

## PROCUREMENT PLAN

### Basic Data

<b>Project Name:</b> Integrated Urban Environmental Management in the Tonle Sap Basin Project	
<b>Project Number:</b> 42285-013	<b>Approval Number:</b> 3311/8295/0454
<b>Country:</b> Cambodia	<b>Executing Agency:</b> Ministry of Public Works and Transport
<b>Project Procurement Classification:</b> Category B	<b>Implementing Agency:</b> N/A
<b>Project Procurement Risk:</b> Moderate	
<b>Project Financing Amount:</b> US\$ 52,600,000 <b>ADB Financing:</b> US\$ 37,000,000 <b>Cofinancing (ADB Administered):</b> US\$ 10,000,000 <b>Non-ADB Financing:</b> US\$ 5,600,000	<b>Project Closing Date:</b> 30 April 2023
<b>Date of First Procurement Plan:</b> 14 October 2014	<b>Date of this Procurement Plan:</b> 11 May 2017, Version 2

### A. Methods, Thresholds, Review and 18-Month Procurement Plan

#### 1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 1,000,000 and Above	
National Competitive Bidding for Goods	Between US\$ 100,001 and US\$ 999,999	The first NCB is subject to prior review, thereafter post review.
Shopping for Goods	Up to US\$ 100,000	
International Competitive Bidding for Works	US\$ 3,000,000 and Above	
National Competitive Bidding for Works	Between US\$ 100,001 and US\$ 2,999,999	The first NCB is subject to prior review, thereafter post review.
Shopping for Works	Up to US\$ 100,000	

Consulting Services	
Method	Comments
Consultant's Qualification Selection for Consulting Firm	
Quality- and Cost-Based Selection for Consulting Firm	
Quality-Based Selection for Consulting Firm	

#### 2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
CW04	Construction of Kampong Chhnang Embankment	19,120,000.00	ICB	Prior	1S1E	Q4 / 2017	Prequalification of Bidders: N  Domestic Preference Applicable: Y  Bidding Document: Large Works

							Comments: No PQ; standard bidding documents; large works
CW05	Construction of Pursat Drainage	7,340,000.00	ICB	Prior	1S1E	Q1 / 2018	Prequalification of Bidders: N  Domestic Preference Applicable: Y  Bidding Document: Small Works  Comments: No PQ; standard bidding documents; small works
CW06	Construction of Pursat Landfill Site	1,030,000.00	NCB	Post	1S1E	Q2 / 2018	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Small Works  Comments: Harmonized standard bidding documents
CW09	Kampong Chhnang and Pursat Community Driven Environment Improvements	2,480,000.00	NCB	Prior	1S1E	Q3 / 2017	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Small Works  Comments: Harmonized standard bidding documents
	Lot 1: 1	413,333.00					
	Lot 2: 2	413,333.00					
	Lot 3: 3	413,333.00					
	Lot 4: 4	413,333.00					
	Lot 5: 5	413,333.00					
	Lot 6: 6	413,335.00					

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### 3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
CS02.	Climate Change Adaptation in Urban Development	345,000.00	CQS	Prior	Q1 / 2016	BTP	Assignment: National  Comments: Selection is ongoing
CS03.	Strengthening Sector Development	130,000.00	CQS	Prior	Q1 / 2017	BTP	Assignment: National  Comments: First advertised in Q4'15; selection is ongoing
CS04	NGO Support for Output 3	830,000.00	QBS	Prior	Q1 / 2017	STP	Assignment: International

### 4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
CW01	Office Repairs (PMU)	10,749.00	1	SHOPPING	Post		Q1 / 2017	Comments: Government SOP
CW02	Office Repairs PIUs (Kampong Chhnang)	17,378.00	1	SHOPPING	Post		Q1 / 2017	Comments: Government SOP
CW03	Office Repairs	7,613.00	1	SHOPPING	Post		Q1 / 2017	

	PIUs (Pursat)							Comments: Government SOP
CW07	Construction of Pursat River Embankment Protection	600,000.00	1	NCB	Post	1S1E	Q4 / 2017	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Small Works  Comments: Harmonized standard bidding documents
CW08	Construction of Kampong Chhnang Landfill Site	430,000.00	1	NCB	Post	1S1E	Q2 / 2018	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Small Works  Comments: Harmonized standard bidding documents
G01	Supply of 5 units 4WD double cabin pick up trucks	188,180.00	1	NCB	Prior	1S1E	Q1 / 2017	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Goods  Comments: Harmonized standard bidding documents
G02	Supply of 8 motorcycles	11,861.00	1	SHOPPING	Post		Q1 / 2017	Comments: Government SOP

G03	Office furniture for PMU and PIUs	15,995.00	1	SHOPPING	Post		Q1 / 2017	Comments: Government SOP
G04	Office equipment for PMU and PIUs	24,950.00	1	SHOPPING	Post		Q1 / 2017	Comments: Government SOP
G05	Manual Equipment for Pursat Drainage Maintenance and Flood Protection	72,000.00	1	SHOPPING	Post		Q4 / 2017	Comments: Government SOP
G06	Manual Equipment for Kampong Chhnang Drainage Maintenance and Flood Protection	36,000.00	1	SHOPPING	Post		Q4 / 2017	Comments: Government SOP

Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None								

## B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
G07-a	Supply of Kampong Chhnang Solid Waste Management Equipment	370,000.00	1	NCB	Post	1S1E	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Goods  Comments: Harmonized bidding documents
G07-b	Supply of Kampong	370,000.00	1	NCB	Post	1S1E	Prequalification of

	Chhnang Solid Waste Management Equipment						Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Comments: Harmonized bidding documents
G08-a	Supply of Pursat Solid Waste Management Equipment	410,000.00	1	NCB	Post	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Comments: Harmonized bidding documents
G08-b	Supply of Pursat Solid Waste Management Equipment	410,000.00	1	NCB	Post	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Comments: Harmonized bidding documents

Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

### C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

#### 1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
CS01	Project Management and Implementation Support	4,672,987.00	4,206,913.00	QCBS	Q4 / 2015	03-NOV-16	

## D. National Competitive Bidding

### A. Regulation and Reference Documents

1. The procedures to be followed for national competitive bidding shall be those set forth for the "National Competitive Bidding" method in the Government's Procurement Manual issued under Sub-Decree Number 74 ANKR.BK, updated version dated 22 May 2012 with the clarifications and modifications described in the following paragraphs. These clarifications and modifications are required for compliance with the provisions of the Procurement Guidelines.

2. For the procurement of ADB financed contracts under National Competitive Bidding (NCB) procedures, the use of harmonized national bidding documents (NCB and National Shopping) developed in consultation with development partners including ADB, is mandatory except where the Government and ADB have agreed to amendments to any part of the documents. The Procurement Manual also advises users to check the ADB website from time to time for any update on ADB documents, which form the basis, among others, of the existing harmonized national bidding documents.

### B. Procurement Procedures

#### 1. Application

3. Contract packages subject to National Competitive Bidding procedures will be those identified as such in the project Procurement Plan. Any change to the mode of procurement of any procurement package in the Procurement Plan shall be made through updating of the Procurement Plan, and only with prior approval of ADB.

#### 2. Sanctioning

4. Bidders shall not be declared ineligible or prohibited from bidding on the basis of barring procedures or sanction lists, except individuals and firms sanctioned by ADB, without prior approval of ADB.

#### 3. Rejection of all Bids and Rebidding

5. The Borrower shall not reject all bids and solicit new bids without ADB's prior concurrence. Even when only one or a few bids is/are submitted, the bidding process may still be considered valid if the bid was satisfactorily advertised and prices are reasonable in comparison to market values.

#### 4. Advertising

6. Bidding of NCB contracts shall be advertised on the ADB website via the posting of the Procurement Plan. Borrowers have the option of requesting ADB to post specific notices in the ADB website.

## **C. Bidding Documents**

### **5. Use of Bidding Documents**

7. The Standard National Competitive Bidding Documents provided with the Government's Procurement Manual shall be used to the extent possible both for the master bidding documents and the contract-specific bidding documents. The English language version of the procurement documents shall be submitted for ADB review and approval in accordance with agreed review procedures (post and prior review) as indicated in the Procurement Plan. The ADB-approved procurement documents will then be used as a model for all procurement financed by ADB for the project.

### **6. Bid Evaluation**

8. Bidders shall not be eliminated from detailed evaluation on the basis of minor, non-substantial deviations.

9. A bidder shall not be required, as a condition for award of contract, to undertake obligations not specified in the bidding documents or otherwise to modify the bid as originally submitted.

### **7. Employer's Right to Accept or Reject Any or All Bids**

10. The decision of the Employer to accept or reject any or all bids shall be made in a transparent manner and involve an obligation to inform of the grounds for the decision through the bid evaluation report.

### **8. ADB Policy Clauses**

11. A provision shall be included in all NCB works and goods contracts financed by ADB requiring suppliers and contractors to permit ADB to inspect their accounts and records and other documents relating to the bid submission and the performance of the contract, and to have them audited by auditors appointed by ADB.

12. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the contract in question.

13. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.

