



**Ministry of Public Works and Transport
Kingdom of Cambodia**

**GMS: Southern Economic
Corridor Towns Development
Project**

**Loan 2983-CAM (SF), Loan 8265-CAM(SCF)
Grant 0334-CAM (UEIF), Grant 0335-CAM (SCF)**

**Quarterly Report
2nd Quarter 2021**

Executing Agency:

**Ministry of Public Works and
Transport (MPWT)
Street #598, Sangkat Chrang
Chamres II, Khan Russey Keo
Phnom Penh, Cambodia.**

Funding Agency:

**Asian Development Bank (ADB)
6 ADB Avenue, Mandaluyong City 1550
Metro Manila, Philippines**

PISCD Consultants:

**NJS Consultants Co. Ltd.
In Joint Venture with
Key Consultants Cambodia, Ltd.
Street #598, Sangkat Chrang
Chamres II, Khan Russey Keo
Phnom Penh, Cambodia.**



TABLE OF CONTENTS

	<u>Page No.</u>
SECTION 1 INTRODUCTION AND BASIC DATA	1
1.1 PROJECT OVERVIEW	1
1.2 BASIC DATA.....	2
1.3 REPORTING PERIOD	3
SECTION 2 UTILIZATION OF FUNDS	4
2.1 REVISED PROJECT COST ESTIMATE	4
2.2 LOAN AND GRANT PROCEEDS.....	4
2.3 CONTRACT AWARDS	5
2.4 DISBURSEMENT	6
2.5 COUNTERPART FUNDS	6
SECTION 3 IMPLEMENTATION PROGRESS	7
3.1 OVERALL PROGRESS	7
3.2 PROCUREMENT.....	8
3.3 CONSULTING SERVICES	9
3.4 ADOPTION AND IMPLEMENTATION OF THE SLEDP (OUTPUT 1)	10
3.5 IMPLEMENTATION OF PRIORITY URBAN INFRASTRUCTURE INVESTMENTS (OUTPUT 2)	10
3.6 STRENGTHENING OF INSTITUTIONAL CAPACITY	11
SECTION 4 PROJECT PERFORMANCE	14
4.1 GENERAL.....	14
4.2 ASSESSMENT OF ASSUMPTIONS AND RISKS	14
4.3 PROJECT PERFORMANCE AGAINST THE TARGETS SET OUT IN THE DMF.....	14
4.4 BASELINE VALUES, PERFORMANCE TARGETS FOR INDICATORS, AND PROJECTED ACHIEVEMENTS.....	14
SECTION 5 COMPLIANCE WITH SAFEGUARDS AND COVENANTS	15
5.1 ENVIRONMENTAL SAFEGUARDS.....	15
5.2 RESETTLEMENT	17
5.3 IMPLEMENTATION OF GENDER ACTION PLAN.....	18
5.4 STATUS OF COMPLIANCE WITH LOAN COVENANTS, AND RISK ASSESSMENT AND RISK MANAGEMENT PLAN	18
SECTION 6 GRIEVANCE REDRESS MECHANISM (GRM).....	15
6.1 GRM OBJECTIVE	15
6.2 PROPOSED GRM SYSTEM	15
6.3 ACCESS TO THE MECHANISM.....	17
SECTION 7 MAJOR PROJECT ISSUES AND PROBLEMS, AND ACTIONS TAKEN	20
SECTION 8 ACTIVITIES FOR THE NEXT STEPS	23



LIST OF APPENDICES

APPENDIX NO.

APPENDIX A	KEY MEMBERS OF PMU AND PIUs
APPENDIX B	REVISED OVERALL PROJECT IMPLEMENTATION PLAN
APPENDIX C	LOANS AND GRANTS UTILIZATION
APPENDIX D	UPDATED PROCUREMENT PLAN
APPENDIX E	LIST OF CAPACITY DEVELOPMENT AND TRAINING CONDUCTED
APPENDIX F	ASSESSMENT OF ASSUMPTIONS AND RISKS
APPENDIX G	DMF TARGETS VS ACHIEVEMENT
APPENDIX H	BASELINE VALUES, PERFORMANCE TARGETS FOR INDICATORS, AND PROJECTED ACHIEVEMENTS
APPENDIX I	GRM-COMPLAINT RECORDING FORM
APPENDIX J	GAP IMPLEMENTATION PROGRESS AND PLANS FOR THE NEXT QUARTER
APPENDIX K	STATUS OF COMPLIANCE WITH LOAN COVENANTS
APPENDIX L	RISK ASSESSMENT AND RISK MANAGEMENT PLAN (RARMP)
APPENDIX M	SUPPORT DOCUMENTS OF LAND ACQUISITION
APPENDIX N	SUMMARY OF AGREED TIME-BOUND ACTIONS PLAN
APPENDIX O	PHOTOS COVID-19 PREVENTION AND MITIGATION MEASURE IN SECTDP PROJECT



LIST OF TABLES

<u>Table No.</u>	<u>Page No.</u>
Table 2.1: Revised Detailed Cost Estimate by Financier (US\$ million)	4
Table 2.2: Consolidated Status of Loan and Grant Proceeds	5
Table 3.1: Civil Work Progress (%) against Schedules	7
Table 3.2: Completed Contracts of Civil Work.....	9
Table 3.3: Ranking of Subprojects, in Order of Priority	10
Table 8.1: Work Plan for Next Steps (Q2 2021)	23

LIST OF FIGURES

<u>Figure No.</u>	<u>Page No.</u>
Figure 1.1: Location of Three Corridor Towns of CTD in Cambodia.....	1
Figure 2.1: Cumulative Actual Versus Projected Contract Awards	5
Figure 2.2: Cumulative Actual Versus Projected Disbursements	6
Figure 6.1: Grievance Redress Mechanism Chart.....	21



ABBREVIATIONS AND ACRONYMS

ADB	-	Asian Development Bank
AHs	-	Affect Household
APs	-	Affect People
CS	-	Construction Supervision
CTDP	-	Corridor Towns Development Project
DDR	-	Due Diligence Report
DED	-	Detailed Engineering Design
DLP	-	Defect Liability Period
DMF	-	Design and Monitoring Framework
DMS	-	Detail Measurement Survey
DFEC	-	Declarations of Fraud and Ethical Conduct
EA	-	Executive Agency
EM	-	External Monitoring
EMP	-	Environmental Management Plan
FHH	-	Female Household Head
GAP	-	Gender Action Plan
GDR	-	General Department of Resettlement
GRM	-	Grievance Redress Mechanism
ICB	-	International Competitive Bidding
IEE	-	Initial Environmental Examination
IOL	-	Inventory of Loss
IRC	-	Inter-Ministerial Resettlement Committee
M&E	-	Monitoring and Evaluation
MEF	-	Ministry of Economy and Finance
MPWT	-	Ministry of Public Works and Transport
MRF	-	Material Recovery Facility
NCB	-	National Competitive Bidding
PAHs	-	Project Affect Households
PAP	-	Project-affected People
PIB	-	Public Information Booklet
PISCD	-	Project Implementation Support and Capacity Development
PIU	-	Project Implementation Unit
PMU	-	Project Management Unit
PPMS	-	Project Performance Management System
PPP	-	Public-Private Partnership
PRC	-	Procurement Review Committee
RGC	-	Royal Government of Cambodia
RP	-	Resettlement Plan
SDR	-	Special Drawing Rights
SEC	-	Southern Economic Corridor
SLEDP	-	Strategic Local Economic Development Plan
URP	-	Updated Resettlement Plan
WWT	-	Wastewater Treatment



SECTION 1 INTRODUCTION AND BASIC DATA

1.1 PROJECT OVERVIEW

The Royal Government of Cambodia (RGC) has received loans and grants from the Asian Development Bank (ADB) towards the cost of the Greater Mekong Sub-region (GMS) Southern Economic Corridor Towns Development Project (the Project).

The Project will enhance the competitiveness of towns along the Southern Economic Corridor (SEC). The Project will transform the Cambodian corridor towns of Battambang, Bavet, Neak Loeung, and Poipet (see Figure 1.1) into economic hubs by improving urban-environmental infrastructure and strengthening institutional capacities of provincial and local authorities.

Figure 1.1: Location of Three Corridor Towns of CTD in Cambodia



The Project's expected outcome is improved urban infrastructure and enhanced climate resilience in Battambang, Bavet, Neak Loeung and Poipet. The Project consists of eight subprojects including: (a) two Subprojects in Battambang - Wastewater Treatment (Storm Drainage), and Materials Recovery Facility (MRF); (b) three subprojects in Bavet - Urban Road, Wastewater Treatment (Storm Drainage), and MRF; (c) one subproject in Neak Loeung - Flood Control; and (d) two subprojects in Poipet - Wastewater Treatment (Storm Drainage) and MRF.



1.2 BASIC DATA

Presented below are the basic Project data.

Project Title:	Greater Mekong Sub-region Southern Economic Corridor Towns Development Project		
Project Number:	43319-033		
Borrower:	Kingdom of Cambodia		
Executing Agency (EA) and Implementing Agencies (IA)	The EA of the Project is the Ministry of Public Works and Transport (MPWT). The EA assumes overall responsibility for the project implementation through the Project Management Unit (PMU). The Provincial Town Governor in each of the four Towns, in cooperation with PMU, has set up the PIUs for the implementation of Subproject activities. The key members of the PMU and PIUs are presented in Appendix A.		
Total Project Cost and Financing Plan			
Loan/Grant No.	Source of Funding	Amount (US\$ million)	Share of Total (%)
Loan 2983-CAM (SF)	Asia Development Fund	33.44 ^a	65.3
Loan 8265-CAM (SCF)	Strategic Climate Fund ^b	5.00	9.8
Grant 0335-CAM (SCF)	Strategic Climate Fund ^b	4.40	8.6
Grant 0334-CAM (UEIF)	Urban Environmental Infrastructure Fund Grant under the Urban Financing Partnership Facility ^c	1.50	2.9
	Government of Cambodia	6.87	13.4
	Total Project Cost	51.21	100.0

^a Revised due to the depreciation of the SDR against the US\$.

^b Under the Pilot Program for Climate Resilience financed by the Strategic Climate Fund. The ADB Strategic Climate Fund is administered by the Asian Development Bank.

^c Contributor: the Government of Sweden.

Date of Loan/Grant Approval	10 December 2012
Date of Signing of Agreement	17 January 2013
Date of Effectiveness	08 April 2013
Loan/Grant Closing Date	
ADB Review Mission Approved an Extension, Date of ADB mission (29 April-16 May 2019)	30 June 2021 (loan period is 8.23 years from date of effectiveness)
Second Project Extension	31 December 2023 (loan period is 10.74 years from date of effectiveness)
Original Closing Date	30 June 2019 (loan period is 6.23 years from date of effectiveness)
Elapsed Loan Period	8.23 years from date of effectiveness, 77% (considering revised loan/grant closing date of 31 December 2023)
Dates of ADB Review	08 September – 01 October 2014; 01 – 11 December



Missions and Mid –Term Review	2015; 09 – 22 August 2016; 18 – 30 October 2017; 21-29 May 2018; Mid –Term Review 2-30 November 2018; 29 Apr-16 May 2019; 21 October– 6 November 2019; 16 - 26 March 2020; 19-28 October 2020.
--------------------------------------	--

Compared to the original plan, the implementation of the Project has been delayed by about 54 months. This is due mainly to delays in the procurement of the Detailed Engineering Design (DED) Consultant, preparation of DED and Bid Documents, changing scope of the subprojects. Based on this, a proposal for the second extension of the loan/grant closing date by 30 months from 30 June 2021 to 31 December 2023 was prepared by the EA/MEF for approval by ADB on 16 March 2021.

The original loans/grants closing date was 30 June 2019, and ADB approved first extension of closing date of Loan 2983, Loan 8265 and Grant 0335-CAM to 30 June 2021. Second extension was proposed to 31 December 2023. Whereas closing date of Grant 0334-CAM remains unchanged because all activities and funds under this Grant were completed and disbursed fully before 30 June 2019. The updated Overall Project Implementation Plan shown in **Appendix B**.

1.3 REPORTING PERIOD

This Quarterly Report is for the 2nd Quarter of 2021 (April-June 2021) reporting period. It provides a summary of accomplishments during the reporting period, compliance with safeguards and covenants, identifies major issues and concerns and recommends the action required, and lists activities to be carried out in this quarter.



SECTION 2 UTILIZATION OF FUNDS

2.1 REVISED PROJECT COST ESTIMATE

The Project cost estimate was amended to take into consideration: (i) the reallocation of loan/grant proceeds due to contract awards under some loan categories that already exceeded their allocation, and the prioritization of Subprojects such that total cost will be within the available budget; (ii) budget shortfalls arising from the depreciation of the SDR against the US\$; and (iii) extension of loan/grant closing date. Table 2.1 presents the revised Detailed Cost Estimate by Financier within MEF, and approved by ADB.

Table 2.1: Revised Detailed Cost Estimate by Financier (US\$ million)
Reallocation of Loan and Grant Proceeds as Approved by ADB on 26 January 2017
(Greater Mekong Subregion Southern Economic Corridor Towns Development Project)

No.	Item	ADB Loan *		SCF Loan		SCF Grant		UFPF Grant		RGC				Total
		Amount	%	Amount	%	Amount	%	Amount	%	Nontax	Taxes	Amount	%	
1	Works	25.684	67.2	3.780	9.9	3.270	8.6	1.390	3.6	-	4.097	4.097	10.7	38.221
1A	CW: Wastewater Treatment and Flood Control	16.022	62.2	3.780	14.7	3.270	12.7	-	-	-	2.697	2.697	10.5	25.769
1B	CW: Road and Solid Waste Management	9.662	88.5	-	-	-	-	-	-	-	1.260	1.260	11.5	10.922
	Material Recovery Facilities	-	-	-	-	-	-	1.390	90.8	-	0.140	0.140	9.2	1.530
2	Consulting Services	3.317	69.7	0.739	15.5	0.702	14.8	-	-	-	-	-	-	4.758
2A	Project Implementation Support & CD	1.816	71.1	0.368	14.4	0.371	14.5	-	-	-	-	-	-	2.555
2B	Detailed Engineering Design	0.659	78.0	0.100	11.9	0.085	10.1	-	-	-	-	-	-	0.845
2C	Construction Supervision	0.730	58.6	0.270	21.7	0.246	19.7	-	-	-	-	-	-	1.246
2D	External Monitoring	0.113	100.0	-	-	-	-	-	-	-	-	-	-	0.113
3	Equipment	0.416	100.0	-	-	-	-	-	-	-	-	-	-	0.416
4	Training	0.136	100.0	-	-	-	-	-	-	-	-	-	-	0.136
5	Incremental Administration	0.651	50.7	0.001	0.1	0.001	0.1	-	-	0.630	-	0.630	49.1	1.283
5A	Project Management Unit	0.325	99.2	0.001	0.4	0.001	0.4	-	-	-	-	-	-	0.328
5B	Project Implementation Unit	0.325	100.0	-	-	-	-	-	-	-	-	-	-	0.325
5C	Salary Supplements	-	-	-	-	-	-	-	-	0.630	-	0.630	100.0	0.630
	Land Acquisition and Resettlement	-	-	-	-	-	-	-	-	1.650	-	1.650	100.0	1.650
6	Unallocated	2.427	62.1	0.467	11.9	0.427	10.9	0.110	2.8	0.096	0.385	0.481	12.3	3.910
7	Interest During Construction	0.810	98.4	0.013	1.6	-	-	-	-	-	-	-	-	0.823
	Contingency	-	-	-	-	-	-	-	-	-	-	-	-	-
	Total	33.440	65.3	5.000	9.8	4.400	8.6	1.500	2.9	2.376	4.482	6.858	13.4	51.198

* 1 SDR = 1.392 US\$

2.2 LOAN AND GRANT PROCEEDS

Loans and grants utilization by category, as of 30 June 2021 are presented in **Appendix C**, and consolidated in **Table 2.2**.



Table 2.2: Consolidated Status of Loan and Grant Proceeds

As of 30 June 2021

Loan/Grant No.	US Dollars					
	Allocation	Contracts Awarded	Uncontracted Loan Balance	Contracts Disbursed	Undisbursed Loan Balance	Undisbursed Contract Balance
	A	B	C = A - B	D	E = A - D	F = B - D
Loan 2983	33,620,292	32,009,822	1,610,470	27,383,220	6,237,072	4,626,602
Loan 8265	5,000,000	4,919,715	80,285	3,807,310	1,192,690	1,192,690
Grant 0334	1,500,000	1,500,000	-	1,500,000	-	-
Grant 0335	4,400,000	4,392,283	7,717	3,917,840	482,160	474,443
Total	44,520,292	42,821,820	1,698,472	36,608,370	7,911,921	6,293,734

2.3 CONTRACT AWARDS

During Q2 2021, no contract awards works were undertaken. As of 30 June 2021, the contracts awarded by PMU amounted to US\$42, 821,820 million, or 96% of the total loan and grant amount. **Figure 2.1** shows the S-curves of the cumulative actual contract awards and original and revised projections/targets. The revision of the targets was made based on the approved reallocation of loan/grant proceeds, and an updated procurement plan.

Figure 2.1: Cumulative Actual Versus Projected Contract Awards

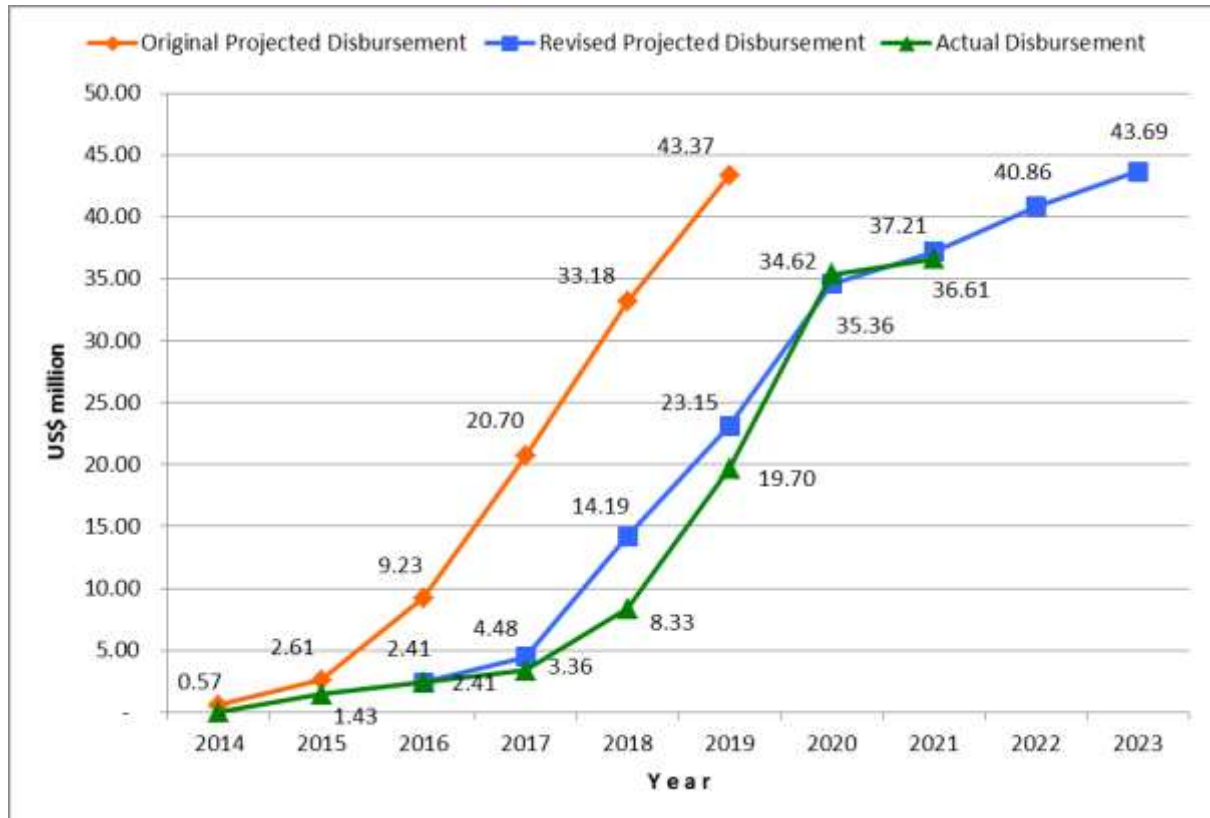




2.4 DISBURSEMENT

Disbursements during Q2 2021 were made through direct payment of interest during construction. So far, a total of US\$36.608 million, or 86% of total contract awards, has been disbursed. This is equivalent to 82% of the total loan and grant amount. The S-curves of the cumulative actual disbursements and original and revised projections/targets are shown in **Figure 2.2**. The revision of the targets was made based on the revised projected contract awards and the revised overall project implementation plan.

Figure 2.2: Cumulative Actual Versus Projected Disbursements



2.5 COUNTERPART FUNDS

Replenishment of the RGC counterpart imprest account was made during the reporting period is US\$27,300. As of 30 June 2021, the total counterpart fund released to the Project was US\$421,740 or 6 % of the total government contribution.



SECTION 3 IMPLEMENTATION PROGRESS

3.1 OVERALL PROGRESS

As of 30 June 2021, cumulative contract awards and disbursements were US\$42, 821,820 million (96% of the total loan and grant amount) and US\$36,608 million (86% of total contract awards and 82 of the total loan and grant amount), respectively. Overall physical progress is estimated at around 90% against the planned 91%, with an elapsed time from effectiveness date was 77% of the revised loan and grant period. The physical completion is indicated as a percentage derived from the estimate of the weight contributed by each activity as a percentage of the total project, consistent with the S-curve concept.

Output 1: The SLEDPs are targeted to be updated. National consultants have been mobilized in Q1 2020 and The SLEDPs has completed of data collection. Given difficulty in mobilization of international consultants to finalize these documents due to COVID-19 pandemic, these documents has been developed and submitted to international consultant to review and finalize and are expected to be approved and disclosure in Q2 2021.

Output 2: Overall progress of physical infrastructure subprojects is considered on time despite there is a minor delay in contract award for CW-10 and updated detailed RP. Storm drainage subproject Battambang Town and Urban Road Subproject in Bavet Town, has finished of one-year DLP. Site Inspection for storm drainage subproject was conducted on 14 June 2021 after the meeting; the storm drainage subproject will be handover to PDPWT/PIU for O&M.

Table 3.1: Civil Work Progress (%) against Schedules

Progress as of 30 June 2021	CW-02	CW-03	CW-04	CW-05	CW-06	CW-07	CW-8	CW-10	Total
Planned (%)	100	100	100	100	100	100	100	5	91
Actual (%)	100	100	100	100	100	100	100	5	90
+ - (%)	0	0	0	0	0	0	0	0	0

Note: CW-02: Battambang MRF; CW-03: Bavet MRF; CW-04: Poipet MRF; CW-05: Bavet Urban Road; CW-06: Battambang Storm Drainage; CW-07: Poipet Storm Drainage; CW-08: Bavet Storm Drainage; CW-10: Neak Loeung Flood Control.

Neak Loeung Flood Control Subproject (CW-10):

CEMP has already been approved by the EA. In this regard, ADB has no objection to allow the contractor to proceed civil works from 5 April 2021 onwards based on the IRC's handover letter for main dike sections: PK 2+280 to PK 4+150 at Neak Loeung commune, Peam Ror district and PK 3+700 to PK 4+150 (Left) at Prek Ksay Khor commune, Peam Ror district. In addition, the contractor has been completed certain preliminary works as given below:

1. Preliminary Survey along the proposed dike etc
2. UXO clearance;
3. Clearing bush along the dike and drainage alignment;
4. Construction of the main campsite and workers camp;
5. Held ground breaking ceremony.
6. The earth works at site has been started during this month (June 2021)



Material Recovery Facilities (MRFs):

Bavet MRF was proposed for leasing its operating and maintenance by Hybrid Company, the company is based in Bavet town. The process of signing contract with Svay Rieng provincial administration is in progress.

Battambang MRF. The operation and maintenance agreement is made and entered into as of 02 November 2020 by and between Battambang municipality (Owner) and Leap Lem Company (operator). This Agreement shall be effective and shall govern the rights and obligations of the parties from and after the date of this agreement for a period of 5 years.

Poipet MRF is in progress of preparing of official lease agreement. I was slow progress because the PIU member who was responsible for the agreement was sick and due to COVID-19, the area was resonated the red zone. Not allow to enter the area.

Output 3: Three consultant firms (Detailed Engineering Design, PISCD, CS) and three individual consultants (Environmental Specialist, Procurement Specialist, Financial Management Specialist) were recruited and are supporting PMU to implement the project and build the capacity. 24 out of 26 trainings have been completed. Two trainings (procurement management and financial management) were reduced based on the training needs assessment in February 2015. Such trainings were covered by government SOP trainings. Two additional trainings on O&M of MRF were also completed. Additional trainings on environmental management plan, GRM and gender mainstreaming were planning to conduct in Q3 2021 (Also based on the conditions of COVID-19 in the area).

Environmental and Social Safeguard & Gender and Training Program:

The activities for Strengthening of Institutional Capacity are presented in Subsection 3.6. Those for Environmental Safeguards are presented in Subsection 5.1. The Resettlement activities are presented in Subsection 5.2. The activities for the Implementation of Gender Action Plan are presented in Subsection 5.3. Those for Assessment of Project Performance through PPMS are presented in Section 4.

3.2 PROCUREMENT

The Project involves the procurement of (i) civil works with an estimated amount of \$38.22 million; (ii) consulting services, \$4.76 million; (iii) goods and equipment, \$0.42 million; and (iv) training, \$0.14 million.

3.2.1 Awarded and Ongoing, and Completed Contracts

As of the end of Q2 2021, the status of procurement is as follows:

- Consulting services Eight Contracts awarded: (i) PISCD Consultant (ongoing), (ii) DED Consultant (completed), (iii) Procurement Specialist (ongoing), (iv) Financial Specialist (ongoing), (v) Construction Supervision (ongoing), (vi) Environmental Specialist (ongoing) (vii) Resettlement Specialist (ongoing) and (viii) External Monitoring (ongoing). The recruitment of all consulting services has been completed.
- Contract variation No.14 for the PISCD consultants regarding additional inputs and time extension for project extension have been submitted to ADB by 26 March 2021. The VO No. 14 was signed on 12 April 2021 after the confirmation of no objection letter from ADB.



- The status of civil works has been completed of contracts is presented below:

Table 3.2: Completed Contracts of Civil Work

Package Number	Sub-projects Contracts have been Completed
CW-01	MRFs (Battambang, Poipet and Bavet).
CW-06	Battambang WWT (Storm Water Drainage)
CW-05	Bavet Urban Road Subproject
CW-01a	MRFs Additional work (Battambang, Poipet and Bavet)
CW07	Poipet Wastewater Treatment (Storm Drainage)
CW8	Bavet Wastewater Treatment (Storm Drainage)

3.2.2 Updated Procurement Plan

Due to budget shortfalls resulting from the depreciation of the SDR, and underestimation of scope of civil works and cost of construction materials in the Feasibility Studies, the EA and ADB understand that not all of the subprojects could be covered under the Project budget. A procurement plan was updated on 03 July 2020 and it is presented in **Appendix D**.

3.3 CONSULTING SERVICES

Consultants were engaged to provide services to support the PIU and PMUs during project implementation, specifically: (i) Project Implementation Support and Capacity Development (PISCD), (ii) Detailed Engineering (DED) Consultant, (iii) Construction Supervision (CS) Consultant, (iv) External Monitoring (EM) Consultant, (v) Procurement Specialist, and (vi) Financial Specialist.

3.3.1 Project Implementation Support and Capacity Development (PISCD)

Contract No. ICB/MPWT/PMU/CTDP1/001 between the Ministry of Public Works and Transport and NJS Consultants Co., Ltd. in Joint Venture with Key Consultants (Cambodia) Ltd. was signed on 04 August 2014. The consultant began mobilizing the consulting team on 15 August 2014.

Activities of the PISCD Consultant included assisting the PMU with Procurement (see Subsection 3.2), Detailed Engineering Design (see Subsection 3.3.2), Strengthening of Institutional Capacity (see Subsection 3.6), Environmental Safeguards (see Subsection 5.1), Resettlement (see Subsection 5.2), Implementation of Gender Action Plan (see Subsection 5.3), and Assessment of Project Performance through PPMS (see Section 4). The PISCD Consultants have also assisted the PMU in the preparation of this Quarterly Report.

3.3.2 Construction Supervision (CS)

During Q2 2021, the Construction Supervision Consultant continued the supervision of the construction of Neak Loeung Flood Control Subprojects. As per the letter from ADB the civil works allowed to start at site on 5 April 2021 (From PK2+280 to PK4+150, around half of the total length at the end of the protection dike).

3.3.4 External Monitoring (EM)

External Monitoring is part of the Safeguards Monitoring for the Project. Environmental and Resettlement Safeguards are to be monitored during the pre-construction, construction and post-construction periods. The Ministry of Economy and Finance (MEF) has engaged an



external monitoring consultant to provide EM for resettlement activities and implementation of the Resettlement Plan (RP). The MPWT has engaged an External Monitoring Consultant to provide external monitoring of the implementation of the Environmental Management Plan (EMP).

The sixth semi-annual Environment Safeguards Monitoring Report covering the period from January to June 2020 was submitted to ADB for review and comments on 8 July 2020. ADB provided comments and feedback on 13 October 2020, ADB issue an NOL, and it was disclosed on ADB Website on 28 October 2020.

The seventh semi-annual ESMRs covering July to December 2020 is finalized and submitted to ADB for review and comments by 15 January 2021, ADB issue an NOL, and it was disclosed on ADB Website on 25 January 2021.

The eighth semi-annual ESMRs covering January to June 2021 is finalized and submitted to ADB for review and comments by 15 July 2021.

3.3.5 Financial and Procurement Specialists

The Financial and Procurement Specialists who are contracted directly by the PMU are responsible for the preparation of Quarterly Financial Management Report, updating the Procurement Plan and Construction Contract Tendering.

3.4 ADOPTION AND IMPLEMENTATION OF THE SLEDP (OUTPUT 1)

The SLEDPs for Bavet, Neak Loeung, Battambang, and Poipet, which were all prepared in 2011, were reviewed by relevant government agencies and provincial authorities and adopted by these agencies/authorities. The PISCD Consultants are working on updating the SLEDPs. Public consultation meetings were completed and data were updated for all four towns and are expected to be approved and disclosure in this quarter 2021.

3.5 IMPLEMENTATION OF PRIORITY URBAN INFRASTRUCTURE INVESTMENTS (OUTPUT 2)

The three MRFs, Battambang Wastewater Treatment (Storm Drainage) Subproject, Bavet Urban Road Subproject, and the Poipet and Bavet Wastewater Treatment (Storm Drainage) have been completed its construction. Neak Loeung Flood Control Subproject (CW-10) is in progress. The preparation activities are started immediately after the contract was signed on 14 December 2020 and after ADB provides a conditional no objection for Detail Resettlement Plan (DRP) on 10 December 2020.

It was agreed by the EA and ADB that the criteria for the prioritization of Subprojects are based on the importance and urgency of works to be done, and readiness in terms of safeguards requirements and detailed engineering designs. The agreed ranking of Subprojects, in order of priority, is shown in **Table 3.3**:

Table 3.3: Ranking of Subprojects, in Order of Priority

Rank	Package	Subproject	Readiness
1	CW02	Battambang Material Recovery Facility	No potential safeguards issues as MRF located adjacent to the existing dumpsite on land that belongs to Battambang town.



Rank	Package	Subproject	Readiness
2	CW04	Poipet Material Recovery Facility	No potential safeguards issues as MRF located adjacent to the existing dumpsite on land that belongs to Administration of Poipet Municipality. Deputy governor of Administration of Poipet Municipality issued land title on 30 August 2019, confirmed the land 3200 m2 for MRF construction belongs to the government (see support document in Appendix M).
3	CW03	Bavet Material Recovery Facility	No potential safeguards issues as MRF located adjacent to the existing dumpsite on land that belongs to Bavet Town governor. Reference letter No.013 dated 04 May 2018, Bavet Governor provided land 20 ha for construction of MRF and WWTP (see support document in Appendix M).
4	CW06	Battambang Wastewater Treatment (Storm Drainage)	All AHs is fully compensated. No potential safeguards issues happened during subproject implementation.
5	CW05	Bavet Urban Road	All AHs is fully compensated. No potential safeguards issues happened during subproject implementation.
6	CW07	Poipet Wastewater Treatment (Storm Drainage)	All AHs is fully compensated. No potential safeguards issues happened during subproject implementation. After completion of construction on 31 July 2020, a household who is recently encroached (moved his wooden pole fence) to the end section of line 2 claiming ownership to that land.
7	CW8	Bavet Wastewater Treatment (Storm Drainage)	ADB provide No-objection on 16 October 2019 of the last remaining one effected household to precede civil works was now fully compensated in accordance with the URP as mentioned in the site handover letter dated 01 June 2020 from IRC of MEF sent to MPWT. All AHs are fully compensated.
8	CW10	Neak Loeung Flood Control	ADB has no objection to Proceed Civil Works from 5 April 2021 onwards for Handover Compensated Sections of 234 Affected Households. The payment of compensation to 133 affected people in the second phase by the IRC/GDR working group was completed.

3.6 STRENGTHENING OF INSTITUTIONAL CAPACITY

The capacity development program is intended to ensure smooth implementation of the priority subprojects and to provide sustainable operation and maintenance constructed infrastructure.



During the reporting period no training course was conducted, as of Q2 2021 the training session and awareness campaigns by NJS&KCC, PISCD consultants, and contractors as following:

Conducted by NJS and KCC

The training program on MRFs Operation and Maintenance was conducted on Q1 2020 by NJS and KCC in Battambang City (Khemara Battambang I Hotel) on February 4-6, 2020, while the capacity-building training for Bavet MRF was conducted on February 11-13, 2020, which was held in Waikor Hotel, Svay Rieng Municipality, with thirty-seven (37) participants from PMU, PIU, Waste Buyers, Municipality and Authority attended in this training program.

As of Q2 2021, a total of 25 training sessions were conducted by NJS and KCC. A total of 471 participants (PMU, PIU, Authority, and Waste collectors/buyers) attended the training program, 89 of them are women. A complete list of the participants is presented in **Appendix E**.

Conducted by PISCD Consultants

During the reporting period, no training course was conducted by PISCD Consultants, as of the end of Q2 2021; the total training programs conducted by PISCD consultant are as follows:

Health and Sanitation Promoters with support from PIU, PDoWA, DoWA and Sangkat Councilors conducted Environmental Sanitation and Hygiene Promotion to a total 948 (622 women) students and villagers who are living in the SECTDP areas:

- 1) Bavet: conducted Environmental Sanitation and Hygiene Promotion to 385 villagers (249 women).
- 2) Battambang: conducted Environmental Sanitation and Hygiene Promotion to 159 villagers (112 women).
- 3) Poipet: conducted Environmental Sanitation and Hygiene Promotion to 404 villagers (261 women)

The various informal training program was conducted by PISCD consultants at the PIU offices and Contractor's campsite in Poipet, Battambang, and Bavet. As of Q1 2021, seven training sessions have been conducted by PISCD consultants, 1,062 participants attended the training sessions, 650 of them are women. There is no training was conducted during this quarter due to COVID-19 situation in the proposed site for Neak Loeung Flood control subproject. A complete list of the participants is presented in **Appendix E**.

Conducted by Contractors

Beijing Urban Construction Group CO., LTD Conducted Training on Solid Waste Recycling Skills at the Battambang Town Dumpsite on 11 September 2019 to waste pickers at the dumpsite and waste pickers from Leap Lim company. There were 52 participants of which 30 are women.

Beijing Urban Construction Group CO., LTD Conducted Training on Concrete Works and Painting Skills in Contractor's office (BEIJING URBAN CONSTRUCTION GROUP CO., LTD), Battambang Town on 25 August 2019 to construction workers. There were 32 participants of which 31 are women.



Beijing Urban Construction Group CO., LTD Conducted Training on Existing Business for Women to 12 villages in Battambang Town on 11-15 July 2019. There were 162 participants of which 99 are women.

Road safety awareness and information campaigns were conducted by Bavet Urban Road construction Contractor in Bavet town on 28-29 June 2019 for school children, communities, and garment factories' workers. There were 497 school children, of which 239 are women, attended in the road safety and awareness campaigns. Similar road safety and awareness campaigns were provided to 42 people from local communities, of which 25 are women and 4,969 garment factories' workers from SHEICO Group garment factory and ELITE (Cambodia) of which 4,848 are women.

MTA Construction CO., LTD and Xingjiang Beixin Road and Bridge Group CO., LTD Conducted HIV/AIDs and STIs awareness campaign in Bavet Town on 22 March – 10 April 2019 to construction workers, entertainment workers, waste pickers, and villagers. There were 191 participants of which 89 are women.

MTA Construction CO., LTD Conducted Training on Existing Business for Women to seven villages in Bavet Town on 13-14 March 2020. There were participants of which 78 are women.

Beijing Urban Construction Group CO., LTD Conducted HIV/AIDs and STIs awareness campaign in Battambang Town between 01 - 31 January 2019 to construction workers, entertainment workers, waste pickers, and villagers. There were 251 participants of which 138 are women.

UNG SIM SIA Construction CO., TLD Conducted HIV/AIDs and STIs awareness campaign in Poipet Town between 01 - 30 November 2019 to construction workers, waste pickers, and villagers. There were 152 participants of which 68 are women.

UNG SIM SIA Construction CO., TLD Conducted Training on Existing Business Improvement for Women to 10 villages in Poipet Town on 27-28 August 2020. There were 165 participants of which 157 are women.

So far, a total of five training sessions and four awareness campaigns were conducted by contractors in Battambang, Bavet and Poipet. The training sessions were attended by 516 participants of whom 399 are women. The awareness campaigns were attended by 6,102 participants of whom 5,407 are women.

A complete list of the participants is presented in **Appendix E**.



SECTION 4 PROJECT PERFORMANCE

4.1 GENERAL

Project performance M&E includes tracking progress from the baseline situation against the targets and the indicators identified in the DMF and assessing progress toward achieving the respective result level outputs, outcome, and impact.

In this Project, although outputs, outcome, and impacts will be achieved only as Subprojects are completed (some of the Subprojects sooner, others later; some fully, others partly), it is necessary to assess the likelihood or degree of the achievement of outputs, outcome and impact already during implementation. The subjectivity of the judgments involved can be reduced by taking into account the implementation progress and, equally important, by realistically reassessing the assumptions and risks that affect outputs and development objectives.

4.2 ASSESSMENT OF ASSUMPTIONS AND RISKS

Assumptions and risks are the external factors that cannot be controlled by the project manager or the executing agencies, but which influences the success of project implementation. These are identified in the DMF. Assumptions explain important conditions that affect project implementation, while risks are the probability or likelihood that key assumptions may not materialize. The assessment of assumptions and risks is presented in **Appendix F**.

4.3 PROJECT PERFORMANCE AGAINST THE TARGETS SET OUT IN THE DMF

M&E of performance indicators involves the tracking and assessment of the degree of attainment and sustainability of Project outputs, outcome, and impact by comparing actual performance, as measured by its corresponding indicator, to the baseline and performance target.

During the report period (Q2 2021) DMF was restructured and approved by ADB, the Project's performance against the targets set out in the DMF is reported in **Appendix G**. As of the end of Q2 June 2021, assessments of progress toward achieving Outputs 1 and 3 (a, and c) are being carried out since the activities toward attaining these outputs have commenced and are ongoing. Progress toward achieving Output 2 (a, b, d, g, and i) and Outcome, however, can only be assessed upon completion of subprojects and during their operation.

The project's DMF has been updated to reflect the change in scope upon the official request from the government including budget reallocation. The project's DMF has been submitted to ADB on November 2020 for approval. It was subsequently restructured and approved in December 2020.

4.4 BASELINE VALUES, PERFORMANCE TARGETS FOR INDICATORS, AND PROJECTED ACHIEVEMENTS

The projected achievements of performance target values for the indicators in the project DMF have been updated based on the results of civil work completed in the 1st, 2nd, 3rd, 4th quarters of 2017, 2018, 2019, and 2020. The baseline values and performance targets, and projected achievements that have been updated, are discussed in **Appendix H**.



SECTION 5 COMPLIANCE WITH SAFEGUARDS AND COVENANTS

5.1 ENVIRONMENTAL SAFEGUARDS

5.1.1 Environmental Monitoring (Construction of Neak Loeung Flood Control)

Contractor's Environmental Monitoring Monthly Report

The contractor submitted environmental monitoring report from February to May 2021, after reviewed the report, it was noted that the contractor had good practice of environmental mitigation measure in compliance with EMP and environmental protection of Flood Control Dike construction standard, such as waste management, traffic, air pollution, noise and vibration, health, safety, grievance redress mechanism and community perception, photos of environmental mitigation measure are presented in **Appendix O**.

Health and Safety

In addition, COVID-19 posters were displayed in the campsite and health and safety risk management plan was implemented include protection against COVID-19 pandemic at campsite. The displayed posters are raising awareness about good practice measurement of the Ministry of Health such as social distancing, maintenance of proper sanitary conditions through regular breaks to wash hands, regulate the use of canteen and toilet, additional hand wash facilities, provision of a welfare attendant for smooth operation, etc.

Good practice on daily morning assembly before starting of work for all staffs, workers and engineers to ensure that every body are equipped with PPE and ensure a good practice measure to cope with COVID-19 such as wearing mask, temperature screening and hand washing. Photos of Covid-19 prevention are presented in **Appendix O**.

Grievance Redress Mechanism (GRM)

The GRM-Complaint Recording Form are in place and the contractor have a key person assigned in responsible to record and received the complaint, as of June 2021, no complaints related to project received to date.

Project signboard that installed in front of the way to campsite also should be considered as a project site. Project signboard included telephone number (Hotline) for people to contact or complaint, so the grievance redress process applies to social and environmental issues, photos of project signboard are presented in **Appendix O**.

CEMP

The draft of CEMP of Neak Loeung Flood Control Subproject has been submitted to CS/Environmental Specialists for review and comments on 27 January 2021, Environmental Specialists provided comments and feedback on 30 January 2021. The revised draft of CEMP of Neak Loeung Flood Control Subproject was resubmitted to Environmental Specialists on 24 February 2021. It was finally approved by the EA on 16 March 2021.

Training



Updated training materials for conduct training course on Environmental Monitoring, GRM, Covid-19 prevention and mitigation measure to PMU, PIU, CS, Contractor and commune council for civil work of Neak Loeung Flood Control subproject were completed.

Prevention Measures:

Dissemination information about COVID-19 prevention and mitigation measures to staffs, officers, engineers and workers through orientation or distributing leaflet/poster at the information/safety board at PMU/PIU office, Consultant office and at the campsite. The information is about:

- Daily checking temperature of staff and workers before starting the works;
- Provide vaccinations to staffs, officers, engineers and workers by government;
- Staff and workers have to wear masks all the time and properly;
- Do not share personal items or supplies such as phones, pens, notebooks, tools, etc.;
- Avoid common physical greetings, such as handshakes;
- Maintain a minimum physical distance of one and half meter from others if possible;
- Wash hands often with soap and water for at least 20 seconds after using the washroom, before handling food, after blowing nose, coughing, or sneezing, and before smoking. If hands are not visibly soiled, and soap and water are unavailable, alcohol-based hand sanitizer can be used;
- All offices and job sites implement additional cleaning measures of common areas. All door handles, railings, ladders, switches, controls, eating surfaces, shared tools and equipment, taps, toilets, and personal workstation areas are wiped down at least twice a day with a disinfectant, such as disinfectant wipes. Individuals are responsible for cleaning and disinfecting their workstations;
- Commonly touched surfaces on vehicles and equipment are thoroughly cleaned and disinfected at the end of shifts and between users;
- Coughing or sneezing into a tissue or the bend of your arm, not your hand; And dispose of any tissues you have used as soon as possible in a lined wastebasket and wash your hands afterward;
- Complying with any instructions announced by the Ministry of Health accordingly;

Mitigation Measures:

- Individuals who have been potentially exposed to the virus, or who are exhibiting flu-like symptoms such as fever, tiredness, coughing, or congestion are instructed to: Not come to work; Contact their supervisor and/or human resources department; Stay at home and self-isolate; and Contact local health authorities for further direction.
- Such individuals are required to follow the directions of the local health authority and may not return to work until given approval by the proper health authorities;
- Individual who begin to display flu-like symptoms on site are instructed to avoid touching anything, take extra care to contain coughs and sneezes, and return home immediately to undergo self-isolation as directed by the local health authority;
- All areas on site potentially infected by a confirmed or probable case are barricaded to keep individuals two meters away until the area is properly cleaned and disinfected.

Government Cambodia Announcement on Prevention Measures:

Three protections measures:



- Staff and workers have to wear masks all the time and properly,
- Wash hands often with soap and water, alcohol-based hand sanitizer can be used;
- Keeping a social safety and personal safety distance of more than 1 meter.

Three Don'ts:

- First, do not go into a closed, unventilated area and use too much air conditioning. Find a well-ventilated space;
- Second, do not go to crowded places. For example, while exercising in the park, if there are many people in the park, just go find another place or wait for the crowd to leave;
- Third, do not touch each other, do not shake hands, do not hug, do not put arms around each other's shoulders, etc.

Two Obligations:

- First, vaccination.
- Second, *if do not QC screening and wear mask, do not enter.*

5.2 RESETTLEMENT

Neak Loeung Flood Control (CW-10)

ADB provided no objection on 4 March 2021 and note that 234 affected households were now fully compensated in accordance with the URP and its addendum as mentioned in the site handover letter No 1797MEF/GDR dated 15 March 2021 from the Inter-Ministerial Resettlement Committee (IRC) of the Ministry of Economy and Finance sent to the Ministry of Public Works and Transport.

Meanwhile, ADB also understand that CEMP has already been approved by the EA. In this regard, ADB has no objection to allow the contractor to proceed civil works from 5 April 2021 onwards based on the IRC's handover letter for main dike sections: PK 2+280 to PK 4+150 at Neak Loeung Commune, Peam Ror district and PK 3+700 to PK 4+150 (Left) at Prek Ksay Khor commune, Peam Ror district.

On June 15, 2021, the PMU Working Group participated in the payment of compensation to 133 affected people in the second phase with the GDR working group in the flood protection sub-project in Neak Leung town, Peam Ro district, Prey Veng province.

As of 30 June 2021 IRC / GDR and working group participated in the payment of compensation to affected people are presented in the table below:

Number of APs	Compensation			Remaining
	Step 1	Step 2	Total	
385 AHs	234 AHs	133 AHs	367 AHs	18AHs

Resettlement Issue

There are four families at Neak Loeung Flood Control Subproject that did not accept compensation from IRC / GDR, 3 families in Prek Khsay Khor commune and 1 family in Neak Leung commune. The four families demanded higher prices and the cutting right of way.



3 families in Prek Khsay Khor commune, IRC / GDR resolved 2 families at the commune level and 1 family resolved at the district level but did not succeed. Meanwhile, 1 family in Prek Tasor village, Neak Leung commune did not solve due to covid-19, the meeting could not be conducted.

Poipet Wastewater Treatment (CW-07)

Document evidence of the documented and disseminated establishment of the cut-off date to avoid any opportunistic claims and serves a basis to confirm any valid claims later on for a household who is recently encroached (moved his wooden pole fence) to the end section of Line No.2 claiming ownership to that land. The document evidence was arranged by PIU and was kept at the PIU office.

5.3 IMPLEMENTATION OF GENDER ACTION PLAN

The project is effective gender mainstreaming and the GAP covers 5 activities and 7 quantitative targets and Design and Monitoring Framework (DMF) includes 8 numeric gender indicators.

As of 30 June 2021, the activities for implementation of Gender Action Plan and gender indicators in DMF were accomplished as following:

Four out of seven targets in GAP are completed (about 57%); Three targets on-track (about 43%). Three out of five actions are completed/achieved (60%); and two actions are on-track (40%). Two out of four gender features in DMF is completed, and two of four are on track. The project has achieved the followings:

- (i) The gender component has been integrated into all target town's SLEDP (**action 1-DMF**),
- (ii) Market assessment survey undertaken indicating current demand and economic growth areas in towns was engaged 101 women (**action 3**),
- (iii) 30 (58%) women out of 52 waste pickers received training on Solid Waste Recycling Skills. The training has been helpful to women to be able to do solid waste recycling, make compost, and to guide them on price of recycle products and on how to seek for available merchandisers to sell recycle products to earn more income (**target 3**),
- (iv) 8 (30%) of 27 participants from commune health and sanitation promoter, Provincial Department of Women's Affairs (PDWA), PMU & PIUs of the four towns attended a training of trainer on environmental sanitation and hygiene. Out of 27 trained participants, 11 (41%) conducted Environmental and Sanitation campaign to total 948 (622 females = 66%) target villagers (**target 5 – 6**),
- (v) Out of a total 5,508 participants, 5,112 women (93%) attended awareness raising on road safety program (**Action 5**).
- (vi) Out of a total 10 PMU staff, 3 staff (30%) are women. Out of a total 35 PIUs staff, 11 staffs (31%) are women. The number of women has increased from 2 to 3 female staffs for PMU and from 8 to 11 female staff for PIUs. The female in decision position: out of the total 10 PMU staffs, 2 staffs (25%) are women and out of the total 11 PIU staffs, 2 staffs (22%) are women (**target 7 -DMF**).
- (vii) 16,880 (30%) person-day of 55,711 of unskilled laborers claimed by women under the construction work, the recruitment 75% of local origin of unskilled laborer's



cannot be fulfilled because the contractor has difficulty in getting labor workers in the city but it can be hired at WWTP site work (**target 4 – DMF**).

GAP Implementation Progress is presented in **Appendix J**.

5.4 STATUS OF COMPLIANCE WITH LOAN COVENANTS, AND RISK ASSESSMENT AND RISK MANAGEMENT PLAN

There are 35 key loan covenants, out of which thirty (30) have complied, three (3) are ongoing and two (2) are not yet due for compliance. The list of covenants under relevant categories and their status of compliance as of June 30, 2021, is provided in **Appendix K**.

The status of compliance with the Risk Assessment and Risk Management Plan is presented in **Appendix L**.



SECTION 6

GRIEVANCE REDRESS MECHANISM (GRM)

6.1 GRM OBJECTIVE

A grievance redress mechanism (GRM), consistent with the requirements of the ADB Safeguard Policy Statement (2009) will be established to prevent and address community concerns, reduce risks, and assist the project to maximize environmental and social benefits. In addition to serving as a platform to resolve grievances, the GRM has been designed to help achieve the following objectives: (i) open channels for effective communication, including the identification of new environmental issues of concern arising from the project; (ii) demonstrate concerns about community members and their environmental well-being; and (iii) prevent and mitigate any adverse environmental impacts on communities caused by project implementation and operations. The GRM is accessible to all members of the community.

6.2 PROPOSED GRM SYSTEM

In Cambodia, there is currently no existing legally established system to resolve environmental concerns and complaints. The MPWT, as the EA of the SECTDP will establish the GRM. The setup shall be made before the commencement of civil works and have members from the PMU, district authority, and commune councils. Grievances can be filed in writing or verbally with any entry point of the GRM. The committee will have 15 days to respond with a resolution. The PMU's Environment Safeguards Officer will oversee the implementation of the mechanism with technical support by PMU's environmental safeguard consultants and will be responsible for keeping the PMU informed. The PIU's Environmental Safeguards Focal Point will be responsible for ensuring GRM implementation at the Subproject level effectively.

6.3 ACCESS TO THE MECHANISM

A well-defined grievance redress and resolution mechanism will be established to resolve grievances and complaints in a timely and satisfactory manner. The PMU, through Environment Safeguards Officer (PMU-ESO) and staff in the MPWT, will ensure that: (i) The public and all stakeholders are aware of their rights to access, and will have access to, the GRM free of administrative and legal charges; and (ii) The GRM is fully disclosed prior to construction: (a) in public consultations, (b) through posters displayed in the commune office (posters to include names and contact details of the PIU-SFP). The GRM is fully disclosed prior to construction: (a) in public consultations, (b) through posters displayed in the commune office (posters to include names and contact details of the PIU).

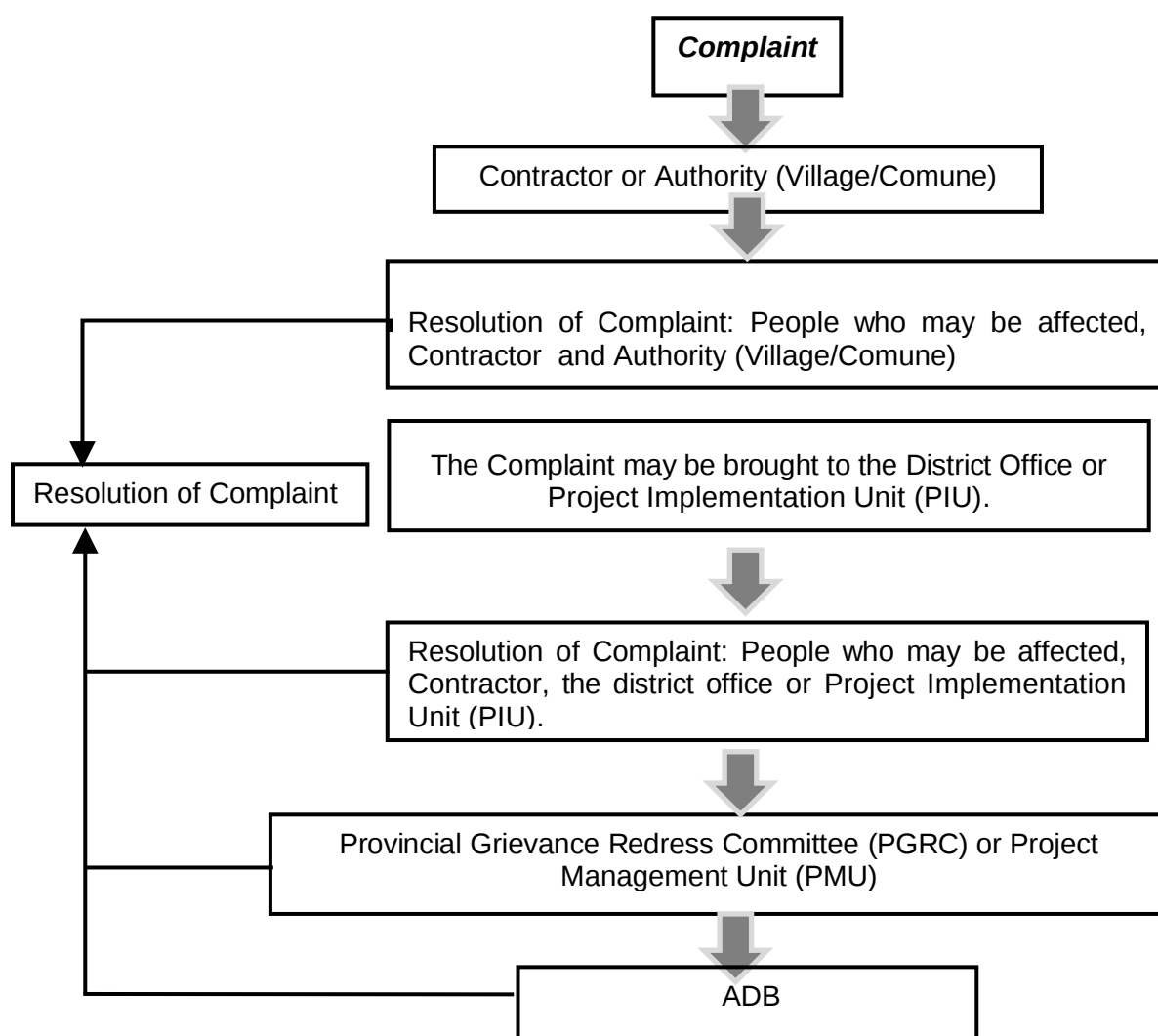
The grievance redress process applies to environmental issues and includes three steps of which three are followed before complaints may be elevated to the ADB as a last resort, as follows:

- (i) 1st step: Complaints and grievances will be provided verbally or in writing to the village chief, commune chief, or to contractor. The receiving agent will provide immediate written confirmation of receiving the complaint. If after 5 days the complainant does not hear from the contractor or not satisfied, the complainant will continue to village chief and then go to commune chief/council if still not satisfied. If after 15 days the complainant does not hear from the contractor, village and commune chiefs or if he/she is not satisfied with the decision taken in the first stage, the complaint may be brought to the District Office or Project Implementation Unit (PIU).



- (ii) 2nd step: The District Office in cooperation with Project Implementation Unit (PIU) has 15 days within which to resolve the complaint to the satisfaction of all concerned. If the complaint cannot be solved at this stage, the District Office or Project Implementation Unit (PIU) will bring the case to the Provincial Grievance Redress Committee (PGRC) or Project Management Unit (PMU) and has to inform the complainant. The Annex 10: GRM-Complaint Recording Form.
- (iii) 3rd step: The PGRC or Project Management Unit (PMU) meets with the aggrieved party and tries to resolve the situation. Within 15 days of the submission of the grievance, the Committee or PMU will make a written decision and submit copies to ADB.

Figure 6.1: Grievance Redress Mechanism Chart





SECTION 7

MAJOR PROJECT ISSUES AND PROBLEMS, AND ACTIONS TAKEN

The major project issues and problems encountered, and actions taken during Q2 2021 are as follows:

Issues/Problems	Action Taken
O&M of subprojects not sustainability.	Draft hand-over contracts or MOUs with respective local institutions for the rehabilitated urban road and storm drainages will be done prior to DLP ends to ensure the smooth transfer on O&M responsibility of those infrastructures for its sustainability.
Two affect households did not accept the compensation	The District Grievance Redress Committee prepared documents and reports to Provincial Grievance Redress Committee in accordance with legal procedures.
Contractors were not aware of the EMP and Covid-19 prevention requirements, which was one of the reasons for incomplete implementation of the CEMP and prevention of Covid-19.	- Contractors are regularly reminded of their responsibilities. - The recommendations on EMP and Covid-19 prevention to contractors were implemented.
TL Resigned, COVID-19 Cannot Mobilize International Experts for prepare SLEDPs	NJS is looking for the replacement
The meeting in group has been reduced as result some activities could not be done based on the schedule	Organized meeting by VIDEO conference (Skype) with the PMU, MEF, ADB and Contractors for the progress updated for GMS-1 project has been done.
Delay of SLEDPs	- PMU issued a letter of warning to the firm not allow to extend after Q1 2021. - PISCD formulate a new team for completion the reports.



SECTION 8 ACTIVITIES FOR THE NEXT STEPS

The work plans for the next steps is presented in **Table 8.1**

Table 8.1: Work Plan for Next Steps (Q3 2021)

Main Task	Activities to be Carried out	Responsible	Agreed Due Date
Civil Work	Construction supervision and coordination work for implementation of Neak Loeung Subprojects.	CS, PISCD Consultants PMU and PIU	Q3 2021
SLEDPs	The SLEDPs to be updated and disclosed	PISCD Consultants	Q3 2021
Implementation of Gender Action Plan	- Awareness training provided by external HIV organizations and/or NGOs subcontracted by contractors during workforce mobilization for laborers and community surrounding construction locales. - Conduct training on Gender Sensitization and GAP Orientation to Project Stakeholders - The refresher training on GAP will connect with Environmental for PIUs Neak Leung after contractors signed agreement - Update GAP progress report. - Support civil work to ensure that the gender action targets (30% women unskilled laborers).	PMU, PIU PISCD Consultant and contractor	Q3 2021
Resettlement	Resolve and compensate affect households remaining	Resettlement Specialist/PISCD Consultant	Q3 2021
	- GRM training - GRM disclosure		



Main Task	Activities to be Carried out	Responsible	Agreed Due Date
Environmental Safeguards	• The Eighth Environment Safeguards Monitoring Report covering the period from January to June 2021 will be prepared and will be submitted to ADB for review and comment. • Project information and GRM disclosure. • Conduct training course on Environmental Monitoring, GRM, Covid-19 prevention and mitigation measure • Review Contractor's environmental monitoring report.	External and National Environmental Specialist/ PISCD Consultant	Q3 2021
PPMS	• Updating of projected accomplishments of performance, baseline, and target values. • Data collection/information to prepare Q3 2021 Quarterly Report	PPMS Specialist/ PISCD Consultant	Q3 2021



APPENDIX A

KEY MEMBERS OF PROJECT MANAGEMENT UNIT AND PROJECT IMPLEMENTATION UNITS

A.1 Key Members of the Project Management Unit

PMU Member	Project Responsibility	Phone
1. H.E. Vong Pisith	- Project Director	012 833 411
2. Mr. Sem Kim Choeun	- Project Manager	089 618 778
3. Mr. Pou Manith	- Procurement Officer	012 803 203
4. Ms. Thap Solini	- Financial Officer	
5. Mr. Vong Rada	- Technical Officer and Gender Focus Point	012 451545
6. Mr. Vong Litet	- Social & Environmental Affairs	
7. Mr. Chay Vila	- Municipal Development Planning	092 443 434
8. Mr. Sros Sitharith	- Impact Assessment	
9. Mr. Pal Piseth	- General Administrator	089 383 198
10. Mr. Nuon Sikheng	- Liaison Officer	
11. Ms. Pann Siyon	- Office Support	
12. Mrs. Srey Chea	- Office Support	
13. Mr. Ung Sa Em	- Driver	
14. Mr. Nget Chan Ggoeun	- Driver	
15. Mr. Mao Chansok	- Driver	

A.2 Key Members of Project Implementation Units

PIU Member	Project Responsibility	Phone
Battambang		
1. Mr. Chan Sambo	- Chief of PIU	092 930 966
2. Mr. Dy Pao	- Deputy Chief of PIU	
3. Mrs. Kem Sokuntheary	- Administrative & Financial Officer	092 818017
4. Mr. Prounh Rith Tha	- Technical Officer	
5. Mr. Song Soeung	- Technical Officer	012 975 250
6. Mr. Nou Chamroeun	- Urban Development Plan	017 339 986
7. Ms. Sok Kinna	- Social & Environmental Officer	012 479 030



PIU Member	Project Responsibility	Phone
8. Mrs. Toun Bopha	- Impact Assessment	
9. Mr. Nou Chhorvivann	- Coordinator and Gender Focus Point	017 260 560

Poipet

1. Mr. Chea Sovanthoun	- Chief of PIU	012 220 015
2. Mr. Touch Mony	- Deputy Chief of PIU	012 998 998
3. Mr. Chheang Monin	- Technical Officer	
4. Mr. Orn Siphea	- Technical Officer	012 686 196
5. Mr. Sok Penhnane	- Urban Development Plan	
6. Ms. Chhay Vannin	- Administrative & Financial Officer	012 509 158
7. Mr. Sang Sony	- Social & Environmental Officer	077 319 444
8. Ms. Sophal Leaphea	- Impact Assessment and Gender Focus Point	017 622 270
9. Mr. Rinh Bunchhuoy	- Coordinator	
10. Ms. Pang Chrib	- Office Support	

Neak Loeung

1. Mr. Kry Rathat	- Chief of PIU	012 497 374
2. Mr. Yaem Pheara	- Deputy Chief of PIU	097 249 3342
3. Mr. Nhem Sokheourn	- Technical Officer	
4. Mrs. Penh Sophea	- Urban Development Plan	031 233 2340
5. Mr. Puth Sophea	- Social & Environmental Officer	088 222 6737
6. Mrs. Phat Sreysros	- Gender & Public Campaign Officer	093 427 117
7. Mr. Prak Sareth	- Impact Assessment	
8. Mrs. Pea Dany	- Office Support	

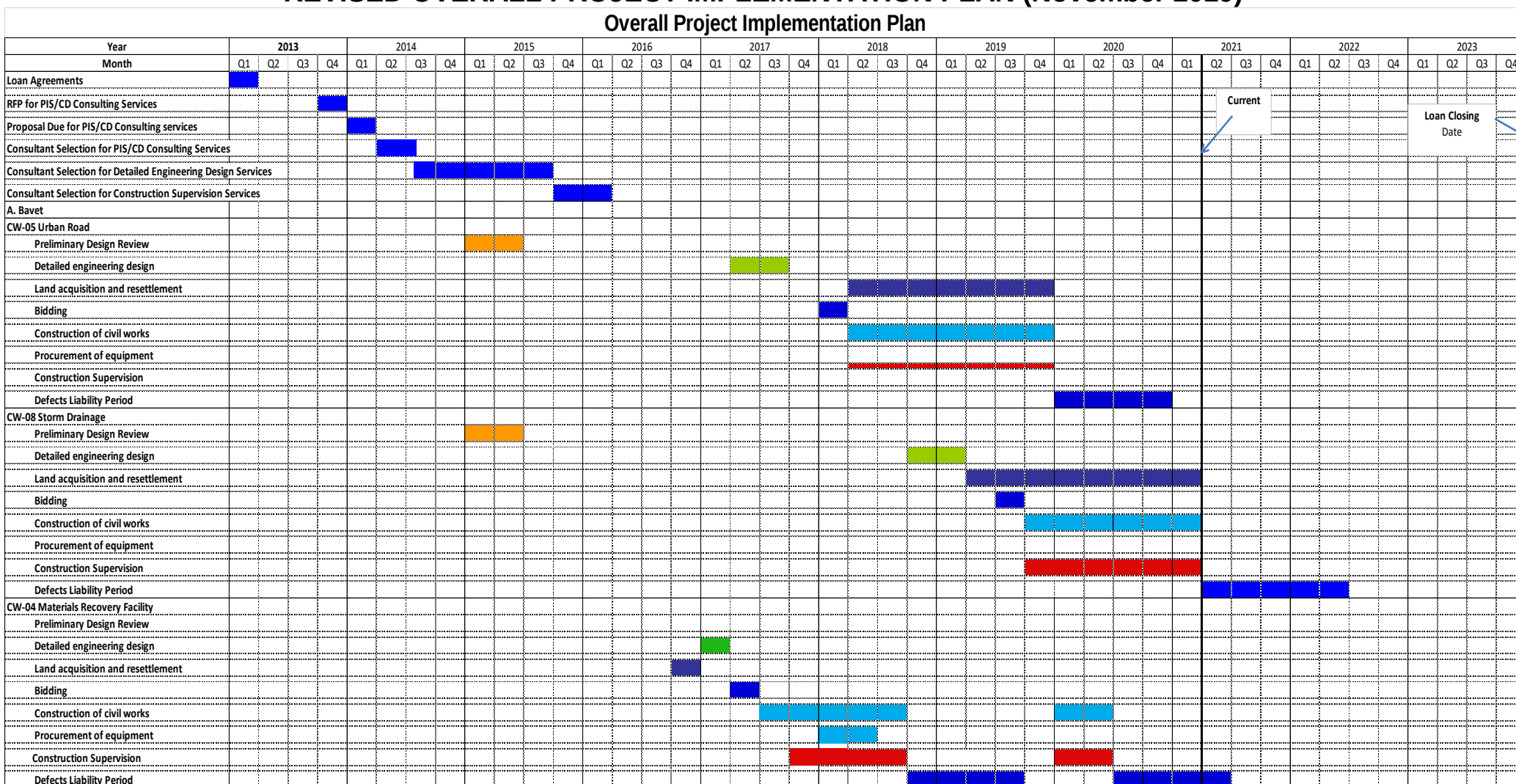
Bavet

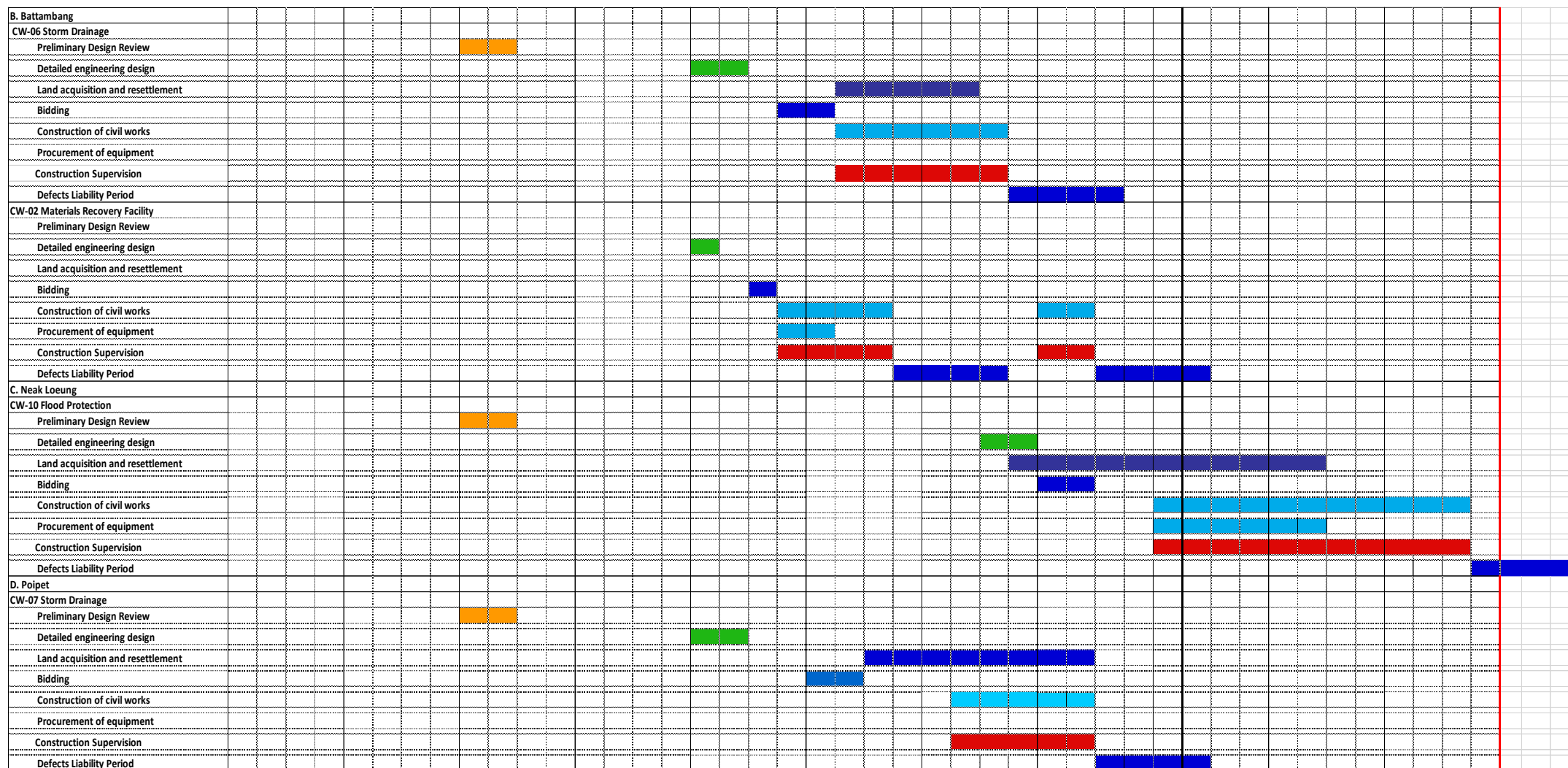
1. Mr. Noun Lihoun	- Chief of PIU	012 707 616
2. Mrs. Chuon Sovannary	- Deputy Chief of PIU	088 4412888
3. Mr. Yun Raxsmey	- Technical Officer	
4. Mr. Sok Sophal	- Administrative & Financial Officer	097 751 6364
5. Mr. Keo Sarang	- Social & Environmental Officer and Gender Focus Point	088 6440544
6. Mr. Kham Rithy	- Urban Development Plan	097 481 1801

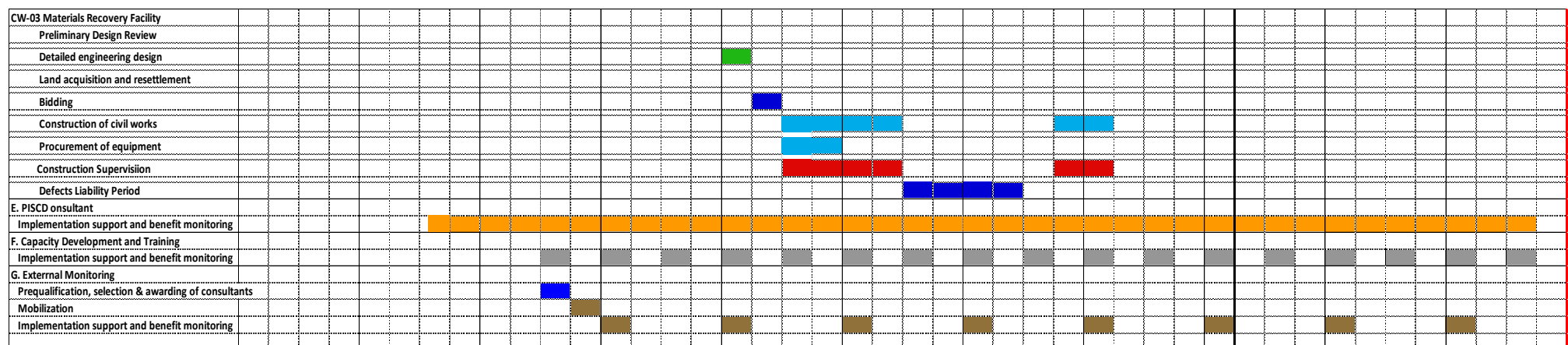


APPENDIX B

REVISED OVERALL PROJECT IMPLEMENTATION PLAN (November 2019)









APPENDIX C LOANS AND GRANTS UTILIZATION

Status of Loan Utilization (Loan 2983)

As of 30 June 201							
Cat. Ref.	Category Name	US Dollars					
		Allocation	Contracts Awarded	Uncontracted Loan Balance	Contracts Disbursed	Undisbursed Loan Balance	Undisbursed Contract Balance
		A	B	C = A - B	D	E = A - D	F = B - D
01	Works		-	-	-	-	-
1A	CW- Battambang WWT	7,478,610	7,458,032	20,578	7,458,016	20,594	16
1B	CW- Road and Solid Waste M	9,715,557	9,589,798	125,759	9,563,921	151,636	25,877
1H	CW-Bavet Drain&NL FC	10,842,387	10,006,918	835,469	5,163,997	5,678,390	4,842,921
02A	CS - PISCD	2,687,241	2,843,698	(156,457)	2,524,227	163,014	319,471
02B	CS - DED	629,005	629,004	1	629,004	1	-
02C	CS - Construction Supervision	727,717	713,065	14,652	590,291	137,426	122,774
02D	CS - External Monitoring	-	-	-	-	-	-
03	Equipment	367,306	367,281	25	367,281	25	-
04	Training	-	-	-	-	-	-
05A	Incremental Admin - PMU	209,785	297,573	(87,789)	247,980	(38,196)	49,593
05B	Incremental Admin - PIUs	145,546	104,453	41,094	104,453	41,094	-
06	Unallocated	-	-	-	-	-	-
07	Interest During Construction	822,734	-	822,734	495,446	327,288	(495,446)
99	Imprest Account	(5,596)	-	(5,596)	238,605	(244,201)	(238,605)
	Total	33,620,292	32,009,822	1,610,470	27,383,220	6,237,072	4,626,602

Status of Loan Utilization (Loan 8265)

As of 30 June 201							
Cat. Ref.	Category Name	US Dollars					
		Allocation	Contracts Awarded	Uncontracted Loan Balance	Contracts Disbursed	Undisbursed Loan Balance	Undisbursed Contract Balance
		A	B	C = A - B	D	E = A - D	F = B - D
01	Works						
1A	CW- Battambang WWT	1,828,652	1,828,653	(1)	1,828,648	4	3.96
1H	CW-Bavet Drainage & NL FC	2,257,403	2,156,495	100,908	1,112,844		1,144,559.29
2A	CS - PISCD	531,139	564,762	(33,623)	501,315	29,824	29,824.04
2B	CS - DED	98,080	98,079	1	98,079	0.81	0.81
2C	CS - Construction Sup.	270,435	270,435	-	223,903	46,532	46,531.54
03A	Increment Adm PMU	1,291	1,290	1	1,290	1	0.69
03B	Increment Adm PIU	-	0	(0)	-		
04	Service Charge	13,000	-	13,000	7,081	5,919	5,919.22
05	Unallocated	-	-	-	-	-	-
	Imprest Account	-	-	-	34,150	(34,150)	(34,150.00)
	Total	5,000,000	4,919,715	80,285	3,807,310.45	48,130	1,192,689.55



Status of Grant Utilization (Grant 0334)

As of 30 June 201							
Cat. Ref.	Category Name	US Dollars					
		Allocation	Contracts Awarded	Uncontracted Loan Balance	Contracts Disbursed	Undisbursed Loan Balance	Undisbursed Contract Balance
		A	B	C = A - B	D	E = A - D	F = B - D
01	Works						
01	Material Recovery Facility	1,500,000	1,500,000	-	1,500,000	-	-
	Total	1,500,000	1,500,000	-	1,500,000	-	-

Status of Grant Utilization (Grant 0335)

As of 30 June 201							
Cat. Ref.	Category Name	US Dollars					
		Allocation	Contracts Awarded	Uncontracted Loan Balance	Contracts Disbursed	Undisbursed Loan Balance	Undisbursed Contract Balance
		A	B	C = A - B	D	E = A - D	F = B - D
01	Works						
01A	CW- Battambang WWT	537,757	568,740	(30,983)	504,845	32,912	63,894
01H	CW- Bavet & NL	83,489	83,489	0	83,489	0	-
02A	CS - PISCD	245,850	245,850	-	203,549	42,301	42,301
02B	CS - DED	2,665,290	2,665,291	(1)	2,665,284	6	7
02C	CS - Construction Sup.	866,223	827,523	38,700	427,037	439,186	400,486
03	Incremental Administration	1,391	1,391	0	1,391	0	-
04	Unallocated						
	Imprest Account	-	-		32,245	(32,245)	(32,245)
	Total	4,400,000	4,392,283	7,717	3,917,840	482,160	474,443



APPENDIX D

UPDATED PROCUREMENT PLAN

(Updated as of 03 July 2020)

Basic Data

Project Name: Greater Mekong Subregion Southern Economic Corridor Towns Development Project	
Project Number: 43319-033	Approval Number: 2983/8265/0334/0335
Country: Cambodia	Executing Agency: Ministry of Public Works and
Project Procurement Classification: Category A	Implementing Agency: N/A
Project Procurement Risk: Low	
Project Financing Amount: US\$ 54,780,000 ADB Financing: US\$ 37,000,000 Cofinancing (ADB Administered): US\$ 10,900,000 Non-ADB Financing: US\$ 6,880,000	Project Closing Date: - Original: 30 June 2019 - Revised: 30 June 2021 - Revised: 31 December 2023
Date of First Procurement Plan: 10 December 2012	Date of this Procurement Plan: 15 January 2021

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to the procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 1,000,000 and Above	
National Competitive Bidding for Goods	Beneath that stated for ICB, Goods	The first language version of National Competitive Bidding is subject to prior review, thereafter post review.
Shopping for Goods	Below US\$ 100,000	The first language version of shopping is subject to prior review, thereafter post review.
International Competitive Bidding for Works	US\$ 3,000,000 and Above	
National Competitive Bidding (NCB) for Works	Beneath that stated for ICB, Works	The first language version of National Competitive Bidding is subject to prior review, thereafter post review.
Shopping for Works	Below US\$ 100,000	The first language version of shopping is subject to prior review, thereafter post review.



Consulting Services	
Method	Comments
Consultant's Qualification Selection for Consulting Firm	Prior review
Quality- and Cost-Based Selection for Consulting Firm	Prior review
Individual Consultants Selection for Individual Consultant	Prior review

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value (US\$)	Number of Contracts	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments

Consulting Services							
Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments



B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments

Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
NA							

C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts and completed contracts.

1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value (US\$)	Awarded Contract Value (US\$)	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
CW-10	Neak Loeung Flood Control Subproject	8,000,000	7,396,539.12	ICB	6-Mar-2020	28-Jul-20	MTA Construction Co., Ltd

Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
CS-01	Project Implementation Support and Capacity Development	2,480,000	2,222,490.00	QCBS	Q1 / 2013	31-JUL-14	NJS Consultants Co., Ltd. VO7 =US\$2,59,2690 VO8 =US\$2,897,629.50 VO9 US\$2,897,629.50 VO10 US\$3,077,568.50
CS-03	Construction Supervision	1,750,000	1,229,250.00	QCBS	Q3/2015	05 Jan 17	Contract with RDC (lot1= US\$ 825,950, &



Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
	Consultants						Lot2= US\$297,300)
CS-06	National Environmental Specialist	60,000	40,934.00	ICS	Q1 / 2016	18 Aug 16	Contract with Mr. Bun San

2. Completed Contracts

Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Date of Completion	Comments
G-01	Procurement of Vehicles	200,000	166,800.00	NCB	08-Apr-2015	06 Jul 2015	29-Jan-16	RMA (Cambodia) Co., Ltd
G-02	Procurement of Office Equipment	90,000	94,460.00	Shopping	26-Mar-2015	N/A	30-Nov-15	NEEKA Limited
G-03	Procurement of Office Furniture	35,000	24,945.00	Shopping without advertising	N/A	11-Nov-2015	18-Apr-16	LC Office Furniture Shop
G-04	Bavet Transformer 3 phase-100kva	5,000	11,626.00	Shopping without advertising	NA	NA	31-Aug-15	KROI KOR Electricity Supply
G-05	UAV (Drone)	45,000	78,045.00	Shopping	26- Mar -15	NA	30-Jun-16	ENVOSTAR Co., Ltd.
CW-01/1	PMU Office Renovation	15,000	15,091.31	Shopping without advertising	NA	8-Jan-15	25-Jan-16	TAING CHENG ONG CONSTRUCTION CO. LTD.
CW-01/2	Battambang Office Renovation	9,000	9,344.80	Shopping without advertising	NA	NA	24-Mar-16	Vong Reaksmeay
CW-01/3	Poipet Office Renovation	7,000	7,049.00	Shopping without advertising	NA	NA	25-May-16	T.M.3 Consulting Co., Ltd.
CW-01/4	Neak Loeung Office Renovation	9,000	9,990.00	Shopping without advertising	NA	NA	21-Apr-16	Y.S.B.
CW-01/5	Bavet Office Renovation	5,000	5,760.00	Shopping without advertising	NA	NA	12-Jul-16	Chhun Nary Import-Export Co., Ltd. Construction



Ministry of Public Works and Transport, Kingdom of Cambodia
GMS: Southern Economic Corridor Towns Development Project

Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Date of Completion	Comments
								Co. Ltd.
G-06	Accounting Software	3,000	2,595.00	Shopping without advertising	NA	NA	26-Sep-16	BIZDIMNSION CO., LTD
G-07	Supply & Installation of Parts for Ford Ranger Plate No. State 25 2-0607	6,000	6151.33	Shopping without advertising	NA	NA	21-Feb-17	RMA (Cambodia) Co., Ltd.
CS-02	DED consultants for all 10 subprojects	1,151,000	1,137,175.00	QCBS	Q3 / 2014	19-OCT-15	19- Jun-17	FCG International Ltd.
CS-05	National Procurement Specialist	72,000	27,508.00	ICS	Q1 / 2016	9-June-16	12 June- 17	Contract with Mr. Pheang Pheourn
CS-04	Financial Management Specialist	72,000	32,660.00	ICS	Q1 / 2016	9- June- 16	12 June- 17	Contract with Mr. Mao Dor
CW01a	Additional Works for MRF in Battambang, Bavet & Poipet Towns	270,000	258,533.73	NCB	Q1/2019	NA	31-May-2020	TAING CHENG ONG CONSTRUCTION CO. LTD.
CW-06	Battambang Wastewater Treatment Subproject	12,000,000	9,368,943.03	ICB	Q4/2017	03-Jan-18	31-Dec-2019	Beijing Urban Construction Group Co., Ltd
CW-05	Bavet Urban Roads Subproject	9,900,000	7,674,956.00	ICB	Q4/2017	13-Feb-18	30-Jul-2020	MTA Construction Co., Ltd and Xinjiang Beixin Road and Bridge Group Co. Ltd (JV)
CW-07	Poipet Wastewater Treatment Subproject (Drainage)	2,600,000	2,583,030.00	NCB	Q1/2018	25-Jan-19	31-Jul-2020	Ung Simsia Construction Co., Ltd.
CW-01	Battambang Materials Recovery Facility Subproject	480,000	424,991.84	NCB	08-May-2017	07-Jul-17	24-Aug-2018	Taing Cheng Oing Construction & Import Export Co., Ltd VO1= US\$465,709.30 VO2= US\$459,617.55
	Bavet, Materials Recovery Facility Subproject	420,000	389,826.64	NCB	08-May-2017	07-Jul-17	24-Aug-2018	Taing Cheng Oing Construction & Import Export Co., Ltd



Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Date of Completion	Comments
								VO1= US\$418,848.05 VO2= US\$409,719.29
	Poipet Materials Recovery Facility Subproject	490,000	359,571.12	NCB	08-May-2017	07-Jul-17	24-Aug-2018	Taing Cheng Oing Construction & Import Export Co., Ltd VO1= US\$615,442.65 VO2= US\$630,663.16

D. National Competitive Bidding

A. Regulation and Reference Documents

1. The procedures to be followed for national competitive bidding shall be those set forth for the "National Competitive Bidding" method in the Government's Procurement Manual issued under Sub-Decree Number 74 ANKR.BK, updated version dated 22 May 2012 with the clarifications and modifications described in the following paragraphs. These clarifications and modifications are required for compliance with the provisions of the Procurement Guidelines.
2. For the procurement of ADB financed contracts under National Competitive Bidding (NCB) procedures, the use of harmonized national bidding documents (NCB and National Shopping) developed in consultation with development partners including ADB, is mandatory except where the Government and ADB have agreed to amendments to any part of the documents. The Procurement Manual also advises users to check the ADB website from time to time for any update on ADB documents, which form the basis, among others, of the existing harmonized national bidding documents.

B. Procurement Procedures

1. Application

3. Contract packages subject to National Competitive Bidding procedures will be those identified as such in the Project Procurement Plan. Any change to the mode of procurement of any procurement package in the Procurement Plan shall be made through updating of the Procurement Plan, and only with prior approval of ADB.

2. Sanctioning

4. Bidders shall not be declared ineligible or prohibited from bidding based on barring procedures or sanction lists, except individuals and firms sanctioned by ADB, without prior approval of ADB.

3. Rejection of all Bids and Rebidding

5. The Borrower shall not reject all bids and solicit new bids without ADB's prior concurrence. Even when only one or a few bids is/are submitted, the bidding process may still be considered valid if the bid was satisfactorily advertised and prices are reasonable in comparison to market values.

4. Advertising

6. Bidding of NCB contracts shall be advertised on the ADB website via the posting of the



Procurement Plan. Borrowers have the option of requesting ADB to post specific notices in the ADB website.

C. Bidding Documents

5. Use of Bidding Documents

7. The Standard National Competitive Bidding Documents provided with the Government's Procurement Manual shall be used to the extent possible both for the master bidding documents and the contract-specific bidding documents. The English language version of the procurement documents shall be submitted for ADB review and approval in accordance with agreed review procedures (post and prior review) as indicated in the Procurement Plan. The ADB-approved procurement documents will then be used as a model for all procurement financed by ADB for the project.

6. Bid Evaluation

8. Bidders shall not be eliminated from detailed evaluation on the basis of minor, non-substantial deviations.
9. A bidder shall not be required, as a condition for award of contract, to undertake obligations not specified in the bidding documents or otherwise to modify the bid as originally submitted.

7. Employer's Right to Accept or Reject Any or All Bids

10. The decision of the Employer to accept or reject any or all bids shall be made in a transparent manner and involve an obligation to inform of the grounds for the decision through the bid evaluation report.

8. ADB Policy Clauses

11. A provision shall be included in all NCB works and goods contracts financed by ADB requiring suppliers and contractors to permit ADB to inspect their accounts and records and other documents relating to the bid submission and the performance of the contract, and to have them audited by auditors appointed by ADB.
12. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the contract in question.
13. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.



Ministry of Public Works and Transport, Kingdom of Cambodia
GMS: Southern Economic Corridor Towns Development Project

Procurement Monitoring and Tracking Form – Works						Loan No.: 2983-CAM (SF), 8265-CAM (SCF), GRANT NO. 0334-CAM (UEIF) &. 0335-CAM (SCF)																	
Project name: Greater Mekong Subregion Southern Economic Corridor Towns Development Project																							
Update: 03-July-2020																							
Procurement Monitoring and Tracking Form																							
Works																							
No	Contract Number	Description of Works	Procurement Method	Prior review (Prior) or Post Review (Post)	Plan (P)/Schedule (S)/Actual (A)	Bidding Documents (BD) and Bidding Process								Bid Evaluation/Contract Award							Contract		
						Cost Estimate/Actual Cost	Completion of Design	Completion of Draft Bid Documents	Submission to PRC	Approval of Draft Bid Docs by PRC	Submission to DP for NOL	NOL by DP	Advertisement of IFB	Bid Closing Bid Opening	Issue of Minutes to DP/Bidders	Completion of Evaluation by BEC	Submission to PRC	Approval by PRC	Submission to DP	NOL by DP	Issue Letter of Acceptance	Contract Signing	Signed Contract to DP
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
1	CW-01 LOT 1	Bttambang Materials Recovery Facility Subproject	NCB	Prior	P	480,000.00	1-Jun-16	22-Jun-16	25-Jun-16	2-Jul-16	5-Jul-16	12-Jul-16	15-Jul-16	29-Aug-16	30-Aug-16	19-Sep-16	22-Sep-16	29-Sep-16	2-Oct-16	9-Oct-16	12-Oct-16	19-Oct-16	28-Oct-16
					S	480,000.00	2-Jun-17	23-Jun-17	26-Jun-17	3-Jul-17	6-Jul-17	13-Jul-17	16-Jul-17	30-Aug-17	31-Aug-17	20-Sep-17	23-Sep-17	30-Sep-17	3-Oct-17	10-Oct-17	13-Oct-17	20-Oct-17	26-Oct-17
					A	424,991.84	1-Apr-17	26-Apr-17	26-Apr-17	26-Apr-17	26-Apr-17	2-May-17	8-May-17	7-Jun-17	7-Jun-17	3-Jul-17	3-Jul-17	3-Jul-17	3-Jul-17	7-Jul-17	11-Jul-17	14-Jul-17	25-Jul-17
2	CW-01 LOT 2	Bavet Materials Recovery Facility Subproject	NCB	Prior	P	420,000.00	1-Jun-16	22-Jun-16	25-Jun-16	2-Jul-16	5-Jul-16	12-Jul-16	15-Jul-16	29-Aug-16	30-Aug-16	19-Sep-16	22-Sep-16	29-Sep-16	2-Oct-16	9-Oct-16	12-Oct-16	19-Oct-16	29-Oct-16
					S	420,000.00	2-Jun-17	23-Jun-17	26-Jun-17	3-Jul-17	6-Jul-17	13-Jul-17	16-Jul-17	30-Aug-17	31-Aug-17	20-Sep-17	23-Sep-17	30-Sep-17	3-Oct-17	10-Oct-17	13-Oct-17	20-Oct-17	27-Oct-17
					A	389,826.64	1-Apr-17	26-Apr-17	26-Apr-17	26-Apr-17	26-Apr-17	2-May-17	8-May-17	7-Jun-17	7-Jun-17	3-Jul-17	3-Jul-17	3-Jul-17	3-Jul-17	7-Jul-17	11-Jul-17	14-Jul-17	25-Jul-17
3	CW-01 LOT 3	Poipet Materials Recovery Facility Subproject	NCB	Prior	P	490,000.00	1-Jun-16	22-Jun-16	25-Jun-16	2-Jul-16	5-Jul-16	12-Jul-16	15-Jul-16	29-Aug-16	30-Aug-16	19-Sep-16	22-Sep-16	29-Sep-16	2-Oct-16	9-Oct-16	12-Oct-16	19-Oct-16	27-Oct-17
					S	490,000.00	2-Jun-17	23-Jun-17	26-Jun-17	3-Jul-17	6-Jul-17	13-Jul-17	16-Jul-17	30-Aug-17	31-Aug-17	20-Sep-17	23-Sep-17	30-Sep-17	3-Oct-17	10-Oct-17	13-Oct-17	20-Oct-17	27-Oct-17
					A	359,571.12	1-Apr-17	26-Apr-17	26-Apr-17	26-Apr-17	26-Apr-17	2-May-17	8-May-17	7-Jun-17	7-Jun-17	3-Jul-17	3-Jul-17	3-Jul-17	3-Jul-17	7-Jul-17	11-Jul-17	14-Jul-17	25-Jul-17
4	CW-05	Bavet Urban Roads Subproject	ICB	Prior	P	9,900,000.00	1-Jun-16	22-Jun-16	25-Jun-16	2-Jul-16	5-Jul-16	12-Jul-16	15-Jul-16	29-Aug-16	30-Aug-16	19-Sep-16	22-Sep-16	29-Sep-16	2-Oct-16	9-Oct-16	12-Oct-16	19-Oct-16	30-Oct-16
					S																		
					A	7,674,956.00	30-Sep-17	15-Oct-17	18-Oct-17	22-Oct-17	25-Nov-17	28-Nov-17	1-Dec-17	17-Jan-18	17-Jan-18	26-Jan-18	26-Jan-18	26-Jan-18	07-Feb-18	13-Feb-18	19-Feb-18	28-Feb-18	5-Mar-18
5	CW-06	Battambang Wastewater Treatment Subproject	ICB	Prior	P	12,000,000.00	1-Jun-16	22-Jun-16	25-Jun-16	2-Jul-16	5-Jul-16	12-Jul-16	15-Jul-16	29-Aug-16	30-Aug-16	19-Sep-16	22-Sep-16	29-Sep-16	2-Oct-16	9-Oct-16	12-Oct-16	19-Oct-16	26-Oct-16
					S																		
					A	9,368,943.03	1-Jun-17	2-Aug-17	3-Aug-17	8-Aug-17	6-Oct-17	6-Oct-17	12-Oct-17	30-Nov-17	30-Nov-17	27-Dec-18	27-Dec-18	27-Dec-18	27-Dec-18	3-Jan-18	5-Jan-18	30-Jan-18	1-Feb-18
6	CW-07	Poipet Wastewater Treatment Subproject (Storm Drain)	NCB	Prior	P	2,600,000.00	1-Jun-17	22-Jun-17	25-Jun-17	2-Jul-17	5-Jul-17	12-Jul-17	15-Jul-17	29-Aug-17	30-Aug-17	19-Sep-17	22-Sep-17	29-Sep-17	2-Oct-17	9-Oct-17	12-Oct-17	19-Oct-17	25-Oct-17
					S																		
					A	2,583,030.00	20-Oct-17	26-Feb-18	26-Feb-18	1-Mar-18	1-Mar-18	8-Mar-18	12-Mar-18	11-Apr-18	11-Apr-18	27-Apr-18	27-Apr-18	27-Apr-18	30-Apr-18	25-Jan-19	25-Jan-19	28-Jan-19	9-Feb-19
7	CW-08	Bavet Wastewater Treatment Subproject	ICB	Prior	P	3,210,000.00	1-Jun-16	22-Jun-16	25-Jun-16	2-Jul-16	5-Jul-16	12-Jul-16	15-Jul-16	29-Aug-16	30-Aug-16	19-Sep-16	22-Sep-16	29-Sep-16	2-Oct-16	9-Oct-16	12-Oct-16	19-Oct-16	28-Oct-16
					S	6,900,000.00	1-Mar-19	22-Mar-19	25-Mar-19	1-Apr-19	4-Apr-19	11-Apr-19	14-Apr-19	29-May-19	30-May-19	19-Jun-19	22-Jun-19	29-Jun-19	2-Jul-19	9-Jul-19	12-Jul-19	19-Jul-19	25-Jul-19
					A	5,594,396.85	15-Nov-18	20-Mar-19	01-Apr-19	5-Apr-19	7-Apr-19	3-Jun-19	27-Jun-19	14-Aug-19	14-Aug-19	5-Sep-19	5-Sep-19	5-Sep-19	12-Sep-19	21-Oct-19	21-Oct-19	25-Oct-19	5-Nov-19
8	CW-10	Neak Loeung Flood Control Subproject	ICB	Prior	P	8,800,000.00	30-Aug-19	14-Sep-19	21-Sep-19	27-Sep-19	29-Sep-19	13-Oct-19	20-Oct-19	4-Dec-19	4-Dec-19	13-Jan-20	13-Jan-20	15-Jan-20	18-Jan-20	30-Sep-20	14-Oct-20	28-Oct-20	5-Nov-20
					S																		
					A	7,396,539.12	17-Dec-19	20-Dec-19	25-Dec-19	26-Dec-19	26-Dec-19	25-Feb-20	6-Mar-20	22-Apr-20	22-Apr-20	15-May-20	15-May-20	15-May-20	15-Jun-20	28-Jul-20	11-Dec-20	14-Dec-20	23-Dec-20
9	CW01a	Additional Works for Material Recovery Facility in Bavet, Battambang and Poipet Towns	NCB	Post Review	P	254,284.00	5-Mar-19	8-Mar-19	12-Mar-19	12-Mar-19	N/A	N/A	30-Mar-19	29-Apr-19	29-Apr-19	15-May-19	16-May-19	18-May-19	20-May-19	5-Jun-19	6-Jun-19	28-Jun-19	1-Jul-19
					S																		
					A	258,533.73	15-Mar-19	5-Jun-19	10-Jun-19	10-Jun-19	N/A	N/A	19-Jun-19	18-Jul-19	18-Jul-19	5-Sep-19	5-Sep-19	5-Sep-19	N/A	N/A	8-Oct-19	11-Oct-19	14-Oct-19



Ministry of Public Works and Transport, Kingdom of Cambodia
GMS: Southern Economic Corridor Towns Development Project

No.	Contract No.	Description of Goods	Procurement Method	Prior Review (Prior) or Post Review (Post)	Plan(P)/ Schedule(S)/Actual(A)	Cost Estimate/Actual Cost (US\$)	Completion of draft Bidding Document	Submission to PRC	Approval of draft Bid Docs by PRC	Submit to the Bank for NOL	NOL by the Bank	Advertisement of IFB	Bid submission closing/ public opening	Issue of minute to the Bank bidders	Completion of BER	Submit BER for PRC Approval	Approval by PRC	Submit BER for the Bank's NOL	Receipt of Bank NOL	Notification of Award	Contract signature	Estimated Contract End Date	
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
1	001	Bavet Transformer 3 phase-100kva	Shopping without Advertising	Post	P	5,000.00	1-Feb-15	2-Feb-15	9-Feb-15	NA	NA	NA	19-Feb-15	NA	26-Feb-15	27-Feb-15	6-Mar-15	NA	NA	7-Mar-15	14-Mar-15	28-Mar-15	
					S																		
					A	11,626.00	10-Nov-15	11-Nov-15	15-Nov-15	NA	NA	NA	21-Jan-15	NA	4-Feb-15	4-Feb-15	9-Feb-15	N/A	N/A	26-Feb-15	26-Feb-15	12-Mar-15	
2	002	Office Equipment	Shopping	Post	P	95,000.00	1-Apr-15	2-Apr-15	9-Apr-15	NA	NA	12-Apr-15	26-Apr-15	NA	3-May-15	4-May-15	11-May-15	NA	NA	12-May-15	19-May-15	18-Jun-15	
					S																		
					A	94,460.00	10-Mar-15	15-Mar-15	20-Mar-15	NA	NA	26-Mar-15	22-Apr-15	NA	7-May-15	11-May-15	27-May-15	NA	NA	9-Jun-15	15-Jun-15	7-Sep-15	
3	003	Office Furniture	Shopping	Post	P	35,000.00	1-May-15	2-May-15	9-May-15	NA	NA	12-May-15	26-May-15	NA	2-Jun-15	3-Jun-15	10-Jun-15	NA	NA	11-Jun-15	18-Jun-15	18-Jul-15	
					S																		
					A	24,945.00	25-Jul-15	28-Jul-15	31-Aug-15	31-Aug-15	11-Sep-15	NA	8-Oct-15	NA	30-Oct-15	30-Oct-15	30-Oct-15	5-Nov-15	11-Nov-15	12-Nov-15	12-Nov-15	19-Nov-15	
4	004	7 Vehicles	NCB	Prior	P	210,000.00	2-Feb-15	3-Feb-15	10-Feb-15	NA	NA	13-Feb-15	15-Mar-15	16-Mar-15	30-Mar-15	31-Mar-15	7-Apr-15	NA	NA	10-Apr-15	10-Apr-15	25-May-15	
					S																		
					A	166,800.00	10-Nov-14	18-Nov-14	19-Nov-14	21-Nov-14	26-Nov-14	8-Apr-15	11-May-15	NA	20-May-15	20-May-15	27-May-15	24-Jun-15	6-Jul-15	8-Jul-15	13-Jul-15	28-Oct-15	
8	008	UAV (Drone)	Shopping	Post	P	45,000.00	11-Dec-16	12-Dec-16	19-Dec-16	NA	NA	22-Dec-16	5-Jan-17	NA	12-Jan-17	13-Jan-17	20-Jan-17	NA	NA	21-Jan-17	28-Jan-17	27-Feb-17	
					S																		
					A	78,045.00	5-Dec-15	25-Dec-15	25-Dec-15	NA	NA	30-Dec-15	14-Jan-16	14-Jan-16	27-Jan-16	27-Jan-16	27-Jan-16	NA	NA	2-Mar-16	7-Mar-16	20-Jun-16	



Ministry of Public Works and Transport, Kingdom of Cambodia
GMS: Southern Economic Corridor Towns Development Project

No.	Contract No.	Description of Services	Procurement Method	Prior Review (prior) or Post Review (Post)	Plan(P)/ Schedule(S)/Actual(A)	EOI Stage					RFP Stage							Evaluation Stage							Negotiation Stage									
						Cost Estimate/ Actual Cost (US\$)	Completion of draft TOR and REOI	NOL by the Bank for TOR	Advertisement of REOI	REOI closing date and evaluation by CEC	Submit draft RFP and short list to PRC	Approval by PRC	Submit to the Bank's NOL	Receipt of Bank NOL on draft RFP and Short list	RFP sent to short list	Proposal Submission Closing Date	Technical Proposal Opening Date	Completion of Technical Evaluation Report & sent to PRC for approval	Approval by PRC	Submit TER to the Bank for NOL	NOL from the Bank	Invite short list firm to financial proposal opening	Opening of financial proposal qualified firms	Completion of combined tech & Fin evaluation report and sent to PRC	Approval by PRC	Submit to the Bank for info	Invitation for contract negotiation	Contract negotiation date	Draft negotiated contract initialed	Submit to the Bank for NOL	NOL by the Bank	Contract Signing	Submit to the Bank for info	
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	
1	CS02	DED consultants for all 10 subprojects	QCBS	Prior	P	1,150,000.00	20-Oct-14	27-Oct-14	30-Oct-14	30-Nov-14	14-Dec-14	21-Dec-14	22-Dec-14	29-Dec-14	30-Dec-14	29-Jan-15	30-Jan-15	13-Feb-15	18-Feb-15	21-Feb-15	28-Feb-15	01-Mar-15	04-Mar-15	11-Mar-15	18-Mar-15	19-Mar-15	22-Mar-15	25-Mar-15	01-Apr-15	02-Apr-15	09-Apr-15	19-Apr-15	20-Apr-15	
					S																													
					A	1,137,175.00	25-Sep-14	03-Oct-14	10-Oct-14	12-Nov-14	22-Jan-15	29-Jan-15	09-Mar-15	13-Mar-15	26-Mar-15	20-May-15	20-May-15	10-Aug-15	20-Aug-15	20-Aug-15	01-Sep-15	03-Oct-15	10-Sep-15	28-Sep-15	28-Sep-15	28-Sep-15	02-Oct-15	05-Oct-15	05-Oct-15	07-Oct-15	19-Oct-15	26-Oct-15	05-Nov-15	
2	CS03	Construction Supervision	CQS	Prior	P	1,105,524.00	20-Oct-14	20-Oct-15	23-Oct-15	30-Nov-14	14-Dec-14	21-Dec-14	22-Dec-14	29-Dec-14	30-Dec-14	29-Jan-15	30-Jan-15	13-Feb-15	18-Feb-15	21-Feb-15	28-Feb-15	01-Mar-15	04-Mar-15	11-Mar-15	18-Mar-15	19-Mar-15	22-Mar-15	25-Mar-15	01-Apr-15	02-Apr-15	09-Apr-15	19-Apr-15	20-Apr-15	
					S																													
					A	1,229,250.00	24-Jul-15	05-Aug-15	14-Aug-15	18-Sep-15	14-Mar-16	14-Mar-16	14-Mar-16	04-Apr-16	12-May-16	06-Jul-16	06-Jul-16	15-Sep-16	15-Sep-16	22-Sep-16	28-Sep-16	29-Sep-16	06-Oct-16	10-Oct-16	10-Oct-16	30-Nov-16	06-Dec-16	15-Dec-16	15-Dec-16	26-Dec-16	05-Jan-17	16-Jan-17	02-Feb-17	
4	CS01	Project Implementation Support and Capacity Development	QCBS	Prior	P	24,800,000.00	20-Oct-12	27-Oct-12	30-Oct-12	30-Nov-14	14-Dec-14	21-Dec-14	22-Dec-14	29-Dec-14	30-Dec-14	29-Jan-15	30-Jan-15	13-Feb-15	18-Feb-15	21-Feb-15	28-Feb-15	01-Mar-15	04-Mar-15	11-Mar-15	18-Mar-15	19-Mar-15	22-Mar-15	25-Mar-15	01-Apr-15	02-Apr-15	09-Apr-15	19-Apr-15	20-Apr-15	
					S																													
					A	2,280,000.00	11-Mar-13	15-Mar-13	29-Apr-13	14-May-13	20-Aug-13	26-Aug-13	18-Sep-13	09-Dec-13	23-Dec-13	06-Feb-14	06-Feb-14	08-Apr-14	08-Apr-14	11-Apr-14	02-May-14	12-May-14	21-May-14	20-May-14	22-May-14	22-May-14	20-Jun-14	26-Jun-14	20-Jul-14	24-Jul-14	31-Jul-14	04-Aug-14	11-Aug-14	

No.	Contract No.	Description of Services	Procurement method	Prior Review (prior) or Post Review (Post)	Plan(P)/ Schedule(S)/Actual(A)	EOI Stage					Evaluation Stage					Negotiation Stage					
						Cost Estimate/Actual Cost (US\$)	Completion of draft TOR and REOI	NOL by the Bank for TOR	Advertisement of REOI	REOI Submission closing date	EOI Evaluation by CEC	Evaluation submit to PRC	Approval of EOI Evaluation by PRC	Submit to the Bank for NOL	NOL from the Bank	Contract negotiation date	Draft negotiated contract initiated	Submit to the Bank for NOL	NOL by the Bank	Contract Signing	Submit to the Bank for info
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22
1		National Environment Specialist	Selection of Individual Consultant	Prio	P	60,000.00	10-Nov-15	17-Nov-15	22-Nov-15	17-Dec-15	31-Dec-15	3-Jan-16	10-Jan-16	13-Jan-16	20-Jan-16	27-Jan-16	1-Feb-16	4-Feb-16	11-Feb-16	14-Feb-16	17-Feb-16
					S																
					A	40,934.00			28-Dec-15	19-Jan-16	23-Feb-16	23-Feb-16	23-Feb-16		5-May-16			15-Aug-17	18-Aug-17	24-Oct-16	1-Sep-17
3		National Financial Management Specialist	Selection of Individual Consultant	Prio	P	36,000.00	10-Jan-16	17-Jan-16	22-Jan-16	16-Feb-16	1-Mar-16	4-Mar-16	11-Mar-16	14-Mar-16	21-Mar-16	28-Mar-16	2-Apr-16	5-Apr-16	12-Apr-16	15-Apr-16	18-Apr-16
					S																
					A	32,660.00			12-Jan-16	28-Jan-16			23-Feb-16	23-Feb-16		11-May-16	11-May-16			10-Jan-16	
4		National Procurement Specialist	Selection of Individual Consultant	Prio	P	36,000.00	10-Jan-16	17-Jan-16	22-Jan-16	16-Feb-16	1-Mar-16	4-Mar-16	11-Mar-16	14-Mar-16	21-Mar-16	28-Mar-16	2-Apr-16	5-Apr-16	12-Apr-16	15-Apr-16	18-Apr-16
					S																
					A	27,508.00			12-Jan-16	28-Jan-16			23-Feb-16	23-Feb-16	5-May-16	11-May-16	11-May-16			10-Jan-16	



APPENDIX E

LIST OF CAPACITY DEVELOPMENT AND TRAINING CONDUCTED

Training Course Conducted By NJS and KCC

Module	Title	Date	Venue	Agency	Participants		
					Female	Male	Total
	MEFs Operation and Maintenance	4-6 and 11-13 February 2020	Khemera Battambang 1 Hotel, Chhrey Kourng Village, Sla Kaet Commune, Battambang District, Battambang Province. Waikor Hotel, Svay Rieng Municipality.	PMU PIU BB PIU PP PIU BV Waste Buyer Authority Municipality Total	0 2 1 1 7 0 0 11	1 2 3 4 10 2 4 26	1 4 4 5 17 2 4 37
11.1	Urban Asset Management	27-28 February 2018	Khemera Battambang 1 Hotel, Chhrey Kourng Village, Sla Kaet Commune, Battambang District, Battambang Province.	PMU PIU BB PIU PP PIU NL PIU BV Total	1 2 0 0 0 3	0 1 2 2 2 7	1 3 2 2 4 10
3.2	GIS Mapping by using computer software ArcMAP	08-12 January 2018	Guest house Svay Rieng Red Cross National Road No.1, Svay Rieng City	PMU PIU BB PIU PP	0 0 0	0 3 3	0 3 3



Module	Title	Date	Venue	Agency	Participants		
					Female	Male	Total
				PIU NL	0	3	3
				PIU BV	0	2	2
				Total	0	11	11
4.1	Best Practices in Project Management of ODA funded Project	18-20 December 2017	Destiny Cafe, National Road No.5, Poipet Municipality, Banteay Meanchey Province	PMU	1	2	3
				PIU BB	2	2	4
				PIU PP	1	3	4
				PIU NL	1	3	4
				PIU BV	-	4	4
				Total	5	14	19
1.4	Consultation and Participation Approaches for Safeguards	21-22 December 2017	Destiny Cafe, National Road No.5, Poipet Municipality, Banteay Meanchey Province	PMU	1	2	3
				PIU BB	2	2	4
				PIU PP	1	3	4
				PIU NL	1	3	4
				PIU BV	-	4	4
				Total	5	14	19
10.2	Monitoring Compliance of Social and Environmental Safeguards	29-30 November 2017	Khemera Battambang 1 Hotel, Chhrey Kourng Village, Sla Kaet Commune, Battambang District, Battambang Province.	PMU	-	2	2
				PIU BB	2	3	5
				PIU PP	2	2	4
				PIU NL	1	4	5
				PIU BV	-	4	4
				Total	5	15	20
10.1	PPMS Training, Physical and	27-28 November	Khemera Battambang 1 Hotel,	PMU	-	2	2



Module	Title	Date	Venue	Agency	Participants		
					Female	Male	Total
	Financial Progress Reporting	2017	Chhrey Kourng Village, Sla Kaet Commune, Battambang District, Battambang Province.	PIU BB PIU PP PIU NL PIU BV Total	2 2 1 - 5	3 2 4 4 15	5 4 5 4 20
4.4	Human Resource Development	16-17 November 2017	Mittapheap Hotel, House No.01, National Road No.11, Prey Veng City	PMU PIU BB PIU PP PIU NL PIU BV Total	- 1 - - - 1	- 2 2 2 2 8	- 3 2 2 2 9
4.3	Project Administrative Management Procedures	13-15 November 2017	Mittapheap Hotel, House No.01, National Road No.11, Prey Veng City	PMU PIU BB PIU PP PIU NL PIU BV Total	1 1 - - - 2	2 2 2 2 2 10	3 3 2 2 2 12
7.2	Resettlement	27-28 September 2017	Guest house Svay Rieng Red Cross National Road No.1, Svay Rieng City	PMU PIU BB PIU PP PIU NL PIU BV	1 2 1 1 -	4 2 3 3 2	5 4 4 4 2



Module	Title	Date	Venue	Agency	Participants		
					Female	Male	Total
				Total	5	14	19
4.2	Work and Financial Planning	25-26 April 2017	Mittapheap Hotel, House No.01, National Road No.11, Prey Veng City	PMU	-	3	3
				PIU BB	1	1	2
				PIU PP	1	1	2
				PIU NL	-	2	2
				PIU BV	-	2	2
				Total	2	9	11
8.4	Environmental Safeguards on Urban Infrastructure Investment	15-16 Marc 2017	Waikor Hotel in SvayRieng Province	PMU	-	1	1
				PIU BB	2	2	4
				PIU PP	-	3	3
				PIU NL	-	5	5
				PIU BV	-	4	4
				Total	2	15	17
8.3	Climate Resilience Measure	13-14 Marc 2017	Waikor Hotel in SvayRieng Province	PMU	-	3	3
				PIU BB	2	2	4
				PIU PP	-	4	4
				PIU NL	-	6	6
				PIU BV	-	5	5
				Total	2	20	22
9.1	Gender Action Plan	4-5 Jan 2017	Destiny Cafe, National Road No.5, Poipet Municipality, Banteay Meanchey Province	PMU	1	1	2
				PIU BB	2	-	2
				PIU PP	1	3	4



Module	Title	Date	Venue	Agency	Participants		
					Female	Male	Total
	Refresher Course on Gender Action Plan	8-9 Aug 2018	Khemera Battambang 1 Hotel, Chhrey Kourng Village, Sla Kaet Commune, Battambang District, Battambang Province.	PIU NL	1	3	4
				PIU BV	-	3	3
				Total	5	10	15
				PMU	1	4	5
				PIU BB	2	2	4
				PIU PP	1	3	4
				PIU BV	-	4	4
				GMAG	1	-	1
				MoWA	1	-	1
				PDWA	3	-	3
				PHD	2	-	2
				Contractor	-	3	3
				Total	11	16	27
3.1	Town Mapping and Aerial Image Data Collection	05 -09 Dec. 2016	Emerald Hotel, Street 208, Rumchek 4 Village, Ratanak Commune, Battambang District, Battambang	PMU	-	2	2
				PIU BB	-	1	1
				PIU PP	-	1	1
				PIU NL	-	1	1
				PIU BV	-	1	1
				Total	0	6	6
7.1	Poverty and social impact assessment	25 - 27 Oct. 2016	Mittapheap Hotel, House No.01, National Road No.11, Prey Veng City	PMU	-	2	2
				PIU BB	2	2	4
				PIU PP	1	3	4



Module	Title	Date	Venue	Agency	Participants		
					Female	Male	Total
				PIU NL	1	3	4
				PIU BV	-	4	4
				Total	4	14	18
2.4	Fundamentals of Flood Protection and Drainage	20 -21 Oct. 2016	Destiny Cafe, Palalay Village, National Road No.5, Municipality Poipet, Bantey Mean Chey Province	PMU	1	1	2
				PIU BB	1	2	3
				PIU PP	-	3	3
				PIU NL	-	2	2
				PIU BV	-	2	2
				Total	2	10	12
2.3	Fundamentals of Solid Waste Management and Sanitary Landfill	18 -19 Oct. 2016	Waikor Hotel in Svay Rieng Province	PMU	-	3	3
				PIU BB	1	2	3
				PIU PP	-	3	3
				PIU NL	-	2	2
				PIU BV	-	2	2
				Total	1	12	13
1.1	Overview of Urban Infrastructure Development	22-23 Sept. 2016	Khemera Battambang 1 Hotel, Chhrey Kourng Village, Sla Kaet Commune, Battambang District, Battambang Province.	PMU	1	3	4
				PIU BB	2	5	7
				PIU PP	1	8	9
				PIU NL	-	4	4
				PIU BV	-	5	5
				Total	4	25	29
1.3	Gender Sensitization and Awareness	21 Sept. 2016	Khemera Battambang 1 Hotel,	PMU	1	3	4



Module	Title	Date	Venue	Agency	Participants		
					Female	Male	Total
			Chhrey Kourng Village, Sla Kaet Commune, Battambang District, Battambang Province.	PIU BB	2	5	7
				PIU PP	1	8	9
				PIU NL	-	4	4
				PIU BV	-	5	5
				Total	4	25	29
1.2	Coordination and Networking Mechanisms	19-20 Sept. 2016	Khemera Battambang 1 Hotel, Chhrey Kourng Village, Sla Kaet Commune, Battambang District, Battambang Province.	PMU	1	3	4
				PIU BB	2	5	7
				PIU PP	1	8	9
				PIU NL	-	4	4
				PIU BV	-	5	5
				Total	4	25	29
2.2	Fundamentals of Wastewater Treatment Systems	08-09 Sept. 2016	Waikor Hotel in Svay Rieng Province	PMU	1	3	4
				PIU BB	0	3	3
				PIU PP	0	3	3
				PIU NL	0	3	3
				PIU BV	0	3	3
				Total	1	15	16
2.1	Fundamentals of Sewer Systems	06 -07 Sept. 2016	Waikor Hotel in Svay Rieng Province	PMU	1	3	4
				PIU BB	-	3	3
				PIU PP	-	3	3
				PIU NL	-	3	3
				PIU BV	-	3	3



Module	Title	Date	Venue	Agency	Participants		
					Female	Male	Total
				Total	1	15	16
8.2	Updating the Environmental Management Plan	04-05 Aug. 2016	Tonle Basac II, Phnom Penh	PMU	-	2	2
				PIU BB	1	1	2
				PIU PP	-	2	2
				PIU NL	-	2	2
				PIU BV	-	2	2
				Total	1	9	10
8.1	Integration of Environmental Management element into the project cycle	02-03 Aug. 2016	Cambodiana Hotel and Tonle Basac II, Phnom Penh	PMU	-	3	3
				PIU BB	3	3	6
				PIU PP	-	6	6
				PIU NL	-	5	5
				PIU BV	-	5	5
				Total	3	22	25
25 Modules				Grand Total	89	382	471



Training Course Conducted By PISCD Consultants

Module	Title	Date	Venue	Agency	Participants		
					Female	Male	Total
07	Refresher Course on Environmental Monitoring, GRM and Reporting	13 March 2020	Bavet PIUs' Office	PIU	1	3	4
				CS	0	2	2
				Cont Bavet	0	3	3
				Cont MRFs	0	3	3
				Commune Council	0	1	1
				Bavet City Hall Official	0	1	1
				Total	1	13	14
06	Environmental Sanitation and Hygiene Promotion	April – May 2019	Students and villagers who are living in the SECTDP areas	Bavet	249	136	385
				BTB	112	47	159
				Poipet	261	143	404
				Total	622	326	948
05	Clinic Training course on EMP and GRM, by ADB	12-13 June 2019 in Poipet	Poipet and Bavet PIUs' Office	PMU	0	1	1
				PIU PP	0	2	2
				PIU BV	1	4	5
				Contractor PP	0	3	3
				Contractor BV	1	3	4
				CS PP	0	1	1



Module	Title	Date	Venue	Agency	Participants		
					Female	Male	Total
				CS BV	0	2	2
				Commune Council PP	0	2	2
				Commune Council BV	0	1	1
				Total	2	19	21
04	Training course on EMP and GRM	12-13 June 2019 in Poipet	Poipet PIUs' Office	PMU	0	1	1
				PIU	1	2	3
				Contractor	0	4	4
				CS	0	1	1
				Total	1	8	9
03	Conducted TOT on Environmental Sanitation and Hygiene Promoter	05-06 in Bavet, 12-13 in BTB and Poipet in 14-15 March 2019	PIUs' Office	PMU	1	5	6
				PIU BB	1	2	3
				PIU PP	1	3	4
				PIU BV	-	3	3
				Sanitation promoters	3	3	6
				PDWA and DoWA	4	1	5
				Total	10	17	27
02	Refresher Course on GAP	08-09 August 2018	Khemera Battambang 1 Hotel, Chhrey Kourng Village, Sla Kaet Commune, Battambang District,	PMU			
				PIU BB			



Module	Title	Date	Venue	Agency	Participants		
					Female	Male	Total
			Battambang Province.	PIU PP PIU NL PIU BV			
				Total	11	16	27
01	Environmental Management and Monitoring Plan	19-20 July 2018	Contractor's campsite in Battambang	PMU	-	2	2
				PIU BB	1	1	2
				PIU PP	1	1	2
				Cont. Road	-	3	3
				PIU BV	-	2	2
				CS	-	1	1
				Cont. MRF	-	1	1
				Cont. Drainage BB	1	3	4
				Total	3	13	16
7 Courses				Grand Total	650	412	1,062



Training Course and Campaign Conducted By Contractors

Module	Title	Date	Venue	Agency	Participants		
					Female	Male	Total
05	Solid Waste Recycling Skills	11 September 2019	Dumpsite of Battambang Town, Kingdom of Cambodia.	Waste Pickers	30	22	52
				PIU	-	1	1
				PPMS	-	1	1
				Gender Specialist	1	-	1
				COMPED Organization	1	1	2
				CS	-	1	1
				Contractor	1	2	3
				Total	33	28	61
04	Training on Existing Business Improvement for Women	11-15 July 2019	12 villages in Battambang town.	Participants	99	63	162
		13-14 March 2020	7 villages in Bavet town.	Participants	78	18	96
		27-28 August 20	10 villages in Poipet town	Participants	158	7	165
				Total	335	88	423
03	Training on Concrete Works and Painting Skills	25 August 2019	Contractor's office (BEIJING URBAN CONSTRUCTION GROUP CO., LTD), Battambang Town, Kingdom of Cambodia.	Women Workers	31	1	32
				Total	31	1	32
02	Road Safety Awareness	28-19 June 2019	Hun Sen Bavet Primary School, Bavet General and Technical High	Primary School	157	186	343



Module	Title	Date	Venue	Agency	Participants		
					Female	Male	Total
	Campaign in Bavet Town		School, SHEICO Group and ELITE (Cambodia) garment factory and pagoda of Bavet town	High School	82	72	154
				SHEICO Group	2,401	51	2,452
				ELITE	2,447	70	2,517
				4 Villagers	25	17	42
				Total	5,112	396	5,508
01	HIV/AIDs and STIs awareness campaign	01-31 January 2019 in BTB and 22 March-10 Apr 2019 in Bavet	12 villages, 4 Sangkat (Rattanak, Preack Preah Sdach, Anlong Vil and Ou Char)	Battambang			
				Rattanak	67	40	107
				Ou Char	10	11	21
				Prek Preah Sdach	37	42	79
				Anlong Vil	24	20	44
				Sub-Total	138	113	251
				Bavet			
				Sub-Total	89	102	191
				Total	227	215	442
				Poipet			
				Villager	49	38	87



Module	Title	Date	Venue	Agency	Participants		
					Female	Male	Total
				Worker	2	39	41
				Waste Pickers	17	7	24
				Sub-Total	68	84	152
	Training Course Conducted by Contractor			Grand Total	399	117	516
	Awareness Campaign Conducted by Contractor			Grand Total	5,407	695	6102



APPENDIX F ASSESSMENT OF ASSUMPTIONS AND RISKS

MONITORING AND EVALUATION OF ASSUMPTION AND RISKS
GMS: Southern Economic Towns Development Project

M&E Form no. 7A
Version: June 2015

Reporting Date: 30 June 2021
[Quarterly]

Prepared by: PMU/PIUs assisted by Nat'l. M&E Specialist
[PMU/PIUs]

Assumption / Risk for Outputs		Rating (HS,S,PS,U)	Assessment of Current Status
Assumptions:			
1.	Local authorities endorse the SLEDPs and associated budgets	S	The SLEDPs are targeted to be updated in Q1 2020
2.	Women's participation in SLEDP preparation increased, women's needs identified through participatory processes and reflected in the SLEDPs	S	The SLEDPs updated on Q1 2020 and Q1 2021 for timely approval and disclosure when women's participation will be encouraged.
3.	Environmental and social risks can be mitigated	S	EMPs and RPs and was addressed in DED & adequately considered in the bid documents.
4.	Priority infrastructure will promote gender equality and/or women's empowerment by improving women's access to, and use of opportunities, services, resources, and assets; and contribute to increased participation in decision making	S	GAP will be addressed in the DED and adequately considered in the bid documents.
5.	Capacity development activities take gender balance into account	PS	20% of women participated in CD activities
6.	Specific training on gender aspects & issues provided to all staff of executing & implementing agencies & project implementing units	S	Specific training on Gender Action Plan & Gender sensitization and awareness provided to all staff, 44 staffs of PMU&PIUs attended in training, 9 out of them are women.

Risks:		Mitigated (Y/N)	Rating (HS,S,PS,U)	Assessment of Current Status
1.	Local authorities do not recognize the need for continued capacity development	Y	S	Satisfactory attendance in training
2.	Required budgets for sustaining operations are not allocated	Y	S	Most of subprojects have been completed of construction, and hand over to PDPWT for operation and maintenance



Risks:	Mitigated (Y/N)	Rating (HS,S,PS,U)	Assessment of Current Status
3. Delayed or incomplete settlement of land acquisition and resettlement entitlements	Y	S	ADB has no objection to allow the contractor to proceed civil works from 5 April 2021 onwards based on the IRC's handover letter on 15 March 2021
4. Lack of municipal quality control and performance evaluation	Y	HS	Most of subprojects have been completed of construction. Construction works of Neak Loeung Flood Control has been started on 5 April 2021. CS started activities on 5 April 2021 too.

a/ HS = Highly Satisfactory; S = Satisfactory; PS = Progressing Satisfactorily; U = Unsatisfactory

MONITORING AND EVALUATION OF ASSUMPTION AND RISKS
GMS: Southern Economic Towns Development Project

M&E Form no. 7B
June 2015

Reporting Date: 30 June 2021
[Quarterly]

Prepared by: PMU/PIUs assisted by Nat'l. M&E Specialist
[PMU/PIUs]

Assumption / Risk for Outcome and Impact		Rating (HS,S,PS,U)	Assessment of Current Status
Outcome: Urban infrastructure improved and climate resilience enhanced in Battambang, Bavet, Neak Loeung, and Poipet - from 2018 to 2022			
Assumptions:			
The government remains committed to sustainable management of urban infrastructure as a national development priority		HS	There is strong support from National and provincial governments.
Risks:	Mitigated (Y/N)	Rating (HS,S,PS,U)	Assessment of Current Status
Government regulations do not fully support sustainable decentralized operation and maintenance of urban infrastructure	-	-	O&M of infrastructure to start by Q4 2020.
Impact: The towns of Battambang, Bavet, Neak Loeung & Poipet will become centers of economic activity in the Southern Economic Corridor - by 2025			
Assumptions:		Rating (HS,S,PS,U)	Assessment of Current Status
Government remains fully committed to the Project			
Risks:	Mitigated (Y/N)	Rating (HS,S,PS,U)	Assessment of Current Status
Corridor towns unable to collect revenue for wastewater treatment plants, sanitary landfill, and solid waste management	-	-	The implementation of these subprojects is deferred and change to new project start in 2020.

a/ HS = Highly Satisfactory; S = Satisfactory; PS = Progressing Satisfactorily; U = Unsatisfactory



APPENDIX G

DMF TARGETS VS ACHIEVEMENTS

(As of 31 December 2020)

Ref.	Indicators	Unit of Measurement	Baseline Year	Baseline Value	Target Year	Target Value	Data Source	Achievements		Progress/Status
								Value	Indicator Details	
Outcome: Urban infrastructure improved and climate resilience enhanced in Battambang, Bavet, Neak Loeung, and Poipet										
a.	Between 2013-2023: Improved access to urban infrastructure affects 558,220 inhabitants (cumulative figure) in four project towns.	Number	2007	0	2023	558220	Provincial and district/town statistics			Ongoing. Construction of eight physical priority infrastructures is either under defect liability period and ongoing. As of 30 June 2021, overall physical progress is estimated at around 90%. The last remaining civil work (Neak Loeung Flood Control Subproject) is signed the contract on 10 December 2020, and the commencement of works is 5 April 2021.
b.	Urban areas affected by perennial flooding reduced by 30%.	Percent	2007	0	2023	30	Provincial and district/town statistics			Not due yet. No assessment yet. Likely to achieve after completion of civil works. It was observed that the recent built



										infrastructures, particularly storm drainages in Battambang, Bavet and Poipet, are functioning well and the cities areas covered by those storm drainage networks are not flooded as before during this rains and flooding season in 2020.
c.	Annual economic impact of poor sanitation, accounting to a loss of \$33 in 2005 per capita per year, reduced by 40%.	Value	2005	33	2023	19.8	Provincial and district/town statistics			Not due yet. No assessment yet.
Output 1: SLEDP adopted and implemented in Battambang, Bavet, Neak Loeung, and Poipet										
a.	By 2021: SLEDPs with sex specific and climate resilience measures incorporated, adopted and disclosed, updated as required. [Baseline: 2010 no such Development	Y/N	2010	N	2020	Y	Local development plans of IAs and corridor towns			Ongoing. The preliminary SLEDPs were prepared and adopted by the provincial authorities of each project province in February 2017. The SLEDPs is being revised by considering recent developments in the project towns and planned to disclose in Q3 2021.



b.	Plans available]. Gender awareness and sensitization training for 30 EAs/IAs staff conducted.	Number	2011	0	2021	30	Local development plans of IAs and corridor towns	21		Ongoing. As of 15 October 2020, 21 women (70% of target) from PMU/PIUs staff, provincial department of women's affairs, and contractors have received training on Gender Awareness and Sensitization. The training will continue on Q3 2021
Output 2: Priority urban infrastructure investments implemented										
a.	Priority infrastructure operational by 2021.	Y/N	2011	N	2021	Y	Subproject completion reports of IAs			Ongoing. As of 30 June 2021, actual physical progresses were 100% for the three MRFs, Battambang Storm Drainage, Bavet Urban Road and Storm Drainage and Poipet Storm Drainage. Neak Loeung Flood Control Subproject (CW-10) is expected to start of work in early Q2 2021. Storm drainages in Battambang, Bavet and Poipet are functioning well and the cities areas covered by those storm drainage networks are



										not flooded as before during this rainy and flooding season in 2020. Improved urban road including side drainages at Bavet city has been in service and reduced the traffic congestions as well as rainwater floods. Hand-over contracts/MOUs of MRFs for O & M to Battambang municipality, Poipet municipality and Svay Rieng provincial administration/Bavet municipality are expected to be signed by 31 December 2020, 30 June 2021, 31 December 2020, respectively.
b.	Battambang: 3. Materials Recovery Facility - 30 cubic meters of recyclables per day segregated benefiting 128,000 residents.	Value	2011	0	2021	30	Quarterly and annual progress reports of IAs			Ongoing. Transferring ownership of MRF to Municipality and/or then to private operator is expected by 31 December 2020.
c.	30% of construction workers to be	Percent	2011	0	2021	30	Quarterly and annual progress	32%		Ongoing. As of 31 December 2020, average 32% of



	hired under the Project are female.						reports of IAs			female workers were hired by contractors of MRFs, Battambang Storm Drainage, Bavet Urban Road, Poipet Storm Drainage, and Bavet Storm Drainage.
d.	Bavet: 6. MRF - 30 cubic meters of recyclables per day segregated, benefiting 24,000 residents.	Value	2011	0	2021	30	Quarterly and annual progress reports of IAs			Ongoing. Transferring ownership of MRF to provincial administration /Municipality and/or then to private operator is expected by 31 December 2020.
e.	Battambang: 1. Storm drainage (PPCR) - 33,000 residents serviced by new and rehabilitated storm drainage. Flood events of facility reduced (based on 50 year flood frequency).	Number	2011	0	2021	33000	Local authorities' statistical data	42,527	Sangkat Prek Preah Sdech, Rattanak and Anlong Veal	Ongoing. Work has been 100% of completion and finished of defect liability period. Benefit from this subproject is under assessment. It is estimated that the project has achieved or overachieved. City areas used to be flooded before the construction of this drainage network is now no longer flooded.
f.	Bavet: 4. Storm Drainage (PPCR)- 29,000 residents serviced by storm drainage; flood events of	Number	2011	0	2021	29000	Quarterly and annual progress reports of IAs	32,559	Sangkat Bavet, Baty and Chrak Mates.	Ongoing. Work has been 100% of completion and is under defect liability period. Benefit from this subproject is under assessment. It is estimated that the



	facility reduced based on 50-year flood frequency.									project has achieved or overachieved. City areas used to be flooded before the construction of this drainage network is now no longer flooded.
g.	Neak Loeung: 7. Flood Protection (PPCR) - 4.29 km flood protection dike constructed; 363 ha of residential and commercial areas protected from annual flooding (based on 50 year flood frequency), benefiting 24,700 residents.	Value	2011	0	2023	4.29	Quarterly and annual progress reports of IAs		Preak khsay Khor and Neak Loeung Communes	Ongoing. ADB has no objection to allow the contractor to proceed civil works from 5 April 2021 onwards based on the IRC's handover letter on 15 March 2021. As of 30 June, civil work progress is 5%
h.	Poipet: 8. Storm Drainage (PPCR)- 65,000 residents serviced by storm drainage. Flood events of facility reduced (based on 50 year flood frequency).	Number	2011	0	2021	65000	Quarterly and annual progress reports of IAs	80,292	Sangkat Poipet, Phsakandal and Nimith.	Ongoing. Work has been 100% of completion and is under defect liability period. Benefit from this subproject is under assessment. It is estimated that the project has achieved or overachieved. City areas used to be flooded before the



										construction of this drainage network is now no longer flooded.
i.	Poipet: 10. MRF - 30 cubic meters of recyclables from dry sources segregated, benefiting 58,600.	Value	2011	0	2021	30	Quarterly and annual progress reports of IAs			Ongoing. Transferring ownership of MRF to Municipality and/or then to private operator is expected by 30 June 2021.
j.	Bavet 5: Urban roads - 6.31 km of main urban road upgraded with drainage structures, benefiting 37,100 residents.	Value	2011	0	2021	6.31	Quarterly and annual progress reports of IAs			Ongoing. Construction has been completed and finished of defect liability period. No assessment yet. The upgraded road serves both economic benefits for local people and significantly reduces traffic jams.
Output 3: Institutional capacities strengthened										
a.	By 2021: 1,000 people trained in project management and implementation, and social and environment safeguards; gender targets achieved: Staff quota of 30% female in PMU	Number	2013	0	2021	1000	EA and IA records of capacity building and training events (disaggregated by sex); Numbers of staff (by gender) before and after project implementation	7,456		Ongoing. Various training courses have been conducted, of which 471 PMU and PIUs staffs trained and 89 (19%) are women. Training courses on social and environmental safeguard and sanitation



	and PIU, increased role in decision making.									and hygiene promotion for three towns were conducted of which 1,062 participants trained and 650 are women. Training courses on concrete works and painting skills, solid waste recycling and existing business in Battambang town, Bavet and Poipet were conducted of which 516 participants trained and 399 are women. Road safety and HIV awareness campaigns were conducted in Battambang, Bavet and Poipet of which 6,102 participants attended and 5,407 (89%) are women. Out of a total 10 PMU staff, 3 staff (30%) are women. Out of a total 35 PIUs staff, 11 staffs (31%) are women. The number of women has increased from 2 to 3 female staffs for PMU and from 8 to 11 female staff for PIUs.
--	---	--	--	--	--	--	--	--	--	--



b.	MOA signed between IAs and District/Corridor town authorities on subproject O&M and climate resilience measures.	Y/N	2013	N	2021	Y	Community-level capacity building for increased gender awareness and participation of women in local decision making. EA and IA records			Ongoing. Subprojects are under defect periods or construction. For MRFs, hand-over contracts/MOUs of MRFs for O & M to Battambang municipality, Poipet municipality and Svay Rieng provincial administration/Bavet municipality are expected to be signed by 31 December 2020, 30 June 2021, 31 December 2020, respectively.
c.	Women hold 30% of overall staff positions and 30% of the management positions in the PMU and PIU	Percent	2013	0	2021	30	EA/IAs	31%	PMU: 3F/10Staff PIUs: 11F/35Staff	Achieved. Out of a total 10 PMU staff, 3 staff (30%) are women. Out of a total 35 PIUs staff, 11 staffs (31%) are women. The number of women has increased from 2 to 3 female staffs for PMU and from 8 to 11 female staff for PIUs.



APPENDIX H

BASELINE VALUES, PERFORMANCE TARGETS FOR INDICATORS, AND PROJECTED ACHIEVEMENTS

Output 1: SLEDPs adopted & implemented

Indicator 1.1: Baseline: The SLEDPs for Battambang, Bavet, Neak Loeung, and Poipet are currently available. These were all prepared in 2011.

Target: SLEDPs with sex-specific and climate-resilience measures incorporated, adopted, & disclosed; updated as required.

Projected Achievement: SLEDPs with sex-specific and climate-resilience measures incorporated, adopted, & disclosed by 2014; and updated by 2020.

Indicator 1.2: Baseline: As of 2014, no gender awareness and sensitization training has been conducted for executing and implementing agency staff.

Target: Gender awareness and sensitization training conducted for 30 executing and implementing agency staff.

Projected Achievement: 98 participants including 21 women (21%) from PMUs, PIUs, provincial department of women's affairs and contractors received gender sensitization including GAP orientation by GAP 3rd quarter 2020.

Output 2: Priority urban infrastructure investment implemented

Battambang

Indicator 2.1: Baseline: Battambang has an existing wastewater treatment plant (WWTP) in Chamkar Samraong serving the west part of the town. The WWTP has a capacity of 2,800 cu.m./day, built in 1994. Since 2014, the system is not functioning due to broken pump facilities and blocked conduits.

Target: 52,000 residents serviced by new and rehabilitated wastewater treatment plants (capacity 2,800 cu m/day) by 2020. Flood events of facility reduced (based on 50-year flood frequency).

Projected Achievement:

- 33,350 residents serviced by new wastewater treatment plant by 2020.
- The capacity of the new WWTP is 7,470 cu.m./day (Stage 1, design year is 2030).
- The new WWTP (completion of infrastructure in June 2020) will be servicing the following in the east part of the town by 2020:

Particular	Sangkat		Total
	Prek Preah Sdech	Rattanak	
Residents/Population	15,900	17,450	33,350
Commercial/Tourism Facilities	15	57	72
Public Facilities	2	4	6
Wastewater Generation (cu.m./day)	2,376	3,110	5,486

Source: PISCD, Battambang Wastewater Treatment Subproject Technical Assessment and Preliminary Design Report, July 2015.

- The rehabilitated WWTP (capacity 2,800 cu.m./day) will be servicing



18,650 residents, in the west part of the town, currently connected to the existing sewerage system.

- Flood events of facility reduced (based on 50-year flood frequency).

Storm Drainage Subproject Achievement:

- The new storm drainage subproject was completed and checked by the Employer on December 31, 2019. It is under DLP. The residents serviced by this storm drainage by 2020:

Sangkat	Population	Female	Family
Prek Preah Sdech	13,058	6,202	2,727
Rattanak	11,175	5,662	2,740
Anlong Veal	18,294	9,436	5,126
Total	42,527	21,300	10,593

Source: Village and Commune chief interview and Existing Business Improvement Training Report, July 2019.

Indicator 2.2: Baseline: The extent of flooding in the subproject area in Battambang Municipality is as follows:
Flood Control

Sangkat	Total Area (ha)	Affected by Flood During Wet Season		
		% of Total Area	Area (ha)	Population
1. Svay Por	199	25	50	1,516
2. Preah Preah Sdach	294	50	147	7,444
3. Rottanak	505	40	202	8,072
Total			399	17,032

Source: Consolidated Sangkat Report on Flooding in Battambang. 2013

Validated with Chief of Commune Council of each Sangkat on 24 February 2016.

The projected year 2018 total population within the flood-prone areas in the three Sangkats is 18,800.

Target: Flood events of city center, near river, reduced (based on 50-year flood frequency), benefiting 15,120 persons by 2018.

Projected Achievement: Flood events of city center, near river, reduced (based on 50-year flood frequency), benefiting ____* persons by 2018.

The implementation of this Flood Control Subproject has been deferred.

* The reduction of flood events due to the subproject, and the number of persons benefited will be updated/determined during the preliminary design review and detailed engineering design to be undertaken by the DED Consultant.

Indicator 2.3: Baseline: The baseline value for the indicator is “nil” since subproject is construction of new MRF.
Material Recovery Facility

CINTRI is contracted, since 2010, to provide waste collection and disposal services in the town. A total of 7,249 households are served in 8 out of 11 sangkats. The present tonnage of solid waste that is collected daily is estimated to be 80-90 tons.

Source: Mr. To Thanarith, Operations Manager, CINTRI, Battambang, 24 February 2016.



Updated CINTRI is contracted, since 2010, to provide waste collection and disposal services in the town. A total of 7,562 households are served in 8 out of 11 sangkats. The present tonnage of solid waste that is collected daily is estimated to be 100-120 tons.

Source: Mr. Nou Chamroeun, Urban Development of Plan PIU Battambang and Battambang Municipality Officer, 08 December 2020.

Target: 30 cu m of recyclables per day segregated benefiting 128,000 residents by 2018.

It is noted that the target of 30 cu m of recyclables per day in the DMF is inconsistent with the value in the Feasibility Study of 60 cu m of recyclable per day.

Projected Achievement: 60 m³ or eight (8) tons of dry, source segregated and non-biodegradable waste processed per day.

Bavet

Indicator 2.4: **Baseline:** Bavet has no existing WWTP, hence the baseline value for the Wastewater Treatment indicator is “nil”.

Target: 29,000 residents serviced by wastewater treatment plant (capacity 255 cu m/day) by 2019. Flood events of facility reduced (based on 50-year flood frequency).

Projected Achievement: 22,990 residents serviced by wastewater treatment plant (capacity 3,950 cu.m./day) by 2019. Flood events of facility reduced (based on 50-year flood frequency).

The new WWTP (completion of infrastructure in December 2018) will be servicing the following in Sangkat Bavet by 2019:

- 22,990 residents/population
- 27 commercial facilities, and
- 10 public buildings

The capacity of the new WWTP is 3,950 cu.m./day (Stage 1, design year is 2025).

Source: PISCD, Bavet Wastewater Treatment Subproject Technical Assessment and Preliminary Design Report, July 2015.

Storm Drainage Subproject Achievement:

- The new storm drainage subproject have been completed and handed over to the Employer on Q4, 2020. The residents serviced by new and rehabilitated wastewater treatment (storm drainage) by 2020:

Sangkat	Village	Population	Female	Family
Bavet	Chrakleav	1,756	833	923
	Bavet Leu	2,534	1,265	1,269
	Bavet Kandal	3,648	1,729	1,919
	Taboeb	3,593	1,716	1,877
	Tapov	2,303	1,067	1,236
Baty		6,029	3,118	-
Chrak Mates		12,696	6,643	-
Total		32,559	16,371	

Source: Village chief interview and Existing Business Improvement Training Report, March 2020.



Indicator 2.5: **Urban Road** Baseline: The subproject will benefit not only the residents of Bavet but also the traffic between Cambodia and Viet Nam.

The projected population of Bavet by 2019 (year of completion of construction) is 40,600.

Source: Subproject Feasibility Study, Bavet Urban Road, ADB TA 7644-REG, May 2012.

Number of persons/year entering Cambodia from Vietnam (2010 to 2015)

2010	2011	2012	2013	2014	2015
547,565	621,010	673,830	680,723	738,441	751,811

Number of persons/year exiting Cambodia to Vietnam (2010 to 2015)

2010	2011	2012	2013	2014	2015
559,074	644,208	725,104	733,451	749,568	775,432

Source: Immigration Office at Bavet Border Check Point. Collected by Mr. Keo Sarong, Social & Environment Officer, Bavet PIU.

Target: 6, 14 km of main urban road upgraded with drainage structures, benefiting 37,100 residents by 2019.

Note: 37,100 is the population of Bavet in 2010.

Projected Achievement: 6, 14 km of main urban road upgraded with drainage structures, benefiting **53,590** residents by 2020.

Indicator 2.6: **Material Recovery Facility** Baseline: The baseline value for the indicator is “nil” since the subproject is the construction of the new MRF.

Hybrid Garbage Co. Ltd. (HGCL) is contracted, since 18 September 2015, to provide waste collection and disposal services in the town. A total of 34,884 residents are served in 4 out of 5 sangkats. The present tonnage of solid waste that is collected daily is estimated to be 20-30 tons. The company collects waste from casinos, hotels, guesthouses, markets, households, restaurants, commercial establishments, and institutions in the urban sector of Bavet on daily basis (Monday through Sunday).

Source: Mr. Yen Chham, Administration Manager, Hybrid Garbage Co. Ltd, Bavet, 15 February 2016.

Updated Hybrid Garbage Co. Ltd. (HGCL) is contracted, since 18 September 2015, to provide waste collection and disposal services in the town.

Target: 30 cu m of recyclables per day segregated, benefiting 24,000 residents by 2018.

Projected Achievement: 30 m³ or four (4) tons of dry, source segregated, and non-biodegradable waste processed per day benefiting ____* residents by 2018.

Source: Subproject Feasibility Study, Bavet Material Recovery Facility, ADB TA 7644-REG.

* The original target value will be validated/updated during the preliminary design review and detailed engineering design to be undertaken by the DED Consultant.

Neak Loeung



Indicator 2.7: **Flood Protection** Baseline: The current population within the subproject area is 9,338 residents, as follows:

No. of Households	No. of Families	Population	Within the Flood Protection Area			
			Percentage	No. of Households	No. of Families	Population
Neak Loeung Commune						
1,538	1,781	8,864	60%	923	1,069	5,318
Prek Khsay Khor Commune						
2,282	2,351	11,485	35%	799	823	4,020
Total						
3,820	4,132	20,349		1,722	1,891	9,338

Source: Mr. Phum Samphorn, Deputy Governor, Peam Ror District, 16 February 2016.

Target: 5.4 km flood protection dike constructed; 363 ha of residential and commercial areas protected from annual flooding (based on 50-year flood frequency), benefiting 24,700 residents by 2019.

Projected Achievement: 3.9 km flood protection dike constructed by 2019; benefiting _____* residents and protecting 355 ha from annual flooding (based on 50-year flood frequency) for development into residential and commercial areas.

The implementation of this Flood Protection Subproject has been deferred.

* The length of the flood protection dike, and the number of residents and the hectareage of the area that will be protected will be updated/determined during the detailed engineering design to be undertaken by the DED Consultant.

Poipet

Indicator 2.8: **Wastewater Treatment** Baseline: Poipet has no existing WWTP, hence the baseline value for the indicator is “nil”.

Target: 65,000 residents serviced by wastewater treatment plant (capacity 16,400 cu m/day) by 2019. Flood events of facility reduced (based on 50-year flood frequency).

Projected Achievement: 45,790 residents serviced by wastewater treatment plant (capacity 7,660 cu m/day) by 2019. Flood events of facility reduced (based on 50-year flood frequency).

The new WWTP (completion of infrastructure in December 2018) will be servicing the following in Sangkat Poipet by 2019:

- 45,790 residents/population, and
- 29 commercial facilities

The capacity of the new WWTP is 7,660 cu m/day (Stage 1, design year is 2020).

Source: PISCD, Poipet Wastewater Treatment Subproject Technical Assessment and Preliminary Design Report, July 2015.

Strom Drainage Subproject Achievement:

- The storm drainage subproject was completed and checked by the Employer on August 14, 2020. The residents serviced by new and rehabilitated wastewater treatment (storm drainage) by 2020:

Sangkat	Population	Female	Family
---------	------------	--------	--------



Poipet	32,087	15,545	
Phsakandal	29,656	13,115	7,166
Nimet	18,549	9,395	4,389
Total	80,292	38,055	

Source: Commune chief interview and Existing Business Improvement Training Report, September 2020.

Indicator 2.9: **Solid Waste Management** Baseline: The baseline value for the indicator is “nil” since the sanitary landfill is a new facility.

Poipet Cleaner is contracted, since 2008, to provide waste collection and disposal services in the town. A total of 2,200 households are served in 2 out of 3 sangkats. The present tonnage of solid waste that is collected daily is estimated to be 50-65 tons. Of which, an average of about 28 tons/day are collected from hotels/casino and other business and institutional establishments, and an average of about 35 tons/day are collected from households.

Source: Ms. Sareoung Sophea, Poipet Cleaner, 25 February 2016.

Target: 20 tons/day of solid waste deposited in sanitary landfill, benefiting 58,600 residents by 2019

Projected Accomplishment: ____* tons/day of solid waste deposited in sanitary landfill, benefiting ____* residents by 2019

The implementation of this Solid Waste Management Subproject has been deferred.

* The original targets will be verified/updated during the preliminary design review and detailed engineering design to be undertaken by the DED Consultant.

Indicator 2.10

Material Recovery Facility

Baseline: The baseline value for the indicator is “nil” since the subproject is the construction of the new MRF.

CINTRI is contracted, since 01 January 2019, to provide waste collection and disposal services in the town, both residents used the service and did not used the service. A total of 24,719 households are served in 3 sangkats. The present tonnage of solid waste that is collected daily is estimated to be 200-210 tons.

Source: Mr. Sok Penhnorne, Urban Development Plan of PIU Poipet and Poipet Municipality Officer, 17 December 2020.

Target: 30 cu m of recyclables per day segregated, benefiting 58,600 residents by 2018.

Projected Achievement: 60 m³ or eight (8) tons of dry, source segregated and non-biodegradable waste processed per day benefiting ____* residents by 2018.

Source: Subproject Feasibility Study, Poipet Material Recovery Facility, ADB TA 7644-REG, June 2012.

* The original target value will be validated/updated during the preliminary design review detailed engineering design to be undertaken by the DED Consultant.

Output 3: Institutional capacity strengthened

Indicators 3.1, 3.2 & 3.3:

Baseline: The SECTDP is considered as the first major urban sector development assistance of the ADB in the GMS that integrates urban environment improvement and economic infrastructure with institutional capacity development for sustained economic growth. Hence the baseline



value for these indicators is “nil”.

Target: The performance targets of these indicators are as specified in the DMF.

Outcome: *Urban infrastructure improved and climate resilience enhanced in Battambang, Bavet, Neak Loeung, and Poipet*

Indicator 1: **Baseline:** The “before-project” number of inhabitants/individuals that have access to existing urban infrastructure that are to be rehabilitated/upgraded in the subprojects is the total of the baseline values of Output 2 Indicators 2.1 and 2.5, as follows:

Particular	Value
Residents presently connected to existing sewerage system and WWTP that will be rehabilitated in Battambang.	18,650
Present traffic volume (residents/individuals) of main urban road to be upgraded in Bavet.	_____ *
Total number of inhabitants/individuals that have access to existing urban infrastructure	_____ *

* To be determined/updated during the preliminary design review and detailed engineering design to be undertaken by the DED Consultant.

Target: Improved access to urban infrastructure affects 558,220 inhabitants (cumulative figure) in four project towns.

Projected Accomplishment: Improved access to urban infrastructure affects _____ inhabitants (cumulative figure) in four project towns.

This is the total of the target number of residents/individuals benefiting from the urban infrastructure subprojects, i.e. total of targets for Indicators 2.1 to 2.10, as follows:

Town/ Indicator no.	Indicator	Updated Target
Battambang		
2.1	No. of residents serviced by new & rehabilitated WWTP	52,000
2.2	No. of persons benefiting from flood control	_____ *
2.3	No. of residents benefiting from MRF	_____ *
Bavet		
2.4	No. of residents serviced by WWTP	22,990
2.5	No. of residents/individuals benefiting from upgraded urban road	_____ *
2.6	No. of residents benefiting from MRF	_____ *
Neak Loeung		
2.7	No. of persons benefiting from flood protection	_____ *
Poipet		
2.8	No. of residents serviced by WWTP	45,790
2.9	No. of residents benefiting from sanitary landfill	_____ *
2.10	No. of residents benefiting from MRF	_____ *
Total inhabitants having improves access to urban infrastructure		_____ *



* To be determined/updated during the preliminary design review and detailed engineering design to be undertaken by the DED Consultant.

Indicator 2: **Baseline:** Economic loss due to poor sanitation was \$32 per capita in year 2005.

Source: *Economic Impacts of Sanitation in Cambodia, Summary. Water and Sanitation Program – East Asia and the Pacific (WSP-EAP). World Bank. 2008*

Target: Annual economic impact of poor sanitation, accounting for a loss of \$33 in 2005 per capita per year, reduced by 40%.

Projected Accomplishment: Annual economic impact of poor sanitation, accounting for a loss of \$32 in 2005 per capita per year, reduced by 40%.

Indicator 3: **Baseline:** The “before-project” total hectareage of urban areas affected by perennial flooding in Battambang and Neak Loeung, is as follows:

Town	Urban areas presently affected by perennial flooding, hectares
Battambang.	_____*
Neak Loeung	335
Total	_____*

* To be determined/updated during the preliminary design review & detailed engineering design to be undertaken by DED Consultant.

Target: Urban areas affected by perennial flooding reduced by 30%.

This is the total of the targeted hectareage of urban areas (i) benefiting from the reduction of flood events, due to the flood control subproject in Battambang; and (ii) protected from annual flooding, due to the flood protection subproject in Neak Loeung. This is expressed as a percentage of the baseline value.

Town/ Indicator no.	“Before-project” (baseline) urban areas affected by perennial flooding Hectare	Urban areas protected from flooding due to flood control/protection subprojects	
		Hectare	% **
Battambang / 2.2	_____*	_____*	_____**
Neak Loeung / 2.7	335	335	100
Total	_____*	_____*	_____**

* To be determined/updated during the preliminary design review and detailed engineering design to be undertaken by the DED Consultant.

** Urban areas protected from flooding due to flood control/protection subprojects as a percentage of “before-project” (baseline) urban areas affected by perennial flooding.



APPENDIX I GRM-COMPLAINT RECORDING FORM

PIU Staff Responsible: (name and role)	
Date: (of this record)	
Date of Complaint:	
Date Resolution Required by (15 days from initial complaint):	
Complaint Made by: (Name & Contact Details)	
Method of Complaint: (direct to PMU, via Contractor, Via Commune People's Council)	
Details of Complaint: (issues, actions taken so far, when did it start – all details needed)	
PMU Actions: (Next steps for PMU to resolve the issue or to move complaint to next level)	
Follow Up Actions Needed and Date: (PMU to follow up on resolution if needed, e.g. check contractor actions)	



APPENDIX J
Gender Action Plan Progress
Date of Update: 30 June 2021

Project Title:	Greater Mekong Sub-region Southern Economic Corridor Towns Development Project ¹
Country:	Cambodia
Project No.	43319-033
Type of Project (Loan/Grant/TA):	Loan 2983
Approval and Timeline:	Approval 10 December 2012
Gender Category:	Effective Gender Mainstreaming (EGM)
Project Officer:	Mr. Ratha Sann
Project Impact:	The towns of Battambang, Bavet, Neak Loeung, and Poipet will become centers of economic activity in the Southern Economic Corridor
Project Outcome:	Urban infrastructure improved and climate resilience enhanced in Battambang, Bavet, Neak Loeung, and Poipet

Document 1: Progress of Gender Action Plan Implementation

Document 2: Status of Compliance with DMF

¹ The project was approved by the board on 10 December 2012, the effective date is on 08 April 2013 and estimated loan closing date on 30 June 2019. The total investment cost is estimated at \$ 54.78 million. Four corridor towns along the Southern Economic Corridor (SEC) were selected as project areas based on their economic potential, infrastructure constraints, and expressed commitment: Battambang, Bavet, Neak Leung, and Poipet.



1) Progress of Gender Action Plan Implementation

Gender Action Plan (GAP Activities, Indicators and Targets, Timeframe and Responsibility)	Progress to Date This should include information on period of actual implementation, sex-disaggregated quantitative updates (e.g. number of participating women, women beneficiaries of services, etc.), and qualitative information. However, some would be on-going - so explain what has happened so far towards meeting the target.	Issues and Challenges Please include reasons why an activity was not fully implemented, or if targets fall short, or reasons for delay, etc., and provide recommendations on ways to address issues and challenges
Output 1 (GAP): Strategic Local Economic Development Plan (SLEDP) adopted and implemented		
1.1. GAP Component 1 - Institutional Strengthening for Gender and Development (funded under capacity development and training)		
Gender issues reflected and mainstreamed in planning documents including Socio-Economic development plans and master plans Action1: Achieved/Completed DMF indicator	SLEDPs of the 4 towns are being updated. The gender consultant is working with the team to ensure the updated SLEDPs will integrate and address gender issues. Updated Q2-2021: The gender compenence has been integrated into all target town's SLEDP,	<i>Comment: Gender consultant to provide input to the SLEDPs by Q1-2021. DONE</i>



PIS consultant’s gender specialists will collect sex-disaggregated data on EA/IAs staffing and technical designation as baseline and monitoring of gender targets for overall staff and management positions to refine the gender awareness training inputs Action 2: On-track	- Sex-disaggregated data on the PMU and PIUs staff has been annually collected. It is noticed that number of women’s participation in both PMU and PIUs have increased as PMU assigned more women to join the project implementation. Table 1: Sex-disaggregated data of project staffs at PMU and PIUs by year: <table> <tr> <th rowspan="2">Year</th> <th colspan="2">PMU</th> <th colspan="2">PIUs</th> </tr> <tr> <th>Total</th> <th>Female</th> <th>Total</th> <th>Female</th> </tr> <tr> <td>2015</td> <td>10</td> <td>2</td> <td>31</td> <td>8</td> </tr> <tr> <td>2016</td> <td>10</td> <td>2</td> <td>31</td> <td>8</td> </tr> <tr> <td>2017</td> <td>10</td> <td>2</td> <td>28</td> <td>5</td> </tr> <tr> <td>2018</td> <td>10</td> <td>2</td> <td>30</td> <td>7</td> </tr> <tr> <td>2019</td> <td>10</td> <td>2</td> <td>31</td> <td>8</td> </tr> <tr> <td>2020</td> <td>10</td> <td>3</td> <td>35</td> <td>11</td> </tr> <tr> <td>2021</td> <td>10</td> <td>3</td> <td>8</td> <td>3</td> </tr> </table>	Year	PMU		PIUs		Total	Female	Total	Female	2015	10	2	31	8	2016	10	2	31	8	2017	10	2	28	5	2018	10	2	30	7	2019	10	2	31	8	2020	10	3	35	11	2021	10	3	8	3	
Year	PMU		PIUs																																											
	Total	Female	Total	Female																																										
2015	10	2	31	8																																										
2016	10	2	31	8																																										
2017	10	2	28	5																																										
2018	10	2	30	7																																										
2019	10	2	31	8																																										
2020	10	3	35	11																																										
2021	10	3	8	3																																										
Provide gender awareness and sensitization training for 30 EA/IA staff (each province); gender awareness training for managers and staff to increase sensitivity to different needs of women and men. Target 1: On-track DMF indicator	• Out of a total of 98 participants, 21 women (21%) from PMUs, PIUs, provincial department of women’s affairs and contractors received gender sensitization including GAP orientation. • The project conducted three gender sensitization trainings. The training contents included: (i) gender mainstreaming policy in Cambodia, (ii) ADB gender policy and requirement, (iii) gender concepts (iv) GAP orientation, (v) Developing or integrating gender into work plan, (vi) roles and responsibilities of project staffs in GAP implementation, (vii) collecting sex-disaggregated data and (viii) challenges and solution to GAP implementation.																																													



	• Q3-2020 Updated: The refresher training on GAP will connect with Environmental for PIUs Neak Leung after contractors signed agreement. • Q4-2020 Updated: The refresher training on GAP will conduct in first quarter in connection with Environmental for PIUs Neak Leung. • Q1-2021 Updated: the refresher training on GAP was delay due to COVID-19, it will be done when the COVID-19 status getting better, • Q2-2021 Updated: the refresher training on GAP still delay due to COVID-19. It was envisaged that if the COVID-19 still not relieve, other options should be applied such as online meetings/Trainings.	
Output 2 (DMF & GAP output is the same): Priority urban infrastructure investments implemented		
GAP Component 2 - Empowerment for Women in Urban Community Development and Skills Training (funded under capacity development and training)		
Market assessment survey undertaken indicating current demand and economic growth areas in towns. Action 3: Achieved/completed	- The market assessment study was conducted using desk review and consultation with local NOGs, authorizes, PDOWA and women beneficiaries in the project including small-scale business owner in the project area, waste picker and construction workers. The consultation has been done with 101 women. - Below are the findings and the recommendations from the study: (i) The majority of women consulted prefer skill on their existing business and work, so they can improve their current business and performance. (ii) To ensure inclusive growth, skill training should	



	include the poor and vulnerable women (waste picker, construction workers and small-scale business operators) (iii) for small-scale home-based and street vendors, training should cover business plan, product/good packaging, sanitation, marketing skill. National Employment Agency and Caritas could be the best agencies for this training, (iv) For waste pickers, the skill should be on solid waste recycling skills. This skill can be provided by SCARO organization that have years of experience waste picker on dumpsite.	
Training for women to better position their entry in the growing employment demand areas arising from project interventions, targeting at least 150 women per town. Target 2: On-track	- 449 women including construction workers, waste pickers and small-scale home-based and street vendors in three towns (Battambang, Bavet and Poipet province) received skill trainings to increase their job performance and opportunities for the growing market. The types of skill selected for training is based on the consultation with women on their preference skills and has been customized according to different types of participants. - Female construction workers received the training on concrete and painting work. The female waste pickers received the training on waste segregation, recycling and compost making. The small-scale home-based and street	<i>Comment: EA will train at least 151 women in Neak Leung by Q1-2021. Please collect several case studies on how the trainings has help women to be included in PCR.</i> <i>Respond: Due to COVID-19 group training not be an option. Other method should be considered such as online training or arranged in small groups.</i>



	vendors received skill training on business plan, benefit and cost analysis, sanitation and marketing. - Q4-2020 Updated: the last training for women to better position their entry will be done in first quarter of 2021 in Neak Leung, - Q1-2021 Updated: due to COVID-19 the training cannot be done. - Q2-2021 Updated: the training can take place after providing GAP orientation to contractor,	
A target of 40% women for employing existing informal waste pickers in the material recovery facilities (MRFs) funded under the project, including skills upgrade training. Target 3: Achieved/ Completed	- All MRFs construction were completed and it is in the process to handover to municipality to manage the operation. - The handover agreements include the condition that "Operator will target at least 40% women for employing existing informal waste picker in the MRF". - 30 (58%) women out of 52 waste pickers received training on Solid Waste Recycling Skills. The training has been helpful to women to be able to do solid waste recycling, make compost, and to guide them on price of recycle products and on how to seek for available merchandisers to sell recycle products to earn more income. - Q4-2020 Updated: MRFs transferred to provincial authority for leasing to the selected contractor.	
Construction phase employment, construction	- As of June 2021, out of a total 56,353 unskilled labor	



workers will be 30% female; contractor records to be sex disaggregated for monitoring purposes. Target 4: On-track DMF indicator	days, 16,950 (30%) days claimed by women under the construction work. - As of March 2021 the number of unskilled labor days remain the same as the contractor of Neak Loeung just start setting up the main field site, - As of December 2020, out of a total 55,711 unskilled labor days, 16,680 (30%) days claimed by women under the construction work. - Out of a total 55,651 unskilled labor days, 16,655 (30%) days claimed by women under the construction work. Type of activities performance by women included: (i) bending bar, (ii) cleaning the road, (iii) deliver brick and mixed cement and (iv) flag person, painting and etc. Female unskilled workers received training from the company on concrete work, painting work, bending, and installing rebar to enable their work in the construction. - Women unskilled laborers receive \$7/day. The company provide equal pay for equal work. The different scale of pay is depending level and type of work. - Sex-disaggregated labor monitoring sheet was developed and use by contractors and reported to PMU monthly. - There is no child labor employed in the construction.	
GAP component 3 - HIV awareness and prevention (funded by construction companies)		
Awareness training provided by external HIV organizations and/or NGOs subcontracted by contractors during workforce mobilization for laborers and community surrounding	- Q2-2021 Updated: not done yet due to COVID-19, group trainings could not be arranged. - Q1-2021 Updated: no training can be taken	<i>Comment: EA will complete the HIV training in Neak Leugn by Q1-2021. Please include what are the brief results of training? Please</i>



construction locales Action 4: On-track	place yet, no laborers recruited yet, - Out of a total 790 participants, 339 (43%) women attended the HIV trainings. The participants consist of construction workers, and entertainment workers, entertainment workers, waste pickers, and villagers. Audiences mostly remember about the HIV/AIDS prevention, particularly "the parent have to ask groom in advance six-months to get blood-tested before getting their children's married. - Q4-2020 Updated: the training will conduct in first quarter of 2021 in connection with GAP's orientation,	<i>collect 1 or 2 case studies to be included in PCR.</i>
GAP component 4 - sanitation, health and hygiene Program (funded under capacity development and training)		
Training 2 health and sanitation promoters (male and female) in each town through a training of trainers (TOT) program Target 5: Achieved/Completed	- Out of a total of 27 participants, 8 women (30%) from commune health and sanitation promoter, Provincial Department of Women's Affairs (PDWA), PMU & PIUs of the four towns attended a training of trainer on environmental sanitation and hygiene. With coordinated by trained PIUs and PDWA, the Sanitation Promoters used provided IECs materials to conduct Environmental and Sanitation to target villagers, it engaged total 948 (622 females) at target villagers.	<i>Comment: Please provide brief outcome of the training. Please collect 1 or 2 case studies (strategic change) of the health promoter to be included in PCR.</i>
Establish and train 2 MoWA staff as facilitators for IEC completion in each town. Target 6: Achieved/Completed	- PDWA assigned 11 trainers (including 6 women) of the 27 trainers in ToT program to be Sangkat council sanitation and hygiene promoter in the targeted towns. Those health promoters have organized health and sanitation outreaches by using the existing IEC materials to reach a total of 948 participants including 622 women (66%).	



	1). Bavet: conduct Environmental Sanitation and Hygiene Promotion to approximately 385 villagers (249 Females). 2). Battambang: conduct Environmental Sanitation and Hygiene Promotion to approximately 159 villagers (112 Females). 3). Poipet: conduct Environmental Sanitation and Hygiene Promotion to approximately 404 villagers (261 Females)	
GAP component 5 - road safety campaign (funded under capacity development and training)		
Target girls as well as boys in school awareness campaigns Action 5: Achieved/Completed	- Out of a total 5,508 participants, 5,112 women (93%) attended awareness raising on road safety program. The program was conducted in 13 classrooms both at primary and high schools. The road construction was along economic zone where there are many garment factories. Thus, the program also targeted garment factories workers, whose majority are women.	<i>Comment: Can you describe how the program has contributed to change the behaviors on using the road? Please include 1-2 case study on how it has been helpful to them to be included in the PCR.</i>
Output 3 (DMF & GAP output is the same): Institutional capacity strengthened		
GAP component 6 - institutional strengthening for gender and development (cost included in project output 1)		



Ensure that 30% of the overall staff positions and 30% of the management positions in the Project Management Units and Project Support Units to be female

Target 7: Achieved/Completed

DMF indicator

- Out of a total 10 PMU staff, 3 staff (30%) are women.
- Out of a total 35 PIUs staff, 11 staffs (31%) are women.
- The number of women has increased from 2 to 3 female staffs for PMU and from 8 to 11 female staff for PIUs.

Table 2: Number of project staffs at PIUs

PIUs	Male	Female	Total staff	% female
Battambang	6	3	9	33%
Piopat	7	3	10	30%
NeakLoeung	5	3	8	37%
Bavet	6	2	8	25%
Total	24	11	35	31%



APPENDIX K

STATUS OF COMPLIANCE WITH LOAN COVENANTS (As of 30 June 2021)

No.	Covenant	Reference in LA	Status of Compliance
1.	Implementation Arrangements The Borrower shall ensure that the Project is implemented in accordance with the detailed arrangements set forth in the PAM. Any subsequent change to the PAM shall become effective only after approval of such change by the Borrower and ADB. In the event of any discrepancy between the PAM and this Loan Agreement, the provisions of this Loan Agreement shall prevail.	Schedule 5, Para. 1	Complied
2.	Environment The Borrower shall ensure, and cause MPWT to ensure, that the preparation, design, construction, implementation, operation and decommissioning of the Project comply with: (a) all applicable laws and regulations of the Borrower relating to environment, health and safety; (b) the Environmental Safeguards; and (c) all measures and requirements set forth in the IEEs, the EMPs and any corrective or preventative actions set forth in a Safeguards Monitoring Report. The Borrower shall ensure, and cause MPWT to ensure, that the foregoing is applied and implemented for all Parts of the Project, regardless of the financing source.	Schedule 5, Para. 2	Ongoing
3.	The Borrower shall ensure that Bavet and Poipet municipalities pass regulation requiring that no untreated waste water is discharged by industrial companies within 36 months of the Effective Date.	Schedule 5, Para. 3	Not yet due
4.	Land Acquisition and Involuntary Resettlement The Borrower shall ensure, and cause each Project province to ensure, that all land and all rights-of-way required for the Project are made available to the Works contractor following the schedule agreed under the related Works contract and all land acquisition and resettlement activities are implemented in compliance with: (a) all applicable laws and regulations of the Borrower relating to land acquisition and involuntary resettlement; (b) the Involuntary Resettlement Safeguards; and (c) all measures and requirements outlined in the RPs, and any corrective or preventative actions outlined in the Safeguards Monitoring Report. Without limiting the generality of the foregoing, the Borrower shall ensure, and cause each Project province to ensure, that prior to the commencement of Works a clear land title (which is free of any kind of encumbrance) shall be provided for the implementation of Parts 3, 6 and 10 of the Project.	Schedule 5, Para. 4	Complied
5.	Without limiting the application of the Involuntary Resettlement Safeguards or the RPs, the Borrower shall cause MPWT to ensure that no physical or economic displacement takes place in connection with the Project until: (a) compensation and other entitlements have been provided to affected people in accordance with the RPs; and	Schedule 5, Para. 5	Complied

Quarterly Report, 2nd Quarter 2021: Appendices



No.	Covenant	Reference in LA	Status of Compliance
	through the Project monitoring process and facilitate the carrying out of any verification activities; and report any actual or potential breach of compliance with the measures and requirements set forth in the EMPs and the RPs promptly after becoming aware of the breach.		engaged. The EMO reports were submitted to ADB on Quarterly basis
10.	Prohibited List of Investments The Borrower shall ensure that no proceeds of the Loan are used to finance any activity included in the list of prohibited investment activities provided in Appendix 5 of the SPS.	Schedule 5, Para. 10	Complied
11.	Labor Standards and Health The Borrower shall ensure, and cause MPWT to ensure that, all Works contractors: (a) comply with all applicable labor laws of the Borrower; (b) use their best efforts to employ women and local people, including disadvantaged people, living in the vicinity of the Project; (c) disseminate information at worksites on health safety for those employed during construction; (d) provide equal pay to men and women for work of equal type; (e) provide safe working conditions and separate culturally appropriate facilities for male and female workers; and (f) abstain from child labor.	Schedule 5, Para. 11	Ongoing
12.	Gender and Development The Borrower shall ensure, and cause MPWT to ensure, that: (a) the gender action plan developed for the Project is implemented in accordance with its terms; (b) the bidding documents include relevant provisions for contractors to comply with the measures set forth in this plan; (c) adequate resources are allocated for the implementation of this plan; and (d) key gender outcome and output targets (notably: (i) gender awareness and sensitisation training to be carried out for 30 staff from MPWT and the implementing agencies; (ii) 30 percent of the construction workers hired by the Works contractors should be female; (iii) 30 percent of the total staff in the project management unit and the project implementation units of the Project shall be female; and (iv) within 24 months of the Effective Date, 20 percent of the total management positions in the project management unit and the project implementation units of the Project shall be occupied by female) are monitored regularly and achieved.	Schedule 5, Para. 12	Ongoing
13.	The Borrower shall ensure, and cause MPWT to ensure that, the stakeholder communication strategy developed for the Project is implemented in a timely manner.	Schedule 5, Para. 13	Ongoing
14.	Sector Development The Borrower shall cause MPWT to: (a) adopt a business plan for achieving full cost recovery (to cover operation and maintenance expenditures) of the facilities developed under Parts 1, 4 and 8 of the Project prior to their physical completion; and (b) commit to making up shortfall through budget allocations to cover operation and maintenance expenditures.	Schedule 5, Para. 14	Not yet due
15.	Governance and Anticorruption The Borrower shall: (a) comply with ADB's Anticorruption	Schedule 5,	



No.	Covenant	Reference in LA	Status of Compliance
	Policy (1998, as amended to date) and acknowledge that ADB reserves the right to investigate directly, or through its agents, any alleged corrupt, fraudulent, collusive or coercive practice relating to the Project; and (b) cooperate with any such investigation and extend all necessary assistance for satisfactory completion of such investigation.	Para. 15	Complied
16.	The Borrower shall ensure, and cause MPWT to ensure, that the anticorruption provisions acceptable to ADB are included in all bidding documents and contracts, including provisions specifying the right of ADB to audit and examine the records and accounts of the executing and implementing agencies and all contractors, suppliers, consultants, and other service providers as they relate to the Project.	Schedule 5, Para. 16	Complied
17.	The Borrower shall cause MPWT to prepare and effectively implement a good governance framework, in a form and substance acceptable to ADB during Project implementation.	Schedule 5, Para. 17	Complied
18.	The Borrower shall: (i) maintain separate accounts and records for the Project; (ii) prepare annual financial statements for the Project in accordance with accounting principles acceptable to ADB; (iii) have such financial statements audited annually by independent auditors whose qualifications, experience and terms of reference are acceptable to ADB, in accordance with international standards for auditing or the national equivalent acceptable to ADB; (iv) as part of each such audit, have the auditors prepare a report (which includes the auditors' opinion on the use of the Loan proceeds and compliance with the financial covenants of this Loan Agreement as well as on the use of the procedures for imprest fund and statement of expenditures) and a management letter (which sets out the deficiencies in the internal control of the Project that were identified in the course of the audit, if any); and (v) furnish to ADB, no later than 6 months after the end of each related fiscal year, copies of such audited financial statements, audit report and management letter, all in the English language, and such other information concerning these documents and the audit thereof as ADB shall from time to time reasonably request.	Article IV, Section 4.02 (a)	Complied
19.	ADB shall disclose the annual audited financial statements for the Project and the opinion of the auditors on the financial statements within 30 days of the date of their receipt by posting them on ADB's website.	Article IV, Section 4.02 (b)	Complied
20.	The Borrower shall enable ADB, upon ADB's request, to discuss the financial statements for the Project and the Borrower's financial affairs where they relate to the Project with the auditors appointed pursuant to subsection (a)(iii) hereinabove, and shall authorize and require any representative of such auditors to participate in any such discussions requested by ADB. This is provided that such discussions shall be conducted only in the presence of an authorized officer of the Borrower unless the Borrower shall otherwise agree.	Article IV, Section 4.02 (c)	Complied
21.	The Borrower shall enable ADB's representatives to inspect the Project, the Goods and Works, and any relevant records and documents.	Article IV, Section 4.03	Complied



No.	Covenant	Reference in LA	Status of Compliance
22.	General The procurement of Goods, Works and Consulting Services shall be subject to and governed by the procurement Guidelines, and the consulting Guidelines, respectively.	Schedule 4, Para. 1	Complied
23.	All terms, used in this schedule and not otherwise defined in this loan agreement have the meanings provided in the Procurement Guidelines and/or the Consulting Guidelines, as applicable.	Schedule 4, Para. 2	Complied
24.	Goods and Works Except as ADB may otherwise agree, Goods and works shall only be procured on the basis of the methods of procurement set forth below: (a) International Competitive Bidding; and (b) National Competitive Bidding	Schedule 4, Para. 3	Complied
25.	The methods of procurement are subject to, among other things, the detailed arrangements and threshold values set forth in the Procurement Plan. The Borrower may only modify the methods of procurement or threshold values with the prior agreement of ADB, and modifications must be set out in updates to the Procurement Plan.	Schedule 4, Para. 4	Complied
26.	Domestic Preference The Borrower may grant a margin of preference in the evaluation of bids under international competitive bidding in accordance with paragraphs 2.55(a) and 2.56 of the Procurement Guidelines for domestically manufactured Goods, and paragraphs 2.55(b) and 2.56 of the Procurement Guidelines for domestic Works.	Schedule 4, Para. 5	Complied
27.	National Competitive Bidding The Borrower and ADB shall ensure that, prior to the commencement of any procurement activities under national competitive bidding, the Borrower's national competitive bidding procedures are consistent with the Procurement Guidelines. Any modifications or clarifications to such procedures agreed between the Borrower and ADB shall be set out in the Procurement Plan. Any subsequent change to the agreed modifications and clarifications shall become effective only after approval of such change by the Borrower and ADB.	Schedule 4, Para. 6	Complied
28.	Conditions for Award of Contract The Borrower shall not award any Works contract which involves environmental impacts until MPWT has: (a) Obtained the final approval of the IEE from the appropriate authority of the Borrower; and (b) Incorporated the relevant provisions from the EMP into the Works contract.	Schedule 4, Para. 7	Complied
29.	The Borrower shall not award any Works contract which involves involuntary resettlement impacts, until the Borrower has prepared and submitted to ADB the final RP based on the detailed design, and obtained ADB's clearance of such RP.	Schedule 4, Para. 8	Complied
30.	Consulting Services	Schedule 4,	



No.	Covenant	Reference in LA	Status of Compliance
	Except as ADB may otherwise agree, the Borrower shall apply quality- and cost-based selection for selecting and engaging Consulting Services.	Para. 9	Complied
31.	The Borrower shall apply the following method for selecting and engaging the specified Consulting Services, in accordance with among other things the procedures set forth in the Procurement Plan: Consultant Qualification Selection for (a) detailed design and construction supervision; and (b) independent monitoring.	Schedule 4, Para. 10	Complied
32.	The Borrower shall recruit the individual consultants for such services as agreed with ADB in accordance with procedures acceptable to ADB for recruiting individual consultant.	Schedule 4, Para. 11	Complied
33.	Industrial or Intellectual Property Rights (a) The Borrower shall ensure that all Goods and Works procured (including without limitation all computer hardware, software and systems, whether separately procured or incorporated with other goods and services procured) do not violate or infringe any industrial property or intellectual property right or claim of any third party. (b) The Borrower shall ensure that all contracts for the procurement of Goods and Works contain appropriate representations, warranties and, if appropriate, indemnities from the contractor or supplier with respect to the matters referred to in subparagraph (a) of this paragraph.	Schedule 4, Para. 12	Complied
34.	The Borrower shall ensure that all ADB-financed contracts with consultants contain appropriate representation, warranties and, if appropriate, indemnities from the consultants to ensure that Consulting Services provided do not violate or infringe any industrial property or intellectual property right or claim of any third party.	Schedule 4, Para. 13	Complied
35.	ADB's Review of Procurement Decisions Contracts procured under international competitive bidding procedures and contracts for Consulting Services shall be subject to prior review by ADB, unless otherwise agreed between the Borrower and ADB and set forth in the Procurement Plan.	Schedule 4, Para. 14	Complied



APPENDIX L

RISK ASSESSMENT AND RISK MANAGEMENT PLAN (RARMP)

No.	Risk/Issue	Assessment without Mitigation	Action to Mitigated Risk	Responsibility	Target/Monitoring	Status as at (Dec 2018)	Supporting Evidence
Element 1: Procurement							
1.a	Irregularities relating to leaking prior or post procurement information before the contract award, collusion during the bidding process, and bid evaluation.	High	EA/IAs to establish PRC under the project in accordance with SOP/PM which are in line with ADB guidelines. EA/IAs shall ensure that the Declarations of Fraud and Ethical Conduct (DFEC) for PRC members and bidders (contractors, suppliers and consultants) are signed for each transaction. A copy of the declarations of winning bidders shall be part of the awarded contracts.	EA/IAs	All procurement transactions. EA/IA to monitor, ADB to verify during review missions, prior reviews and post reviews	PRC established on 22 November 2014. DFEC signed for each transaction, and copies of DFEC of winning bidders made part of awarded contracts.	PRC minutes of meetings. DFEC in awarded contracts.
1.b	Insufficient compliance with procedures	High	EA/ IAs are to adhere strictly to the procedures and guidelines set forth in the Loan Agreement, ADB's Procurement Guidelines, and SOP/PM that also cover ICB, NCB and shopping.	EA/IAs MEF monitors compliance with LA, ADB Procurement Guidelines and SOP/PM	All procurement transactions. EA/IA to monitor, ADB to verify during review missions, prior reviews and post reviews	Sufficient adherence/ compliance to procurement procedures and guidelines by EA/IAs, as monitored by MEF.	Bid Evaluation Committee (BEC) / Consultant Evaluation Committee (CEC) Reports & Recommendation. PRC minutes of meetings.
1.c	Weak procurement capacity.	High	EA/ IAs will receive continued hands-on training and technical assistance from consultants recruited under the project. SOP/PM will be used under the project.	ADB	Throughout Project duration	Training was conducted by ADB in Dec. 2016. Inquiries on procurement can be directly made through ADB's procurement clinic.	PMU/ADB records.



Ministry of Public Works and Transport, Kingdom of Cambodia
GMS: Southern Economic Corridor Towns Development Project

No.	Risk/Issue	Assessment without Mitigation	Action to Mitigated Risk	Responsibility	Target/Monitoring	Status as at (Dec 2018)	Supporting Evidence
			Recruitment of a well-experienced procurement officer and website officer in PMU to avoid procurement and eventual implementation delays	EA/IAs	Throughout Project duration	Procurement Specialist contracted in Aug 2014 by PISCD and in June 2016 by PMU. Website officer is a PMU member.	Copies of contracts with PMU.
			Closely monitor and review procurement conduct, and where necessary, take measures to improve procedures based on lessons learnt from each successive procurement activity.	EA, MEF and ADB	Throughout Project duration	EA/MEF monitoring and reviewing the conduct of procurements.	BEC and CEC reports. PRC minutes of meetings.
			EA/IAs will recruit additional national and/or national procurement staff if required.	EA/IAs		No additional procurement staff required.	-
1.d	Delayed execution of schedules in the Procurement Plans and failure to track to procurement transactions.	High	Preparation of realistic annual Procurement Plan, tied to annual work plan and budget. EA/IAs will maintain Procurement Monitoring and Tracking Forms as defined in the SOP/PM, and take actions to address delays through additional consultant inputs, if necessary.	EA/IAs to prepare updated procurement plans and procurement tracking form.	Update procurement plan and monitor procurement tracking form during review missions as required	Procurement plan updated in 23 April 2018. Procurement Monitoring & Tracking Forms maintained.	Updated Procurement Plan. Filled out Procurement Monitoring & Tracking Forms.
1.e	Informal payments by contractors, suppliers and consultants	High	All contractors, suppliers and consultants – firms or individuals, national and international – bidding for contracts under the project shall sign the Declaration on Ethical Conduct and Fraud and Corruption in the SOP/ procurement manual.	EA/IAs and MEF	Throughout Project duration	Signed DFECs included in bids.	Signed DFECs.
Element 2: Financial Management							
2.a	Weak internal controls	High	EA/IAs to follow the financial management procedures specified in: (i) the Project Financing Agreement; (ii) the government's SOP and FMM.	EA/IAs; MEF to ensure compliance with SOP/ FMM, Loan	Throughout Project duration	Specified fin'l. management procedures followed since 31	Quarterly Report and PMU reports to MEF and ADB.



Ministry of Public Works and Transport, Kingdom of Cambodia
GMS: Southern Economic Corridor Towns Development Project

No.	Risk/Issue	Assessment without Mitigation	Action to Mitigated Risk	Responsibility	Target/Monitoring	Status as at (Dec 2018)	Supporting Evidence
				Agreement and ADB's Anticorruption Policy		Dec 2015 and ongoing.	
2.b	Weak financial management capacity	High	EA/IAs to receive continued hands-on training from consultants recruited under the project. The SOP/FMM will be used under the project, as well as relevant provisions of the Loan Agreement and ADB's guidelines on procurement and consulting services. Project to closely monitor and review financial management conduct and make necessary improvements as required.	EA and IAs and ADB EA and IAs	Throughout Project duration, conducted as a part of capacity building activities in the project	Hands-on-training ongoing. CapDev training scheduled. Monitoring & review since Dec 2015 and ongoing	CapDev Plan and training modules. Supported by Financial Management Specialist
2.c	Minimize cash transactions	High	Project to make all progress payments to contractors, suppliers and consultants – firms, individuals, national and international – by check or transfer to bank accounts, and retain evidence for audit and donor supervision missions.	MEF, EA and IAs	Throughout Project duration	Payments by check or bank transfer complied and ongoing.	Project Financial Statements
2.d	Delayed or non-existent reconciliation of advances for operating costs and expenses	High	Project to reconcile advances for operating expenses to staff or field offices within one week of the end of each month. No further advances to be paid until previous advance reconciled and cleared against documentary evidence.	EA and IAs	Throughout Project duration Project director to monitor and ADB to verify during review missions.	Reconciliation of advances complied and ongoing.	Project Financial Statements
Element 3 : Disclosure							
3.a	Possible conflict of interest among Project staff	Extremely High	Project staff to disclose private and public affiliations or personal interest before becoming involved in any project-related transaction, such as contract award. EA to prepare a declaration statement for staff's signature.	EA/IAs to ensure all Project staff sign the disclosures	Throughout Project duration Project director to ensure all project staff signs the disclosures.	Internal rules of the project updated and provided for avoidance of conflict of interest. Written disclosure is retained on file.	Internal rules of project for project staffs (PMU and PIUs)



Ministry of Public Works and Transport, Kingdom of Cambodia
GMS: Southern Economic Corridor Towns Development Project

No.	Risk/Issue	Assessment without Mitigation	Action to Mitigated Risk	Responsibility	Target/Monitoring	Status as at (Dec 2018)	Supporting Evidence
3.b	Inadequate transparency and disclosure	High	Project to agree information to be disclosed on the project and EA/IA websites biannually, with hard copies available for public inspection on request. At a minimum, the Project will disclose the information required by the Loan Agreement.	EA and ADB to agree the final list. Project director to arrange disclosure	Throughout Project duration.	Development of project website to be completed in February 2017. Information will then be uploaded to the website. Project information and grievance redress mechanism disclosed in target towns on 19, 21 and 22 June 2018 Bid documents was advertised by Phnom Penh Post newspaper and Project website	Project website. EMPs report and quarterly report Bid Evaluation Report (BER)
Element 4: Complaints and Remedies Mechanism							
5.a	Inadequate complaints and remedies mechanisms		Project to build well-defined complaints and remedies mechanism into project documents. Complaints procedures regarding procurement to follow process set out in Loan Agreement and SOP/PM. Following established publication of evaluation and awards, debriefing mechanism is also necessary not only to enhance transparency but also for the losing bidders to know their weak points.	EA/IAs EA and IAs in consultation with ADB	At effectiveness	To be complied with. PMU provides all bidders with copies of the evaluation and awards through email.	- Copies of emails from PMU
Element 5: Code of Ethical Conduct							
6.a	Poor enforcement of the Code of		Project to provide copies of the relevant laws and articles on Code of Conducts for civil	EA and IAs	Throughout Project duration	The Department of Human	Signed receipts available with the



No.	Risk/Issue	Assessment without Mitigation	Action to Mitigated Risk	Responsibility	Target/Monitoring	Status as at (Dec 2018)	Supporting Evidence
	Conduct for civil servants		servants to all project staff, including contracted staff. Project will maintain signed declaration of receipt of these documents by all project staff, including contracted staff. Similarly, all members of the procurement committee undertaking the evaluation shall sign the Declaration on Ethical Conduct.			Resources provides copies of Code of Conducts for Civil Servants to Ministry personnel upon employment.	Department of Human Resources.
Element 6: Sanctions							
7.a	Inadequate sanctions for fraudulent and corrupt activity by Project staff, contractors, suppliers and consultants		The Project to identify and apply sanctions available under current law and regulations of Cambodia, ADB's Loan Regulations, the Loan Agreement, and ADB's Procurement Guidelines and Consulting Guidelines. Sanctions for individuals may include the transfer of duties, retraining, suspension, dismissal, re-grading, and prosecution under Cambodian Law. Sanctions for firms may include: termination of contract, debarment or blacklisting under ADB's Procurement Guidelines and Consulting Guidelines, or prosecution under Cambodian Law	EA and IAs, and in consultation with ADB	Effectiveness	Sanctions for firms included in termination of the contract	Bidding document
Element 7: Project Specific Elements							
7.a	Poor enforcement of contract terms and need to conduct contractors performance evaluation		EA to ensure that contract terms are strictly enforced and the loan consultant will be a party to ensuring quality control of contract outputs, include acceptance of completion of works and services.	EA and IAs	Throughout Project duration	Contract terms for previous DED Consultant enforced, with the assistance of PISCD.	Evaluation of DED Consultant's output.
7.b	Poor quality of design and works construction		EA and IAs to ensure that approved infrastructure's design standards and specification developed by the respective agencies are utilized for the design of	EA and IAs, and with ADB NOL	Throughout Project duration	DED to be carried out by PISCD and CS Consultants.	DED reports and bid documents.



Ministry of Public Works and Transport, Kingdom of Cambodia
GMS: Southern Economic Corridor Towns Development Project

No.	Risk/Issue	Assessment without Mitigation	Action to Mitigated Risk	Responsibility	Target/Monitoring	Status as at (Dec 2018)	Supporting Evidence
			structures under the project. Project to recruit experienced detailed design consultant on a timely basis to assist the project.		Contract signed immediately after effectiveness	DED to be carried out by PISCD and CS Consultants.	V.O. no. 6 to PISCD contract, and CS contract.
7.c	Risk of low-quality construction and supervision		Project to recruit experienced site supervision consultants to assist EA/IAs.. Regular technical audit is to be undertaken with any adverse findings to be acted upon immediately. Project to evaluate contractors' performance with poor performing contractors declared ineligible to bid for at least one year.	EA and IAs, and with ADB NOL EA and IAs EA and IAs, and with ADB NOL	Prior to the award of first works contract. Throughout Project duration. Annually	Construction Supervision Consultant recruited To be complied during construction. To be complied during construction.	CS contract document. - -



APPENDIX M SUPPORT DOCUMENTS OF LAND ACQUISITION



ព្រះរាជាណាចក្រកម្ពុជា
ជាតិ សាសនា ព្រះមហាក្សត្រ

ក្រសួងសេដ្ឋកិច្ច និង ហិរញ្ញវត្ថុ

លេខ ៤៧៧ ខ.ជ.សហវ. ២០១៩

ថ្ងៃពុធ ១៩ ខែ ឧសភា ឆ្នាំ ២០១៩ សំនុំរៀបចំ ៣០ ២៤៦២

រាជធានីភ្នំពេញ, ថ្ងៃទី ៣១ ខែ ឧសភា ឆ្នាំ ២០១៩

សូមគោរពជូន

ឯកឧត្តមនាយករដ្ឋមន្ត្រី រដ្ឋមន្ត្រីក្រសួងសាធារណការ និងដឹកជញ្ជូន

កម្មវត្ថុ៖ ការប្រគល់ទីតាំងដីដែលគណៈកម្មការអន្តរក្រសួងបានធ្វើលទ្ធកម្ម រួមមានសម្រាប់គម្រោងអភិវឌ្ឍន៍ក្រុងរបៀង
ខាងត្បូងដែលបានទទួលហិរញ្ញប្បទានសហប្រតិបត្តិការពីធនាគារអភិវឌ្ឍន៍អាស៊ី (ADB) ។

យោង៖ លិខិតលេខ ១៨៧៩ សក/អក ចុះថ្ងៃទី ២៨ ខែ ឧសភា ឆ្នាំ ២០១៩ របស់ក្រសួងសាធារណការ និងដឹកជញ្ជូន
- លិខិតលេខ ២០៤២ សក ចុះថ្ងៃទី ១០ ខែ មិថុនា ឆ្នាំ ២០១៣ របស់ក្រសួងសាធារណការ និងដឹកជញ្ជូន។

សេចក្តីដូចមានចែងក្នុងកម្មវត្ថុ និងយោងខាងលើ ខ្ញុំសូមគោរពជម្រាបជូនឧត្តមនាយករដ្ឋមន្ត្រី មេត្តាជ្រាបដ៏
ខ្ពង់ខ្ពស់ថា៖ តាមសំណើរបស់ក្រសួងសាធារណការ និងដឹកជញ្ជូន គណៈកម្មការអន្តរក្រសួងដោះស្រាយផលប៉ះពាល់
សហការជាមួយក្រសួងសាធារណការ និងដឹកជញ្ជូន និងអនុគណៈកម្មការខេត្តស្វាយរៀង, ខេត្តបាត់ដំបង និងខេត្ត
បន្ទាយមានជ័យ បានចុះពិនិត្យ ពិគ្រោះយោបល់ និងធ្វើលទ្ធកម្មដីសម្រាប់សាងសង់អាងប្រព្រឹត្តិកម្ម និងស្ថានីយបូមប្រោះ
សម្អាតទឹកកខ្វក់ នៃគម្រោងខាងលើរួចរាល់ហើយ។

ហេតុដូច្នេះ គណៈកម្មការអន្តរក្រសួងដោះស្រាយផលប៉ះពាល់ សូមប្រគល់ទីតាំងដីដែលបានធ្វើលទ្ធកម្ម
ជូនក្រសួងសាធារណការ និងដឹកជញ្ជូន ដើម្បីផ្តល់លទ្ធភាពឱ្យក្រុមហ៊ុនសាងសង់ដំណើរការការងារវិស្វកម្មតាមផែនការ
និងបន្តគ្រប់គ្រងនិងប្រើប្រាស់ទីតាំងដីទាំងនេះស្របតាមច្បាប់ និងលិខិតបទដ្ឋានគតិយុត្តជាធរមាន ចាប់ពីថ្ងៃប្រគល់-
ទទួលឯកសារនេះតទៅ។

ទីតាំងដីដែលបានធ្វើលទ្ធកម្ម រួមមានដូចខាងក្រោម៖

ល.រ	ខេត្ត/ ក្រុង	បរិយាយ	ទំហំដី	ទីតាំងភូមិសាស្ត្រ	លិខិតផ្ទេរសិទ្ធិកាន់កាប់ប្រើប្រាស់ ដីធ្លី និងប័ណ្ណសម្គាល់សិទ្ធិកាន់ កាប់អចលនវត្ថុ
១	ខេត្តឧត្តរមានជ័យ	អាងប្រព្រឹត្តិកម្ម	១០១ ៥៦៩ ម ^២	- ឃុំនរ ស្រុកសង្កែ - ឃុំនរ ស្រុកសង្កែ - ឃុំនរ ស្រុកសង្កែ - ឃុំនរ ស្រុកសង្កែ	- ក្បាលដីលេខ÷Bx 0074 - ក្បាលដីលេខ÷Bx 0075 - ក្បាលដីលេខ÷Bx 0108 - ក្បាលដីលេខ÷Bx 0110 ចុះថ្ងៃទី ១២- ឧសភា-២០១៩

ទំព័រទី១នៃ២



២			១ ៧៤០ ម ^២	-ភូមិបេង, ឃុំអន្លង់វិល, ស្រុកសង្កែ	-ក្បាលដីលេខ÷០០៦ យអវ ចុះថ្ងៃទី ០៤-មករា-២០១៧
៣	ខេត្តបាត់ដំបង	ស្ថានីយបូមប្រោះទឹកកខ្វក់	២ ៤៦៦ ម ^២	-ភូមិរំពេច២, សង្កាត់ រតនៈ, ក្រុងបាត់ដំបង	-ក្បាលដីលេខ÷ 541 ចុះថ្ងៃទី ២៦-កុម្ភៈ-២០១៦
៤		អាងប្រព្រឹត្តិកម្ម	១០០ ០៦០ ម ^២	-ភូមិកូនដំរី, សង្កាត់និមិត្ត, ក្រុងប៉ោយប៉ែត	-ក្បាលដីលេខ÷៩៣២ កបប ចុះថ្ងៃទី ១១-មីនា-២០១៤
៥	ក្រុងសៀមរាប	ស្ថានីយបូមប្រោះទឹកកខ្វក់	១ ៣៥៨ ម ^២	-សង្កាត់ផ្សារកណ្តាល, ក្រុងប៉ោយប៉ែត	-ក្បាលដីលេខ÷ I 0682 ចុះថ្ងៃទី ២៧-កក្កដា-២០១៧
៦	ក្រុងសៀមរាប	ស្ថានីយបូមប្រោះទឹកកខ្វក់	១ ៤៥៦ ម ^២	-ភូមិច្រកលាវ, សង្កាត់បារិត	-ក្បាលដីលេខ÷០៨៨/១៧ ចុះថ្ងៃទី ១៧-កុម្ភៈ-២០១៧

អាស្រ័យដ្ឋានគោរពជម្រាបជូនខាងលើ សូមឯកឧត្តមទេសរដ្ឋមន្ត្រី មេត្តាជ្រាប និងចាត់ចែង តាមការគួរ។
សូមឯកឧត្តមទេសរដ្ឋមន្ត្រី មេត្តាទទួលនូវការគោរពដ៏ខ្ពង់ខ្ពស់ ពីខ្ញុំ

អនុរដ្ឋលេខាធិការក្រសួងសេដ្ឋកិច្ច និងហិរញ្ញវត្ថុ
និងជាប្រធានគណៈកម្មការក្រសួងដោះស្រាយផលប៉ះពាល់
ដោយសហការអភិវឌ្ឍន៍

ហ៊ុន សែន

បម្រុងជូន៖

- អគ្គនាយកដ្ឋានទ្រព្យសម្បត្តិរដ្ឋ និង
បំណុលមិនមែនសារពើពន្ធ កសហវ
- ឯកសារ-កាលប្បវត្តិ

ទំព័រទី២នៃ២

h-v



Kingdom of Cambodia
Nation Religion King

Ministry of Economic and Finance

No. 4755

Phnom Penh, 31 May 2018

To H.E Senior Minister and Minister of MPWT

Objective: Hand over of land that IRC has already procured for the Southern Economic Corridor Town Development Project fund by ADB.

Reference:

- Letter No. 1879 dated 28 May 2015 of the Ministry of Public Works and Transport.
- Letter No. 2042 dated 10 June 2013 of the Ministry of Public Works and Transport.

I would like to inform His Excellency the Senior Minister that, at the request of the MPWT, the IRC in cooperation with the MPWT and the Sub-Committees of Svay Rieng, Battambang and Banteay Meanchey Consulted and procured land for the construction of WWTP of the above project already.

Therefore, the IRC would like to hand over the land that has been procured to the MPWT to enable the construction company to proceed with the construction process as planned and continue to manage and use these land locations in accordance with the laws and regulations in force from the date of handing over the documents.

Location of land that has been procured as following:

No.	Province/City	Sub-project	Land Size	Location	Certificate of title to immovable property
1	BTB	WWTP	101.569m ²	Norea Commune, Sang Kae District	Plot No: Bx0074 (Date 12 May 2015)
					Plot No: Bx 0075 (Date 12 May 2015)
					Plot No: Bx 0108 (Date 12 May 2015)
					Plot No: Bx 0110 (Date 12 May 2015)
2	BTB	WWTP	1.740m ²	Ben village, Anlong veal Commune, Sang Kae District	Plot No: 006 (Date 04 January 2017)
3			2.466m ²	Romchek 2 village, Sangkat Ratanak.	Plot No: 541 (Date 26 February 2016)
4			100.060m ²	Koundamrei village, Sangkat Nimit.	Plot No: 932 (Date 11 March 2014)
5	Poipet	WWTP	1.358m ²	Sangkar Phsakandal	Plot No: I 0682 (Date 27 July 2017)
6		WWTP	1.456m ²	Chrak Leav village, Sangkat Bavet.	Plot No: 088/17 (Date 17 February 2017)

As mentioned above, His Excellency the Senior Minister, please be informed and act accordingly.

Please accept, Excellency, the assurances of my highest consideration.

Under Secretary of State of MEF and Chief of IRC

Nhean Leng

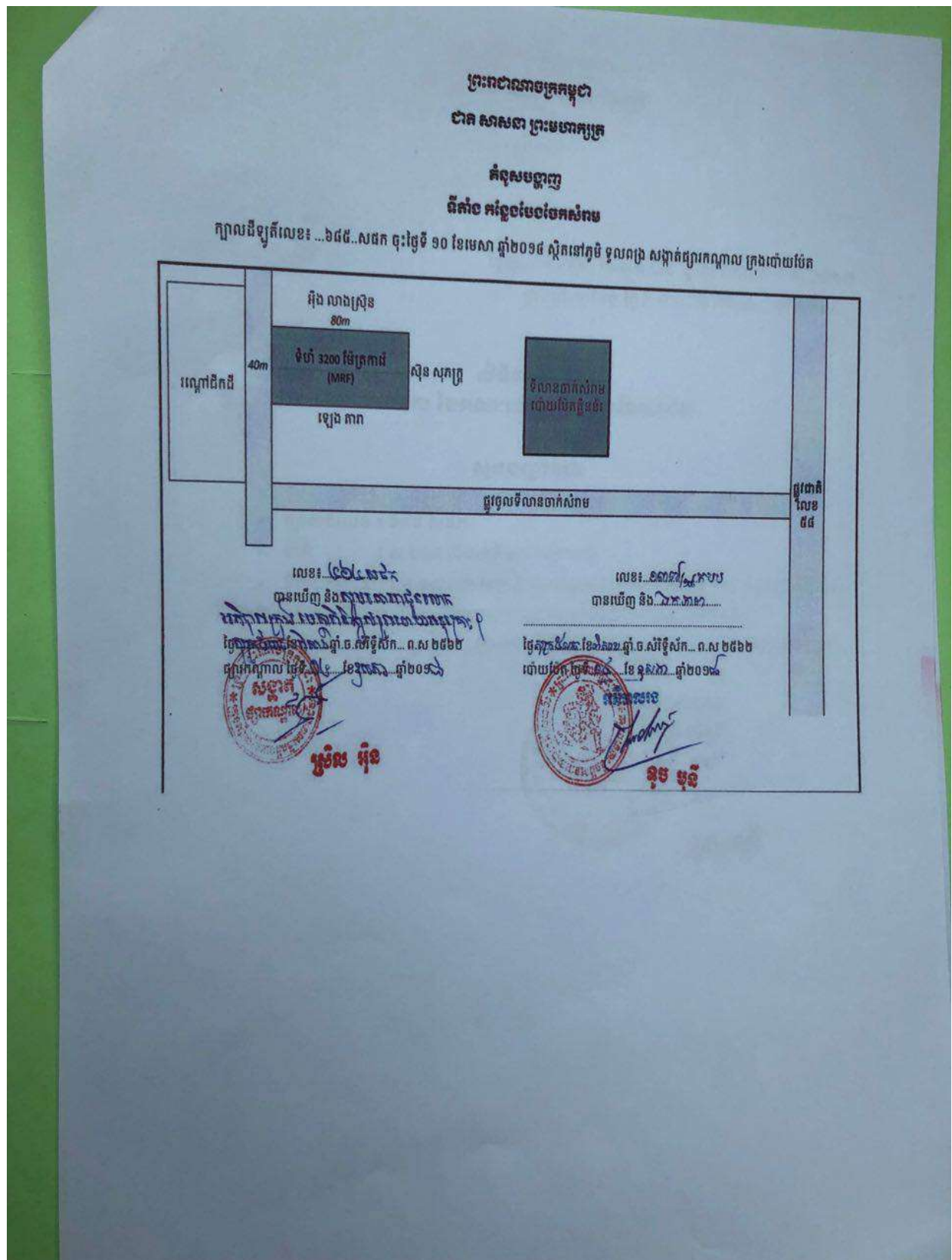


គំនូសបន្ទាញ
ពីគាំព កន្លែងបែងចែកសំរាប់




မိသားစု
အခြေခံ

ဥပ သုဇ်





Kingdom of Cambodia
Nation Religion King

Banteay Mean Chey Province
Administration of Poipet Municipality
No: 580/19
Poipet Municipality Date 30 August 2019

Certificate Letter
Governor of Poipet Municipality
Please note that

- Plot No: 685
- Land Size: 3200m2
- Location: Tuol PongRor Village, PhsaKandal Sangkat, Poipet Municipality, Banteay Mean Chey Province

Province Indeed, state property is on the Poipet City Administration's inventory.

Poipet Municipality issued this certificate to apply for a real estate certificate.

Poipet Municipality Governor
Deputy Governor

Touch Muny



ព្រះរាជាណាចក្រកម្ពុជា
ជាតិ សាសនា ព្រះមហាក្សត្រ

ខេត្តស្វាយរៀង
រដ្ឋបាលក្រុងបាទីក
លេខ ០១៣៧ រ.បក

ថ្ងៃសុក្រ ៥ រោច ខែពិសាខ ឆ្នាំច សំរឹទ្ធស័ក ព.ស ២៥៦២
បាវិត, ថ្ងៃទី ០៤ ខែឧសភា ឆ្នាំ ២០១៨

សូមគោរពជូន

ឯកឧត្តម ចៀ ពិសិដ្ឋ អគ្គនាយករង នៃអគ្គនាយកដ្ឋានសាធារណការ
និង ជា នាយក គម្រោងអភិវឌ្ឍន៍សេដ្ឋកិច្ចក្រុងរៀងខាងត្បូង
នៃក្រសួងសាធារណការ

កម្មវត្ថុ: ករណី ២០ ហិកតា សម្រាប់គម្រោងអភិវឌ្ឍន៍សេដ្ឋកិច្ចក្រុងរៀងខាងត្បូង ស្ថិត
នៅភូមិត្រពាំងផ្លុង សង្កាត់បាទី ក្រុងបាវិត ។

តាមកម្មវត្ថុខាងលើ ខ្ញុំសូមគោរពជម្រាបជូន **ឯកឧត្តម** នាយកគម្រោង មេត្តា
ជ្រាបថា ចំពោះដីទំហំ ២០ ហិកតា សម្រាប់ផ្តល់ជូនក្នុងការអភិវឌ្ឍន៍គម្រោងអភិវឌ្ឍន៍សេដ្ឋកិច្ច
ក្រុងរៀងខាងត្បូង របស់ក្រុងបាវិត សម្រាប់កន្លែងទីតាំងព្រែកសំរាម និង កន្លែងធ្វើអាង
ប្រតិកម្មទឹកស្អុយ ស្ថិតនៅភូមិត្រពាំងផ្លុង សង្កាត់បាទី ក្រុងបាវិត ខេត្តស្វាយរៀង ពិតជាដី
របស់រដ្ឋប្រគល់ឲ្យខេត្ត សម្រាប់អនុវត្តគម្រោងកន្លែងទីតាំងព្រែកសំរាម និង កន្លែងធ្វើអាង
ប្រតិកម្មទឹកស្អុយ ។

សេចក្តីដូចបានគោរពជម្រាបជូនខាងលើ សូម **ឯកឧត្តម** នាយកគម្រោង មេត្តា
ជ្រាបដឹងខ្ពស់ ។

សូម **ឯកឧត្តម** ទទួលនូវការគោរពរាប់អានដ៏ស្មោះអំពីខ្ញុំ ។


រដ្ឋមន្ត្រី
លីម សៀងហេង



Kingdom of Cambodia
Nation Religion King

Svay Rieng Province
Administration of Bavet Municipality
No: 013
Bavet Municipality: Date 04 May 2018

To H.E Vong Piseth, Deputy General Director of General Department of Public Works and Director of Southern Economic Corridor Town Development Project of MPWT

Objective: Case of 20 hectares of land for the Southern Economic Corridor Town Development Project in Trapeang Phlong village, Baty Sangkat, Bavet Municipality.

In response to the above objective, I would like to inform His Excellency the Director of the Southern Economic Corridor Town Development project that the 20 hectares of land to be provided for the development of MRF and WWTP in the Trapeang Phlong village, Baty Sangkat, Bavet Municipality, Svay Rieng Province, Indeed, the government has given the land to the province to implement the project.

Please be informed accordingly.
Please accept, Excellency, the assurances of my highest consideration.
Governor of Bavet Municipality
Deputy Governor
Lim Seang Heng



APPENDIX N
SUMMARY OF AGREED TIME-BOUND ACTIONS PLAN
(As of 30 June 2021)

No	Activity	Responsibility	Schedule	Updated Status
1.	Update and disclose SLEDPs	PMU/PISCD	Q2 2021	PISCD commits to finish in Q2 2021 In progress. 1. SLEDP Neak Loeung— Finalized stage 2. SLEDP Bavet— Finalized stage 3. SLEDP Battambang— Finalized stage 4. SLEDP Poipet— Finalized stage
2.	Additional trainings on gender mainstreaming	PISCD	30-May-21	Not completed yet due to COVID-19, group trainings could not be conducted.
3.	<u>Corrections under CW-05 (Bavet Urban Road):</u> • Paintings, markings, and traffic signs need to be more reflective. • Water collection areas in front of trap openings of some manholes need to be wide enough.	Contractor	30-Dec-20	Correction of Catch Basins 100% completed. Re-painting road marking 1000% completed. Correction of traffic was 100% completed
4.	<u>Corrections under CW-06 (Battambang Storm Drainage):</u> • Fill dragon holes. • Clean water collection areas in front of trap openings of manholes, inside manholes and open canals.	Contractor	15-Nov-20	Done; All the defects were completed
5.	Submit official request for second extension of loan/grant closing date for 30 months, from 30 June 2021 to 31 December 2023.	PMU, MEF	30-Nov-20	Done;
6.	Submit the revised updated DRP of CW-10 to ADB	GDR, PMU/PISCD	13-Nov-20	Done;
7.	Submit feedback on the revised updated DRP of CW-10 to GDR	ADB	20-Nov-20	Done;
8.	Approve updated DRP of CW-10	GDR, PMU/PISCD, ADB	30-Nov-20	Done;



Ministry of Public Works and Transport, Kingdom of Cambodia
GMS: Southern Economic Corridor Towns Development Project

9.	Award the CW-10 contract	PMU	15-Dec-20	Done.
10.	Conduct short training on specific contract management prior to commencement of civil works for CW-10	ADB	Before starting civil works	Short training is not started yet; The area was classified as the red zone of COVID-19. Groups training could not be done.
11.	Submit request for VO No.4 of supervision consultant service	PMU	16-Nov-20	Done;
12.	Submit procurement tracking form to MEF and ADB	PMU	Quarterly	Will include in the quarterly report.
13.	CW-10 CEMP to be incorporated mitigation measures in EMPs approved by ADB and MoE and to be approved by PMU	Contractor, PMU	Before starting civil works	Done, CEMP was approved by PMU.
14.	<u>CW-08 (Bavet Storm Drainage):</u> - Continue good practice for the environmental health and safety and strictly follow the mitigation measures proposed in EMP/CEMP. - Environmental GRM signboard with detail contact should be provided at active civil work, particularly the site near the Bavet International market, and camp site.	Contractor	Routine	Done; Contract was completed and hand over to the Employer 12 November 2020.
15.	Local authorities/operators in cooperation with PMU/PIUs should continue the implementation of the environmental health and safety plan proposed in the EMP during operation of MRFs.	PMU/PIUs, Local authorities/Operators	Routine	In progress at Neak Loun Flood control dike, the remaining other subprojects were completed.
16.	<u>CW-10 (Neak Leoung Flood Control):</u> - Follow good practice for the environmental health and safety implemented under CW-08 and the mitigation measures proposed in EMP/CEMP. - Environmental GRM signboard with detail contact should be provided at active civil work and camp site.	Contractor	Routine upon contract award	Done;
17.	<u>CW-10 (Neak Leoung Flood Control):</u> GRM to be regularly monitored	PMU, PIU, CS, PISCD Environment	Routine upon contract	Will conduct training course on Environmental Monitoring, GRM, Covid-19 prevention



Ministry of Public Works and Transport, Kingdom of Cambodia
GMS: Southern Economic Corridor Towns Development Project

	and reported in the quarterly project progress reports and semi-annual safeguard reports submitted to ADB.	Consultant, External Environment Monitor	award	and mitigation measure, the training not yet start due to Covid-19.
18.	<u>CW-10 (Neak Leoung Flood Control)</u> : Provide refresher training on EMP and GRM implementation, monitoring and reporting procedures for Neak Loeung to contractor and Environment GRM committee and document it in safeguard monitoring reports.	PISCD Environmental Consultant	Before starting civil works	-PMU to instruct PISCB to conduct training EMP and GRM tentatively 2 weeks prior to commencement of civil works. -PMU requested GDR to complete clearing resettlement on prioritized sections through its letter dated 21 December 2020.
19.	Submit semi-annual EMR covering July to December 2020	PMU/PISCD	15-Jan-21	Done; Other following report is under progress;
20.	Share the list of indicators and information needed to be included in the involuntary resettlement safeguard requirements appendix of the borrower's PCR.	ADB	16-Nov-21	Done;
21.	<u>CW-07 (Poipet Storm Drainage)</u> : Document evidence of the documented and disseminated establishment of the cut-off date to avoid any opportunistic claims and serves a basis to confirm any valid claims later on for a household who is recently encroached (moved his wooden pole fence) to the end section of Line No.2 claiming ownership to that land.	PMU/PIU, Local authority, PISCD, CS	Immediately	Done; The report was arranged by PIU and was kept at the PIU office.
22.	Sufficiently allocate inputs for a qualified national resettlement specialist during the implementation of this CW-10 DRP.	PMU	Upon contract award	The contract was awarded. The input of National Resettlement Specialist was added into the PISCD, VO14 contract.
23.	Submit consolidated semi-annual EMO report covering monitoring period from December 2019 to June 2020.	GDR	16-Nov-20	Done
24.	Submit semi-annual EMO report covering the period from July to	GDR	15-Jan-21	Done



Ministry of Public Works and Transport, Kingdom of Cambodia
GMS: Southern Economic Corridor Towns Development Project

	December 2020 for informal review.			
25.	Officially submit semi-annual EMO report covering the period from July to December 2020.	GDR	29-Jan-21	Done
26.	Consult with GDR management and confirm ADB the way forward proposed by the Mission to hold joint discussion among DIMDM, ADB CARM and HQ to provide clarification, elaborate on the provided comments on format and content of the semi-annual internal monitoring report before the submission to ADB.	DIMDM	16-Nov-20	Done
27.	Submit MPWT the revised first consolidated internal SMR covering period from project effectiveness until December 2020. MPWT will forward the report to ADB by the same day	DIMDM, MPWT	15-Jan-21	Done
28.	Ensure the updated SLEDPs of the four towns address gender issues	PISCD	Q4 2020	Done, Gender issues were integrated into the draft of SLEDP.
29.	Provide skill training to at least 151 women in Neak Leung	PISCD	30-May-21	Not yet due to Covid -19
30.	Organize HIV awareness raising to relevant project beneficiaries in Neak Loeung	PISCD	30-May-21	Not yet due to Covid -19
31.	Continue to monitor the progress of women's participation in civil work to ensure they make up 30% of an overall labor participation	PISCD	By the completion of all civil works	Done
32.	Submit Q4-2020 quarterly progress report	PMU, PISCD	15-Jan-21	Done
33.	Sign hand-over contracts/MOUs of MRFs for O & M to Battambang municipality, Poipet municipality and Svay Rieng provincial administration/Bavet municipality	PMU, Respective Municipality/ administration	31-Dec-20 30-Jun-21 31-Dec-20	Battambang MRF—done; Bavet MRF-- In progress. Poipet MRF-- In progress.
34.	Draft hand-over contracts or MOUs with respective local institutions for the rehabilitated urban road and storm drainages should be done prior to DLP ends to ensure the smooth transfer on O & M responsibility	PMU, Respective local institutions	Before its DLP ends	In progress. DLP are not end yet.



	of those infrastructures for its sustainability.			
35.	Update and disclose PAM following the approval of the change in scope and budget reallocations.	ADB, PMU	Q1-2021	The draft of PAM was submitted to ADB.
36.	Tentatively conduct next review mission	ADB, PMU	Feb-2021	Not yet due to COVID-19 condition.

APPENDIX O PHOTOS COVID-19 PREVENTION IN PMU AND CONTRACTOR CAMPSITE IN NEAK LOEUNG FLOOD CONTROL PROJECT



Covid-19 Prevention in PMU



Project Signboard and GRM Signboard at Contractor Campsite (Neak Loeung)



Contractor Campsite (Neak Loeung)



All engineers and workers wear masks, temperature screening and wash hands



Warehouse for oil storage tank with warning signboard

