Monitoring of Social and Environmental Safeguards	6%	Cumulative %	0.00%
Implementation of GAP and Communication Plan	6%	Cumulative %	0.00%
Preparation of Detailed Engineering Design	15%	Cumulative %	0.00%
Preparation of BOQ and specs	10%	Cumulative %	0.00%
Preparation of Bidding Documents	2%	Cumulative %	0.00%
Bidding process/Award of contract	6%	Cumulative %	0.00%
Construction and Installation	20%	Cumulative %	0.00%

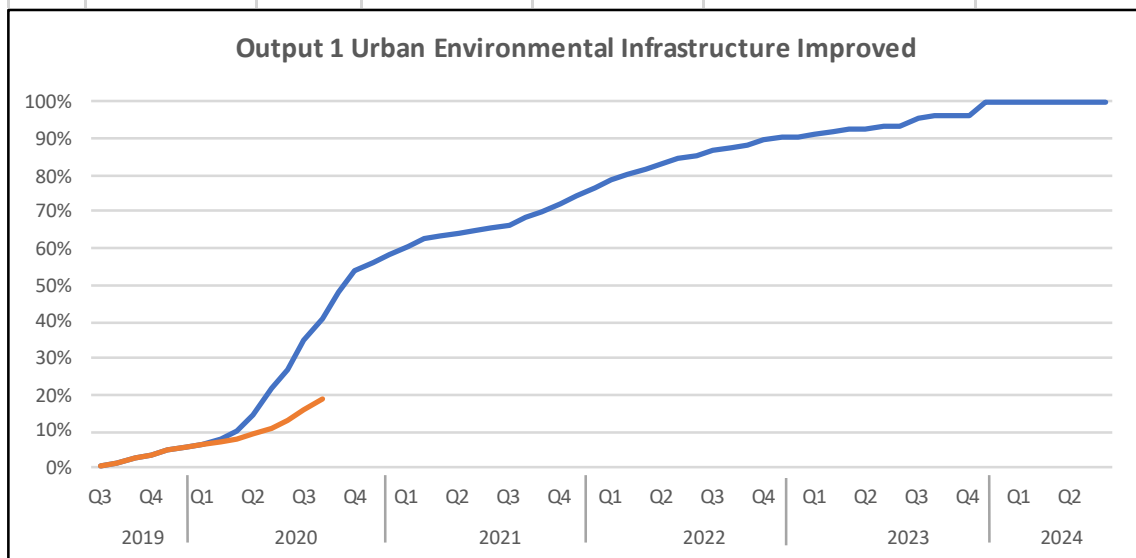
Town Centre Enhancements	10%	Plan cumulative % Progress to date	40.00% 7.50%
i. Kratie Town Centre Enhancements	50%	Plan cumulative % Progress to date	40.00% 10.00%
Site Selection, Surveys and Approval	10%	Cumulative %	70.00%
Preparation of Outline Design	20%	Cumulative %	15.00%
Preparation and approval of Due Dilligence Report	10%	Cumulative %	0.00%
Monitoring of Social and Environmental Safeguards	5%	Cumulative %	0.00%
Implementation of GAP and Communication Plan	5%	Cumulative %	0.00%
Preparation of Bidding Documents	5%	Cumulative %	0.00%
Bidding process/Award of contract	10%	Cumulative %	0.00%
Construction and Installation	35%	Cumulative %	0.00%
ii. Stung Treng Town Centre Enhancements	50%	Plan cumulative % Progress to date	40.00% 5.00%
Site Selection, Surveys and Approval	10%	Cumulative %	50.00%
Preparation of Outline Design	20%	Cumulative %	0.00%
Preparation and approval of Due Dilligence Report	10%	Cumulative %	0.00%
Monitoring of Social and Environmental Safeguards	5%	Cumulative %	0.00%
Implementation of GAP and Communication Plan	5%	Cumulative %	0.00%
Preparation of Biding Documents	5%	Cumulative %	0.00%
Bidding process/Award of contract	10%	Cumulative %	0.00%
Construction and Installation	35%	Cumulative %	0.00%

Staff employed in O&M are women	5%	Plan cumulative % Progress to date	0.00% 0.00%
i. Kampong Cham O & M Staff	33%	Plan cumulative % Progress to date	0.00% 0.00%
Staff employed in O&M are women	100%	Cumulative %	0.00%
ii. Kratie O & M Staff	33%	Plan cumulative % Progress to date	0.00% 0.00%
Staff employed in O&M are women	100%	Cumulative %	0.00%
iii. Stung Treng O & M Staff	33%	Plan cumulative % Progress to date	0.00% 0.00%
Staff employed in O&M are women	100%	Cumulative %	0.00%
Output 2: Institutional effectiveness, and policy and planning environment for regional economic connectivity enhancement	15%	Plan cumulative % Progress to date	36.31% 31.92%
i. ICT for Provincial Government	33%	Plan cumulative % Progress to date	5.00% 0.00%
Kampong Cham	33%	Cumulative %	0.00%
Kratie	33%	Cumulative %	0.00%
Stung Treng	33%	Cumulative %	0.00%
ii. Preparation of Provincial Spatial Plans	33%	Plan cumulative % Progress to date	71.00% 71.00%
Kampong Cham	33%	Cumulative %	71.00%
Kratie	33%	Cumulative %	71.00%
Stung Treng	33%	Cumulative %	71.00%
iii. Training	33%	Plan cumulative % Progress to date	33.25% 25.00%
Training Needs Assessment	25%	Cumulative %	100.00%
Training Delivery	75%	Cumulative %	0.00%

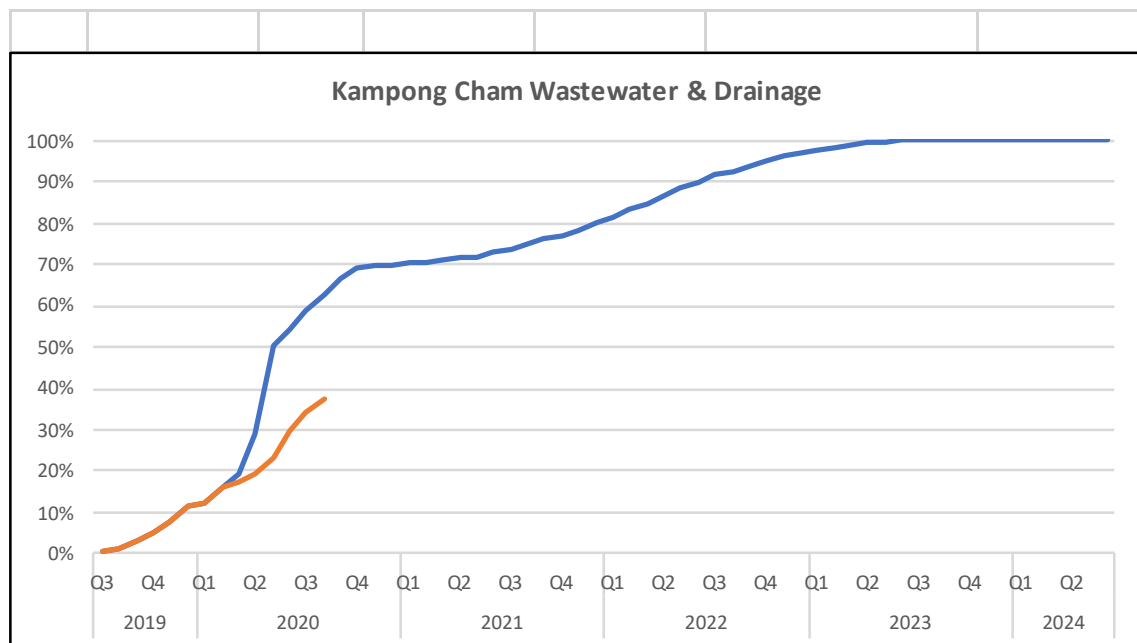
Output Progress Compared with Planned Progress
 (Based on work plans prepared in April 2020)



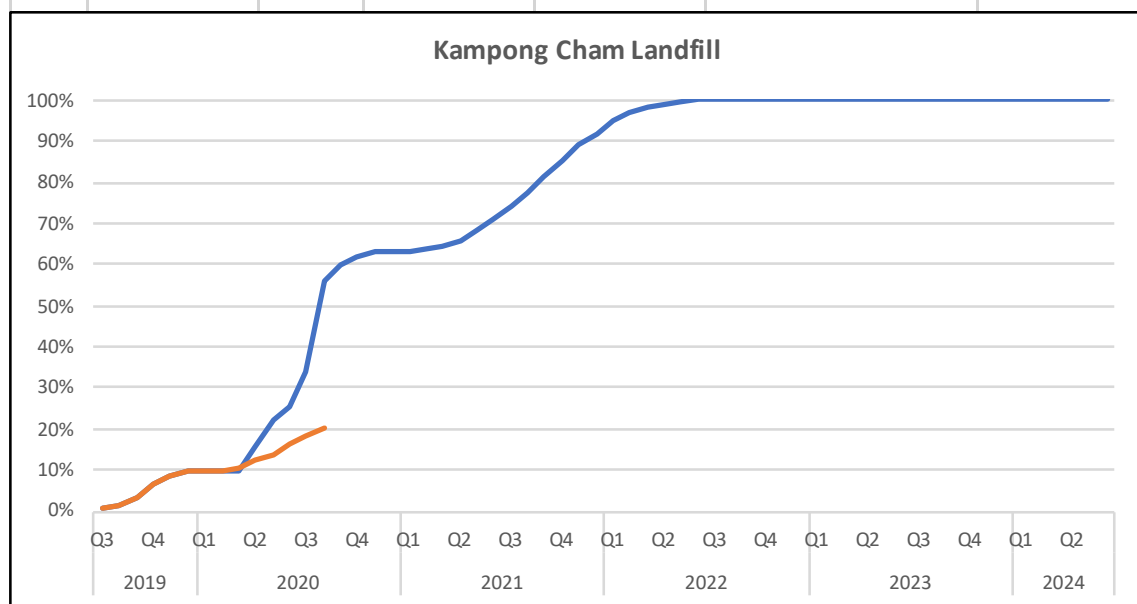
Plan 46.44% **Actual 22.00%**



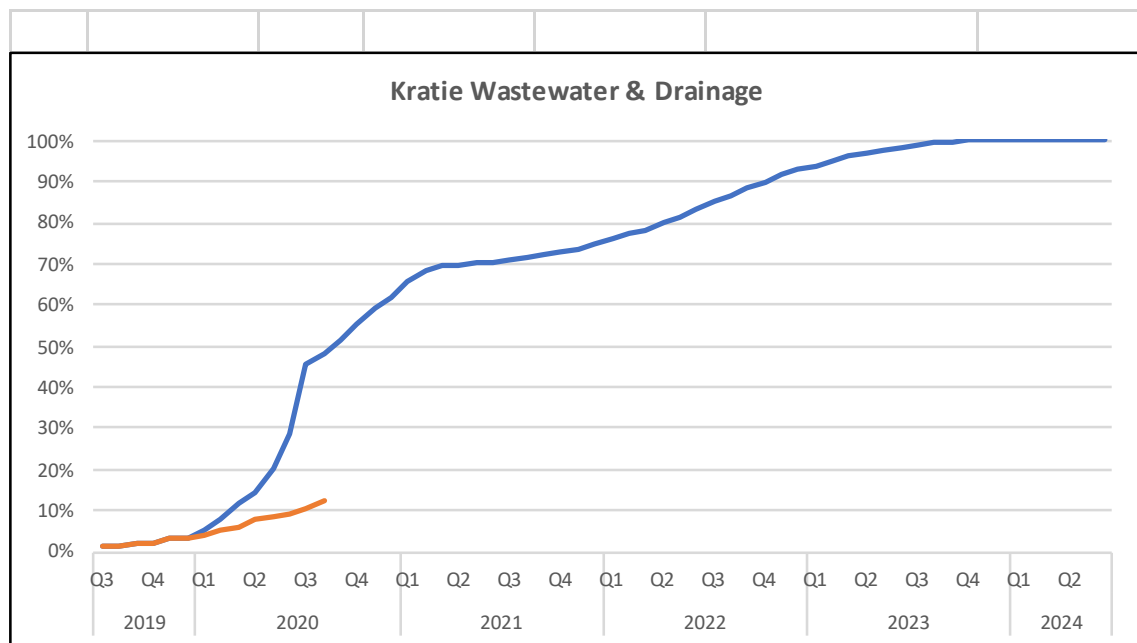
Plan 48.23% **Actual 20.25%**



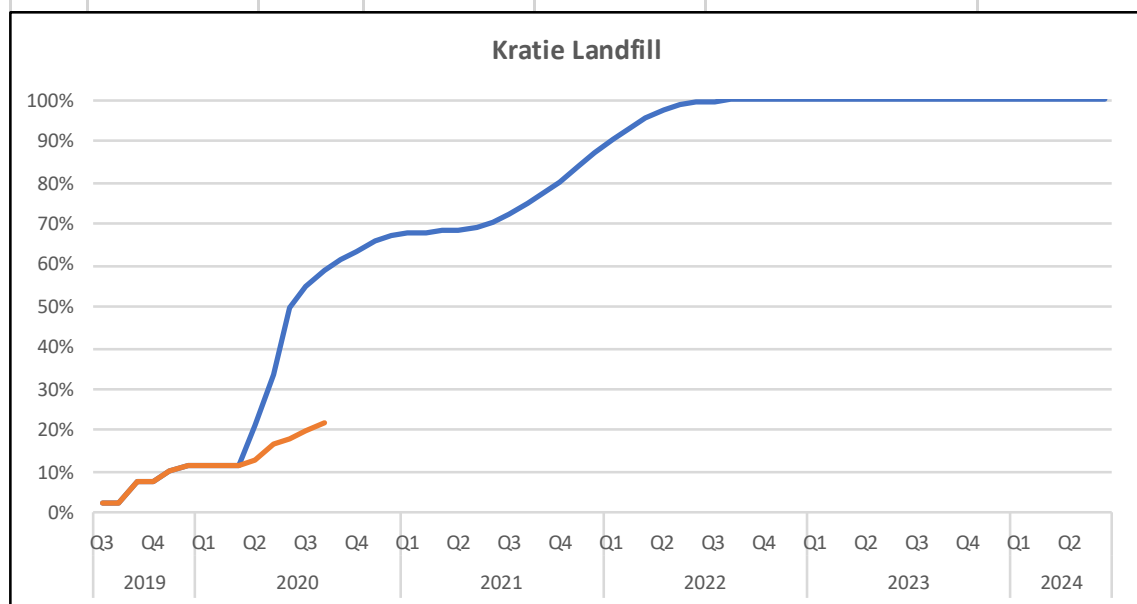
Plan 66.50% **Actual 47.40%**



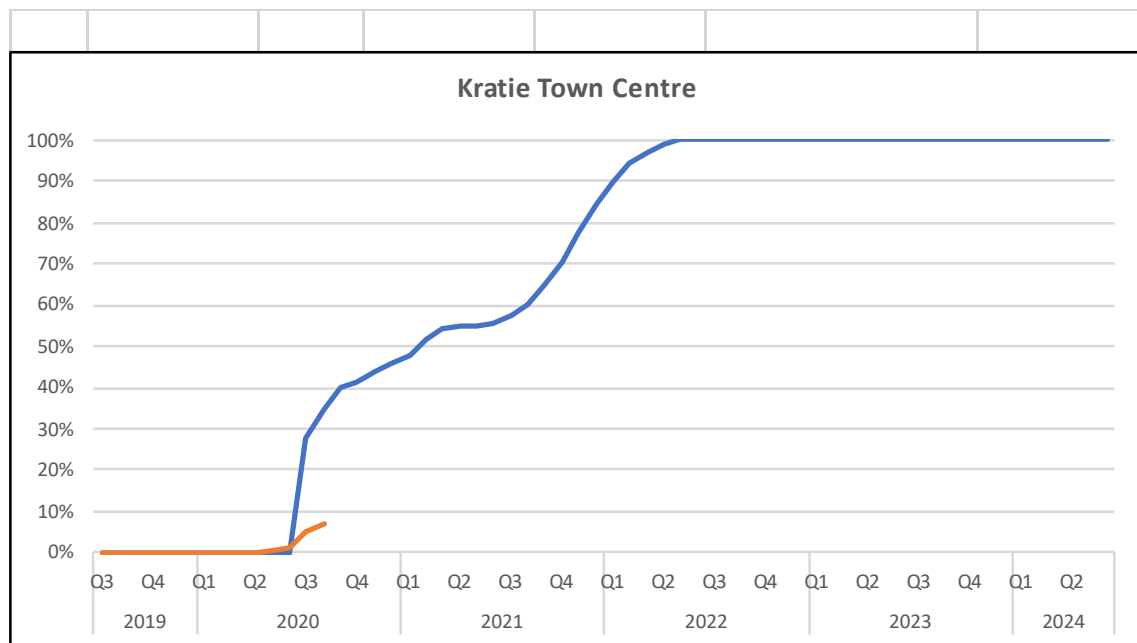
Plan 59.70% **Actual 23.00%**



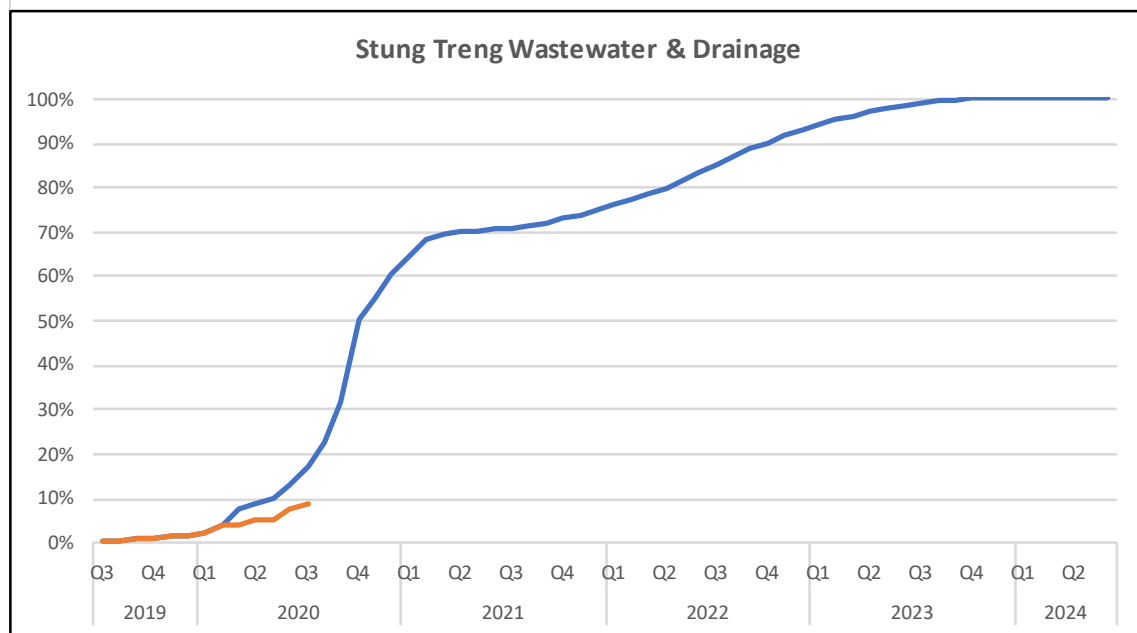
Plan 51.50% **Actual 14.00%**



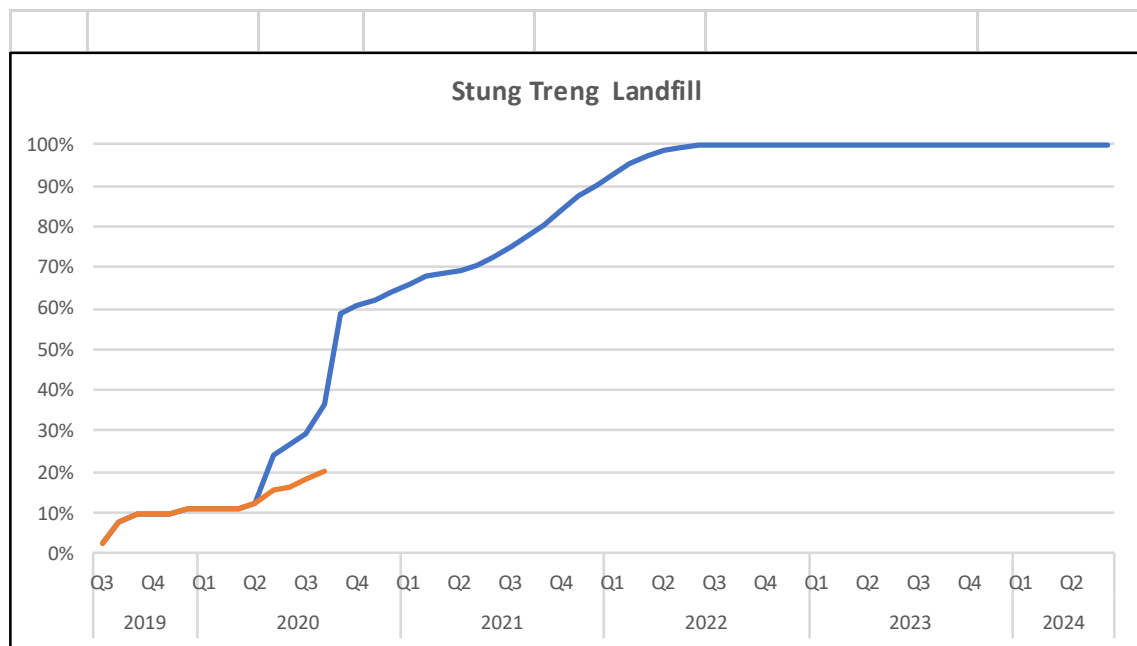
Plan 61.10% **Actual 23.00%**



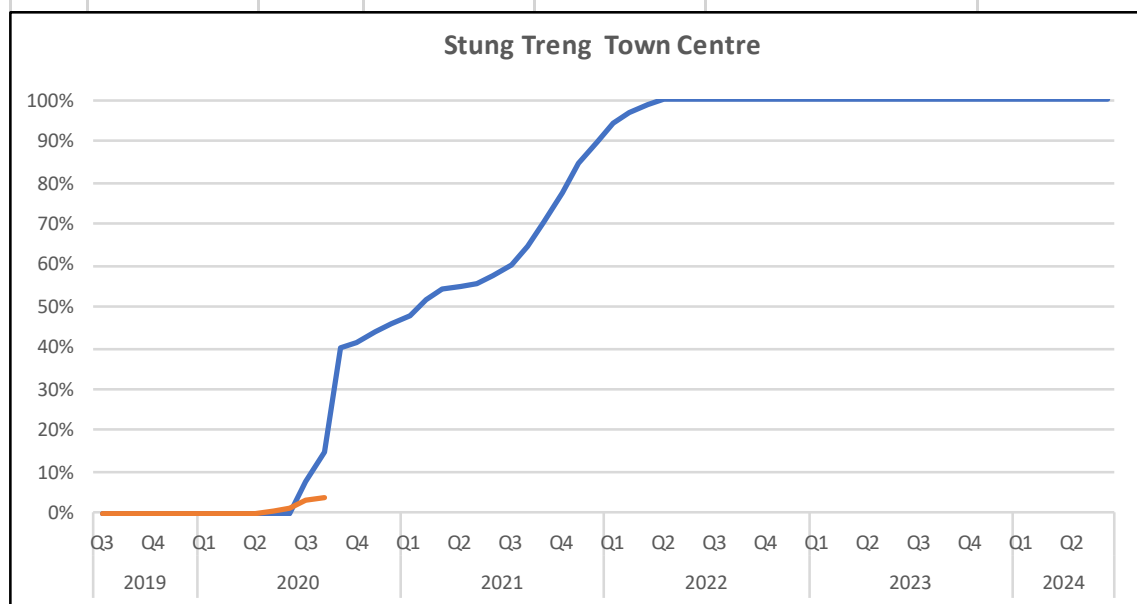
Plan 40.00% **Actual** 10.00%



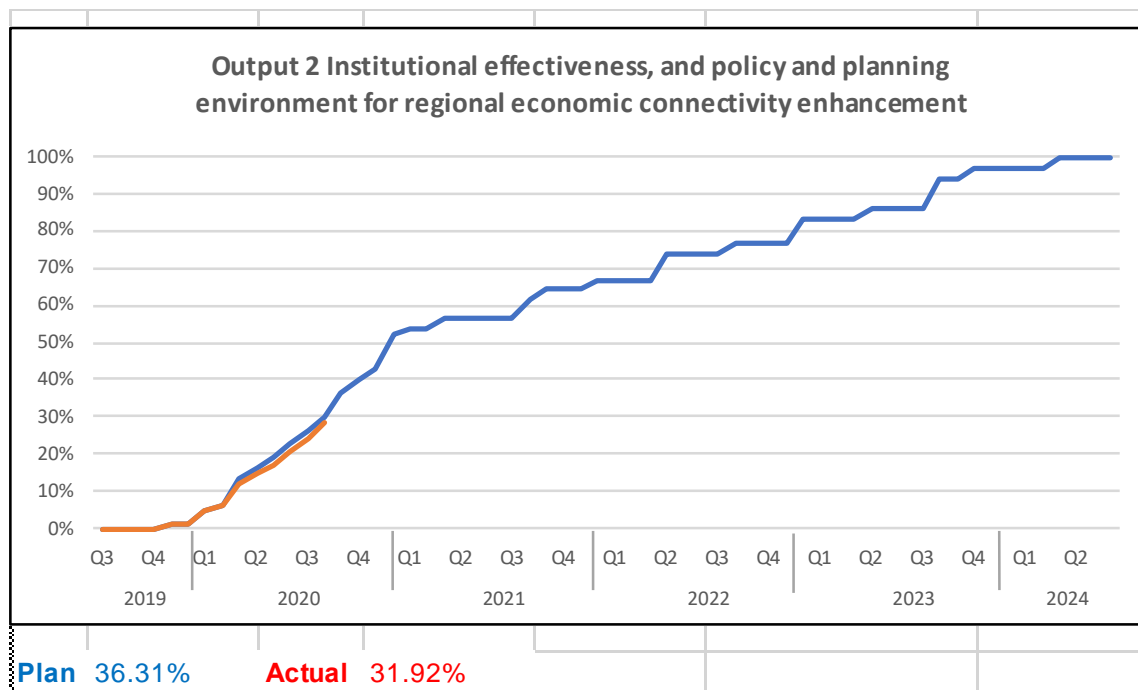
Plan 32.25% **Actual** 12.50%



Plan 58.50% **Actual 21.00%**



Plan 40.00% **Actual 5.00%**



Annex E: Gender Action Plan Monitoring

Gender Action Plan (GAP Activities, Indicators and Targets, Timeframe and Responsibility)	Progress to date (as of Q3 2020)	Issues, Challenges & Comments
Output 1: Urban environmental infrastructure improved		
Output 1.1: Urban environmental infrastructure in Kampong Cham improved		
<p>Target 1: Wastewater collection connections provided free-of charge to 4,676 HHs in Phase 1 service area of which 991 are FHH, 122 ID Poor 1 and 34 ID Poor 2 HHs.</p> <p>Responsibility: DPWTs/PIUs</p>	<p>Not yet due: Q4 2023</p>	<p>The WW services will be extended to an already defined service area, which will have a given share of FHH and ID Poor HH.</p> <p>Targets established in the PAM. Targets differ in the standalone GAP (4,676 HHs in Phase 1 service area of which 1,153 are FHHs, 154 HHs are ID Poor 1, and 34 HHs are ID Poor 2)</p> <p>Clarification required on the existence of socio-economic baseline survey and requirement for impact survey. Baseline and impact survey are not specified under the PMC scope of work.</p>
<p>Target 2: Expanded and improved solid waste collection services will cover 2,416 FHHs.</p> <p>Responsibility: Municipality</p>	<p>Not yet due: Q4 2023</p>	<p>Indicator is not relevant in respect of the PMC TOR, which only includes services related to landfill construction and provision of equipment</p>
<p>Target 3: 30% of staff employed in operation and maintenance are women (baseline 2016: 0).</p> <p>Responsibility: PIUs/Cities/organizations, incl. Landfill operation, waste collection operation, and the urban service unit</p>	<p>Not yet due: Q4 2023</p>	<p>The employing organisations to be assigned responsibility for the target.</p> <p>PMC to review baseline data</p>
<p>Action 1: Pilot project at Sangkat/Commune level on community management of flood resilience, gender equity in post-flood clean-up, and town beautification.</p> <p>Responsibility: DPWTs/PIUs, NGO</p>	<p>Not yet due: Q4 2022</p>	<p>Indicator is not relevant in respect of the PMC TOR, which only includes services related to wastewater collection and landfill construction and provision of equipment</p> <p>The Pilot projects are assumed to be under the scope of the NGO to be subcontracted for IEC.</p>
Output 1.2: Urban environmental infrastructure in Kratie improved		

<p>Target 4: Wastewater collection connections provided free-of charge to 2,688 HHs in Phase 1 service area of which 238 are FHH, 111 ID Poor 1 and 246 ID Poor 2 HHs.</p> <p>Responsibility: DPWTs/PIUs</p>	<p>Not yet due: Q4 2023</p>	<p>The WW services will be extended to an already defined service area, which will have a given share of FHH and ID Poor HH.</p> <p>Targets established in the PAM.</p> <p>Clarification required on the existence of socio-economic baseline survey and requirement for impact survey. Baseline and impact survey are not specified under the PMC scope of work.</p>
<p>Target 5: Expanded and improved solid waste collection services will cover 825 FHHs.</p> <p>Responsibility: Municipality</p>	<p>Not yet due: Q4 2023</p>	<p>Refer above.</p>
<p>Target 6: 30% of staff employed in operation and maintenance are women (baseline 2016: 0).</p> <p>Responsibility: PIUs/Cities/organizations, incl. Landfill operation, waste collection operation, and the urban service unit</p>	<p>Not yet due: Q4 2023</p>	<p>Refer above.</p>
<p>Action 2: Pilot project at Sangkat/Commune level on community management of flood resilience, gender equity in post-flood clean-up, and town beautification.</p> <p>Responsibility: DPWTs/PIUs, NGO</p>	<p>Not yet due: Q4 2022</p>	<p>Refer above.</p>
<p>Output 1.3: Urban environmental infrastructure in Stung Treng improved</p>		
<p>Target 7: Wastewater collection connections provided free-of charge to 2,253 HHs in Phase 1 service area of which 163 are FHH, 122 ID Poor 1 and 6 ID Poor 2 HHs.</p> <p>Responsibility: DPWTs/PIUs</p>	<p>Not yet due: Q4 2023</p>	<p>The WW services will be extended to an already defined service area, which will have a given share of FHH and ID Poor HH.</p> <p>Targets established in the PAM. Targets differ in the standalone GAP (2,253 HHs in Phase 1 service area, of which 277 are FHHs, 14 are ID Poor 1, 44 are ID Poor 2)</p> <p>Clarification required on the existence of socio-economic baseline survey and requirement for impact survey. Baseline and impact survey are not specified under the PMC scope of work.</p>
<p>Target 8: Expanded and improved solid waste collection services will cover 446 FHHs.</p> <p>Responsibility: Municipality</p>	<p>Not yet due: Q4 2023</p>	<p>Refer above.</p>

<p>Target 9: 30% of staff employed in operation and maintenance are women (baseline 2016: 0).</p> <p>Responsibility: PIUs/Cities/organizations, incl. Landfill operation, waste collection operation, and the urban service unit</p>	<p>Not yet due: Q4 2023</p>	<p>Refer above.</p>
<p>Action 3: Pilot project at Sangkat/Commune level on community management of flood resilience, gender equity in post-flood clean-up, and town beautification.</p> <p>Responsibility: DPWTs/PIUs, NGO</p>	<p>Not yet due: Q4 2022</p>	<p>Refer above.</p>
<p>Output 2: Institutional effectiveness, and policy and planning environment for regional economic connectivity enhanced</p>		
<p>Action 4: Provincial Development Strategies approved include social inclusion and gender equity components.</p> <p>Responsibility: MLMUPLC/PMC</p>	<p>Not yet due: Q4 2023</p>	<p>Scope has changed to Provincial Spatial Plan. Therefore, action is proposed changed to: "Provincial Spatial Plan approved include social inclusion and gender equity components."</p>
<p>Target 10: In development of Provincial Development Strategy and implementation of ICT initiatives 30% and 50%, respectively, of participants from government agencies will be female staff.</p> <p>Responsibility: DPWTs/PIUs</p>	<p>Not yet due: Q2 2022</p>	<p>Scope has changed to Provincial Spatial Plan. Therefore, target is proposed changed to: "In development of Provincial Spatial Plan and implementation of ICT initiatives 30% and 50%, respectively, of participants from government agencies will be female staff."</p> <p>Female participation will be monitored but achieving the target is beyond the control of the PMC</p>
<p>Target 11: In workshops related to Provincial Development Strategy and ICT initiatives 50% of participants will be women</p> <p>Responsibility: DPWTs/PIUs, PMC and ICTM Consultant</p>	<p>Overdue: Q4 2019</p> <p>Due to scope change to Provincial Spatial Plan workshops have been delayed. Likewise, ICT initiatives have not commenced yet.</p>	<p>Scope has changed to Provincial Spatial Plan. Therefore, target is proposed changed to: "In workshops related to Provincial Spatial Plan and ICT initiatives 50% of participants will be women."</p> <p>Female participation will be monitored but achieving the target is beyond the control of the PMC</p>
<p>Action 5: Existing staff responsible for financial management and administration (majority women) to be trained in ICTM</p> <p>Responsibility: DPWTs/PIUs, ICTM Consultant</p>	<p>Not yet due: Q4 2022</p>	

<p>Target 12: At least 120 government staff (at least 50% women) in the provincial governments trained in ICT skills to operate the ICTM services (2017 baseline: 0)</p> <p>Responsibility: MPWT/PMU, ICTM Consultant</p>	<p>Not yet due: Q4 2023</p>	<p>ICTM Consultant to review baseline data</p>
<p>Target 13: At least 60 government staff (at least 25% women) in the implementing agencies gained new technical, operational and maintenance knowledge and skills on wastewater treatment facility, drainage systems and controlled landfill (2017 baseline: 0)</p> <p>Responsibility: MPWT/PMU</p>	<p>Not yet due: Q4 2023</p>	<p>Pre- and post-training questionnaires will be distributed in coordination with the Capacity Development and Training Specialist</p>
<p>Target 14: Women's representation in PMU/PIU decision making and technical positions 20% (PMU and PIU Steung Treng) and 25% (PIUs Kampong Cham and Kratie). (Baseline (2011-2015) for PMU: MPWT 17%; PDPWT cumulative 14.85% women in managerial positions, PIU: PDPWT percentage of managerial positions occupied by women: Kampong Cham: 25% Kratie 22%; Stung Treng 18%)</p> <p>Responsibility: Steering Committee responsible for ensuring % of women in Committee PMU and PIU</p>	<p>To be monitored during the project period.</p>	<p>Staff lists and gender lists have been collected by the PMC from the PMU and PIUs. Women representation are as follows:</p> <p>PMU: 30% versus a target of 20%</p> <p>PIU Steung Treng: 0% versus a target of 20%</p> <p>PIU Kampong Cham: 11% versus a target of 25%</p> <p>PIU Kratie: 0% versus a target of 20%</p>
<p>Action 6: Orientation on GAP implementation, monitoring, and reporting provided to PMU/PIU staff, and local implementation partners.</p> <p>Responsibility: MPWT/PMU, DPWTs/PIUs</p>	<p>Overdue: Q1 2019</p> <p>Recommended to await the mobilization of Gender Focal Points in the PMU (EA) and national gender consultant with the PMC</p>	
<p>Action 7: GAP integrated in overall work plan, budget and PPMS.</p> <p>Responsibility: MPWT/PMU, DPWTs/PIUs, PMC</p>	<p>GAP has been integrated in overall workplan, budget and PPMS</p>	

<p>Action 8: Collection and monitoring of sex-disaggregated data with gender sensitive indicators incorporated in the PPMS and reflected in quarterly reports and GAP monitoring reports using ADBs template.</p> <p>Responsibility: MPWT/PMU/PMC</p>	<p>GAP Monitoring Template received and annexed the quarterly reports.</p>	
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Annex F: Procurement Plan

CTDP4 PROCUREMENT PLAN

Basic Data

Project Name: Fourth Greater Mekong Subregion Corridor Towns Development Project	
Project Number: 50099-002	Approval Number: 3686/0593/0592
Country: Cambodia	Executing Agency: Ministry of Public Works and Transport
Project Procurement Risk: Medium	Implementing Agency: N/A
Project Financing Amount: US\$ 88,500,000 ADB Financing: US\$ 80,000,000 Cofinancing (ADB Administered): US\$ 500,000 Non-ADB Financing: US\$ 8,000,000	Project Closing Date: 30 June 2024
Date of First Procurement Plan: 1 August 2018	Date of this Procurement Plan: 14 August 2020 Version 3
Advance Contracting: No	e-GP: No

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 1,000,000 and Above	
National Competitive Bidding for Goods	Between US\$ 100,000 and US\$ 999,999	The first NCB is subject to prior review, thereafter post review.
Shopping for Goods	Up to US\$ 99,999	
International Competitive Bidding for Works	US\$ 3,000,000 and Above	
National Competitive Bidding for Works	Between US\$ 100,000 and US\$ 2,999,999	The first NCB is subject to prior review, thereafter post review.
Shopping for Works	Up to US\$ 99,999	

Consulting Services	
Method	Comments
Quality- and Cost-Based Selection for Consulting Firm	80:20 and 90:10; FTP
Quality-Based Selection for Consulting Firm	
Individual Consultant Selection for Individual Consultant	

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
CW03	Kampong Cham Solid Waste Management Subproject	6,180,000.00	ICB	Prior	1S1E	Q4 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
CW04	Kratie Solid Waste Management Subproject	5,570,000.00	ICB	Prior	1S1E	Q4 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
CW05	Stung Treng Solid Waste Management Subproject	5,920,000.00	ICB	Prior	1S1E	Q4 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
CW06	Kampong Cham Wastewater and Drainage Subproject	17,260,000.00	ICB	Prior	1S1E	Q3 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works
CW07	Kratie Wastewater and Drainage Subproject	14,140,000.00	ICB	Prior	1S1E	Q4 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works

CW08	Stung Treng Wastewater and Drainage Subproject	14,450,000.00	ICB	Prior	1S1E	Q4 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works
G02	Solid Waste Collection Vehicles and Landfill Equipment	1,350,000.00	ICB	Prior	1S1E	Q4 / 2021	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
CS02	ICT for Public Management	1,000,000.00	QCBS	Prior	Q4 / 2020	FTP	Assignment: International Quality-Cost Ratio: 80:20

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
CW01	Kratie Town Centre Environmental Enhancement	750,000.00	1	NCB	Prior	1S1E	Q4 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works

CW02	Stung Treng Town Centre Environmental Enhancement	750,000.00	1	NCB	Post	1S1E	Q4 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
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Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
ICS02	Financial Management Specialist	80,000.00	1	ICS	Prior	Q4 / 2020		Assignment : National Expertise: Financial management
ICS03	Procurement Specialist	80,000.00	1	ICS	Prior	Q4 / 2020		Assignment : National Expertise: Procurement

B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
G01	Vehicles (5 units)	190,000.00	160,000.00	NCB	Q1 / 2020	22-JUN-20	

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
PMCS-CS01	Project Management, Detailed Engineering Design, Construction Supervision, Provincial Development Strategy and Capacity Building	6,100,000.00	5,834,010.83	QCBS	Q2 / 2018	20-JUN-19	The contract is both under CAM; TS and CAM: GMS4
ICS01	Project Implementation Specialist	250,000.00	280,000.00	ICS	Q3 / 2019	25-MAR-20	Mr. Greesh Kumar Sharma

D. National Competitive Bidding

A. Regulation and Reference Documents

1. The procedures to be followed for national competitive bidding shall be those set forth for the “National Competitive Bidding” method in the Government’s Procurement Manual issued under Sub-Decree Number 74 ANKR.BK, updated version dated 22 May 2012 with the clarifications and modifications described in the following paragraphs. These clarifications and modifications are required for compliance with the provisions of the Procurement Guidelines.

2. For the procurement of ADB financed contracts under National Competitive Bidding (NCB) procedures, the use of harmonized national bidding documents (NCB and National Shopping) developed in consultation with development partners including ADB, is mandatory except where the Government and ADB have agreed to amendments to any part of the documents. The Procurement Manual also advises users to check the ADB website from time to time for any update on ADB documents, which form the basis, among others, of the existing harmonized national bidding documents.

B. Procurement Procedures

1. Application

3. Contract packages subject to National Competitive Bidding procedures will be those

identified as such in the project Procurement Plan. Any change to the mode of procurement of any procurement package in the Procurement Plan shall be made through updating of the Procurement Plan, and only with prior approval of ADB.

2. Sanctioning

4. Bidders shall not be declared ineligible or prohibited from bidding on the basis of barring procedures or sanction lists, except individuals and firms sanctioned by ADB, without prior approval of ADB.

3. Rejection of all Bids and Rebidding

5. The Borrower shall not reject all bids and solicit new bids without ADB's prior concurrence. Even when only one or a few bids is/are submitted, the bidding process may still be considered valid if the bid was satisfactorily advertised and prices are reasonable in comparison to market values.

4. Advertising

6. Bidding of NCB contracts shall be advertised on the ADB website via the posting of the Procurement Plan. Borrowers have the option of requesting ADB to post specific notices in the ADB website.

C. Bidding Documents

5. Use of Bidding Documents

7. The Standard National Competitive Bidding Documents provided with the Government's Procurement Manual shall be used to the extent possible both for the master bidding documents and the contract-specific bidding documents. The English language version of the procurement documents shall be submitted for ADB review and approval in accordance with agreed review procedures (post and prior review) as indicated in the Procurement Plan. The ADB-approved procurement documents will then be used as a model for all procurement financed by ADB for the project.

6. Bid Evaluation

8. Bidders shall not be eliminated from detailed evaluation on the basis of minor, non-substantial deviations.

9. A bidder shall not be required, as a condition for award of contract, to undertake obligations not specified in the bidding documents or otherwise to modify the bid as originally submitted.

7. Employer's Right to Accept or Reject Any or All Bids

10. The decision of the Employer to accept or reject any or all bids shall be made in a transparent manner and involve an obligation to inform of the grounds for the decision through the bid evaluation report.

8. ADB Policy Clauses

11. A provision shall be included in all NCB works and goods contracts financed by ADB

requiring suppliers and contractors to permit ADB to inspect their accounts and records and other documents relating to the bid submission and the performance of the contract, and to have them audited by auditors appointed by ADB.

12. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the contract in question.

13. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.

Annex G: Compliance with Loan and Grant Covenants

No.	Covenant	Reference	Status of Compliance
	CTDP4 Loan 3686		
1	In the carrying out of the project and operation of the project facilities, the Borrower shall perform, or cause to be performed, all obligations set forth in Schedule 5 to this Loan Agreement.	Section 4.01	Ongoing
2	The Borrower shall cause the Project Executing Agency to (i) maintain separate accounts and records for the projects; (ii) prepare annual financial statements for the project in accordance with financial reporting standard acceptable to ADB; (iii) have such financial statements audited annually by independent auditors whose qualifications, experience, and terms of reference are acceptable to ADB, in accordance with auditing standards, acceptable to ADB; (iv) as part of each such audit, have the auditors prepare a report, which includes the auditors' opinion(s) on the financial statements and the use of the Loan proceeds, and a management letter (which sets out the deficiencies in the internal control of the project that were identified in the course of the audit, if any); and (v) furnish to ADB, no later than 6 months after the end of each related fiscal year, copies of such audited financial statements, audit report, and management letter, all in the English language, and such other information concerning these documents and the audit thereof as ADB shall from time to time reasonably request.	Section 4.02 (a)	Ongoing
3	ADB shall disclose the annual audited financial statements for the project and the opinion of the auditors on the financial statements within 14 days of the date of ADB's confirmation of their acceptability by posting them on ADB's website.	Section 4.02 (b)	Ongoing
4	The Borrower shall enable ADB, upon ADB's request, to discuss the financial statements for the project and the Borrower's financial affairs where they relate to the Project with the auditors appointed pursuant to subsection (a)(iii) hereinabove and shall authorize and require any representative of such auditors to participate in any such discussions requested by ADB. This is provided that such discussions shall be conducted only in the presence of an authorized officer of the Borrower, unless the Borrower shall otherwise agree.	Section 4.02 (c)	Ongoing
5	The Borrower shall enable ADB's representatives to inspect the Project, the Goods and Works, and any relevant records and documents.	Section 4.03	Ongoing
	CTDP4 Grant 0592		
6	In the carrying out of the project and operation of the project facilities, the Recipient shall perform, or cause to be performed, all obligations set forth in Schedule 5 to the ADB Loan Agreement.	Section 4.01	Ongoing
7	The Recipient shall cause the Project Executing Agency to (i) maintain separate accounts and records for the projects; (ii) prepare annual financial statements for the project in accordance with financial reporting standard acceptable to ADB; (iii) have such financial statements audited annually by independent auditors whose qualifications, experience, and terms of reference are acceptable to ADB, in accordance with auditing standards, acceptable to ADB; (iv) as part of each such audit, have the auditors prepare a report, which includes the auditors' opinion(s) on the financial statements and the use of the Grant proceeds, and management letter (which sets out the deficiencies in the internal control of the project that were identified in the course of the audit, if any); and (v) furnish to ADB, no later than 6 months after the end of each related fiscal year, copies of such audited financial statements, audit report, and management letter, all in the English language, and such other information concerning these documents and the audit thereof as ADB shall from time to time reasonably request.	Section 4.02 (a)	Ongoing
8	ADB shall disclose the annual audited financial statements for the project and the opinion of the auditors on the financial statements within 14 days of the date of ADB's confirmation of their acceptability by posting them on ADB's website.	Section 4.02 (b)	Ongoing
9	The Recipient shall enable ADB, upon ADB's request, to discuss the financial statements for the project and the Borrower's financial affairs where they relate to the Project with the auditors appointed pursuant to subsection (a)(iii) hereinabove and shall authorize and require any representative of such auditors to participate in any such discussions requested by ADB. This is provided that such discussions shall be conducted only in the presence of an authorized officer of the Recipient, unless the Recipient shall otherwise agree.	Section 4.02 (c)	Ongoing

No.	Covenant	Reference	Status of Compliance
10	The Recipient shall enable ADB's representatives to inspect the Project, the Goods and Works, and any relevant records and documents.	Section 4.03	Ongoing
CTDP4 Grant 0593			
11	In the carrying out of the project and operation of the project facilities, the Recipient shall perform, or cause to be performed, all obligations set forth in Schedule 5 to the ADB Loan Agreement.	Section 4.01	Ongoing
12	The Recipient shall cause the Project Executing Agency to (i) maintain separate accounts and records for the projects; (ii) prepare annual financial statements for the project in accordance with financial reporting standard acceptable to ADB; (iii) have such financial statements audited annually by independent auditors whose qualifications, experience, and terms of reference are acceptable to ADB, in accordance with auditing standards, acceptable to ADB; (iv) as part of each such audit, have the auditors prepare a report, which includes the auditors' opinion(s) on the financial statements and the use of the Grant proceeds, and management letter (which sets out the deficiencies in the internal control of the project that were identified in the course of the audit, if any); and (v) furnish to ADB, no later than 6 months after the end of each related fiscal year, copies of such audited financial statements, audit report, and management letter, all in the English language, and such other information concerning these documents and the audit thereof as ADB shall from time to time reasonably request.	Section 4.02 (a)	Ongoing
13	ADB shall disclose the annual audited financial statements for the project and the opinion of the auditors on the financial statements within 14 days of the date of ADB's confirmation of their acceptability by posting them on ADB's website.	Section 4.02 (b)	Ongoing
14	The Recipient shall enable ADB, upon ADB's request, to discuss the financial statements for the project and the Recipient's financial affairs where they relate to the Project with the auditors appointed pursuant to subsection (a)(iii) hereinabove and shall authorize and require any representative of such auditors to participate in any such discussions requested by ADB. This is provided that such discussions shall be conducted only in the presence of an authorized officer of the Recipient, unless the Recipient shall otherwise agree.	Section 4.02 (c)	Ongoing
15	The Recipient shall enable ADB's representatives to inspect the Project, the Goods and Works, and any relevant records and documents.	Section 4.03	Ongoing
16	The Recipient acknowledges and agrees that this Grant Agreement is entered into by ADB, not in its individual capacity, but as trustee of the Republic of Korea e-Asia and Knowledge Partnership Fund. Accordingly, the Recipient agrees that (a) it may only withdraw Grant proceeds to the extent that ADB has received proceeds for the Grant from the Republic of Korea e-Asia and Knowledge Partnership Fund and such proceeds has not been suspended or cancelled in whole or in part by the Republic of Korea e-Asia and Knowledge Partnership Fund pursuant to the Cofinancing Agreement, and (b) that ADB does not assume any obligations or responsibilities of the Republic of Korea e-Asia and Knowledge Partnership Fund in respect of the Project or the Grant other than those set out in this Grant Agreement.	Section 4.04	Ongoing